

# Fitness to Practise Annual Report 2023-24

## Investigating Committee

Professional Conduct Committee

**Health** Committee

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This publication is available from our website at: <u>osteopathy.org.uk/standards/fitness-to-practise</u>

## 1. Introduction

Maintaining patient safety and public confidence in the osteopathic profession sits at the heart of fitness to practise and, by law, we must investigate and consider concerns about osteopaths' conduct, competence or health.

The GOsC's Regulation department handles any concerns about the fitness to practise of osteopaths on the Register.

We publish the **Fitness to Practise report** annually. In this edition we explain how we manage the concerns we receive. We also provide a fictional case study to illustrate the main stages together with the timeframe of how a typical case proceeds to a hearing. The report also includes details of the decisions made by the Professional Conduct Committee where a sanction has been imposed.



## 2. Our Concerns process

The General Osteopathic Council (GOsC) has a duty under the Osteopaths Act 1993 to investigate concerns about osteopaths. We receive fitness to practise concerns about osteopaths from a variety of sources, including:

- patients
- members of the public
- employers
- other osteopaths
- referrals from other regulators

Patient concerns continue to be the main source of complaints about osteopaths. Through our fitness to practise processes we have a duty to identify whether a concern about an osteopath is a legitimate matter that needs to be investigated. The fitness to practise procedures are designed to protect the public and are not intended to serve as a general complaints resolution process.

The GOsC has three statutory Fitness to Practise Committees:

- The Investigating Committee
- The Professional Conduct Committee
- The Health Committee

All our fitness to practise committees can impose an interim suspension order on an osteopath, if they feel it is necessary to protect the public in a case involving serious allegations.

An interim suspension order suspends the osteopath's registration pending the investigation and outcome of the hearing.

#### **Screeners**

The Regulation department triages all concerns received and conducts an initial risk assessment to ensure there is no immediate risk to public protection. We gather as much information as possible at this initial stage before referring the concern to a 'Screener' (an osteopath member of the GOsC Investigating Committee). As part of our information gathering, we usually seek additional information or clarification from the person raising the concern. If the person raising the concern does not provide the further information within 42 days of the request, the concern will then be referred to a Screener with a recommendation for closure on the basis that there is insufficient relevant and credible supporting material.

If we do receive the information, the case is passed to the Screener who reviews the concern to determine whether it is capable of amounting to an allegation under the Osteopaths Act. In reaching a decision, the Screener can refer to the **'threshold criteria**' to help decide whether an activity complained about constitutes unacceptable professional conduct, which is 'conduct which falls short of the standard required of an osteopath.'



If the Screener decides that the GOsC has no power to investigate the concern, the matter is referred to a Lay Screener to review the documentation and the osteopath Screener's decision. If they both agree, the matter can be closed. If they disagree, then the matter is referred to the Investigating Committee.

#### **Investigating Committee**

The Investigating Committee meets in private to consider cases that have been referred to it by a Screener. The Committee considers whether there is a case to answer against the osteopath in question and takes the threshold criteria into account when making its decision.

If the Investigating Committee decides there is no case to answer, the case will be closed.

The Investigating Committee may determine that it has insufficient information in order to fairly consider the matter and will adjourn consideration in order to obtain additional information.

If the Investigating Committee decides there is a case to answer, a hearing will be arranged before the GOsC's Professional Conduct Committee or, if the matter concerns an osteopath's health, a hearing will be arranged before the Health Committee.

#### Professional Conduct Committee

The Professional Conduct Committee (PCC) consists of three members where the Chair must be lay. A legal assessor is also present to assist the Committee on any legal matters that may arise during a hearing.

Hearings are usually held in public, and members of the public can attend. However, depending on the nature of the case, there may be occasions when part or all of the hearing must be held in private for example, where the hearing involves matters to do with the osteopath's health or private life.

When considering a case, the Committee's decision-making process is in three stages:

#### 1. Findings of fact

The Committee will consider whether it finds the facts alleged against an osteopath proved. Some of the facts may be admitted by the osteopath and other facts may be in dispute. The Committee will consider the disputed facts and decide whether it is more likely than not that they happened. This is known as the 'balance of probabilities'.

If the Committee does not find any of the facts proved, the case will be concluded. Where it finds some or all of the facts proved, the hearing will proceed to the next stage.

#### 2. Finding on allegation

Once the findings of fact have been announced, both parties (the GOsC's case presenter and the osteopath) will be invited to make submissions on unacceptable professional conduct or professional incompetence or whether a criminal conviction is material (seen as relevant) to the practice of osteopathy. This is a matter for the Committee's judgement and is not a matter of proof.

The Committee will hear and consider the submissions and will retire in private to consider its findings. When a decision has been reached, the Chair will announce the Committee's decision.

#### 3. Sanctions

If the Committee determines that the facts amount to unacceptable professional conduct or professional incompetence, or that a criminal conviction is material to the practice of osteopathy, it will then hear any additional submissions by both parties regarding the allegations. It will also take account of submissions made by each party on the sanction to impose.

The Committee will consider the evidence in private and decide which sanction to impose. Once it has reached a decision, the Chair will announce the sanction.

If the Committee finds an allegation against an osteopath is well founded, it must impose one of the following four sanctions on the osteopath:

- admonishment
- imposition of conditions on the osteopath's practice
- suspension from the Register
- removal from the Register

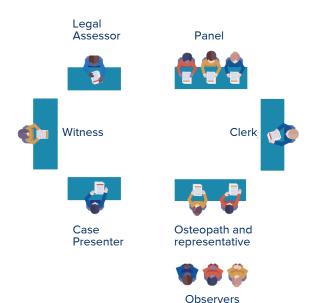
#### **Health Committee**

The Health Committee can only impose conditions of practice or a suspension in cases where it finds that the osteopath's fitness to practise is seriously impaired because of their physical or mental condition.

Committee hearings usually take place in private and consist of three members:

- lay Chair
- osteopath
- lay member

A legal assessor and a medical assessor are also present to assist the Committee on any legal and medical matters that may arise during a hearing.



## **3. Our Fitness to Practise process and timescales explained**

#### How long does a Fitness to Practise investigation take?

Concerns which are screened in are referred to as 'cases.' If a case leads to a hearing, we aim to conclude it within a year of initially receiving it. Timings can vary depending on the complexity of the case. We receive around 75 concerns a year. We aim to progress concerns as swiftly as possible, ensuring a high level of care for everyone involved.

A concern is reviewed by a Screener within 9 weeks of receipt. Around half of the cases reviewed by a Screener are closed with no further action.

Cases are considered by the Investigating Committee within 26 weeks of receiving the concern. The Investigating Committee closes 41% of the cases it considers.

## How quickly do we process concerns?

The table below shows the time taken for cases to be heard, from April 2023 to March 2024.

Committee	Median time from receipt of concern to decision	
Investigating Committee	33 weeks (target 26 weeks)	
Professional Conduct Committee	79 weeks (target 52 weeks)	



The flowchart below provides a visual representation of a typical case over a year and the stages that take place week by week from receiving an initial concern.

### Screener stage

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— Week 1	Concern received
- Week 1-4	Review, allocate, request consent and further detail
Week 5	Consent and further detail received
Week 6-7	Produce regulatory concerns, prepare bundle and send to Screener
— Week 7-8	Screeners decision received

## Investigation stage

<b>-</b>	Week 8-9	Arrange witness statement and request records			
┝┤	Week 10-12	Interview patient, draft and finalise statement			
Н	Week 13-15	Prepare material and instruct expert			
Н	Week 15	Receive expert report and review			
Н	Week 16-20	Prepare bundle of evidence and draft particulars			
┝┤	Week 21	Response due back from osteopath			
Н	Week 22-23	Send osteopaths response to patient for comments			
Н	Week 24-25	Prepare Investigating Committee coversheets and bundle			
$\vdash$	Week 26	Investigating Committee consider case			

## Hearing stage

_	Week 27-32	Prepare case for hearing in accordance with Standard Case Directions
<b>b</b> -	Week 33-37	Osteopath is served the case and asked to fill in a hearings questionnaire. GOSC await response
-	Week 38-39	Review response and consider format and length of hearing
<b>b</b> -	Week 40	Request availability of Professional Conduct Committee, legal assessors, experts
$\vdash$	Week 41	Once availability received, hearing date confirmed to all
$\mid$	Week 42	Osteopath submits reply to expert report (if applicable)
	Week 43-44	GOsC to arrange an expert meeting (where needed)
	Week 45-46	All parties liaise and seek to agree contents of final bundles
_	Week 47	Skeleton arguments produced and bundles uploaded for panel
$\vdash$	Week 48-49	Hearing commences
$\vdash$	Week 50	Hearing concludes

#### **Fictional case study**

The fictional case study below provides more detail about the different stages that a typical case may go through as it progresses to a final hearing before the Professional Conduct Committee.

#### The Screener stage

Patient A emails the General Osteopathic Council (GOsC) with a concern, explaining that they went to see an osteopath because of ongoing back pain. They mention that during their appointment with the osteopath, they were asked to remove their top and lie face down on the treatment table. The osteopath then proceeded to put a lot of pressure on Patient A's back using their elbow. Patient A asked them to stop as they were in pain but they refused, saying "don't worry, I know what I'm doing". When they complained again, the osteopath said "don't be such a baby. No pain, no gain" and continued to press down with considerable force. Since that appointment, a few weeks ago, Patient A has been in a lot of pain and unable to walk properly.

A Regulation Officer calls Patient A to explain the investigation process. They explain that we may need to take a witness statement in due course, and details of their concern will need to be disclosed to the osteopath. Patient A agrees and fills out a concern and a consent form.

The Regulation Officer fills out an internal case management and risk assessment form, noting that Patient A said they wrote directly to the practice to complain but were unhappy with their response. The Regulation Officer asks Patient A for a copy of that correspondence. The concern is prepared and the papers are sent to a Screener (an osteopathic member of the Investigating Committee) that will review the concern and consider whether the GOsC can investigate.

> An osteopathic member of the Investigating Committee will review the concern and consider whether the GOsC can investigate

Patient A emails the General Osteopathic Council with a concern

Since that appointment, a few weeks ago, Patient A has been in a lot of pain and unable to walk properly

They wrote directly to the practice to complain but were unhappy with their response

#### The Investigation stage

The Screener's decision is to refer the case to the Investigating Committee (IC) to decide whether there is a case to answer. At this point, the osteopath is informed that a concern has been raised about them, Patient A is also updated.

The Regulation Officer investigates and obtains all the necessary information including Patient A's notes from the osteopath which are sent to a clinical advisor.

The clinical advisor recommends asking Patient A for further clarification around pre-treatment communication, as it is unclear from the notes whether the osteopath explained what they were going to do before treatment was given.

The Regulation Officer then takes a witness statement and works with Patient A to amend the statement until they are happy and ready to sign it. The expert witness is instructed to provide a report about whether the osteopath met the standard expected of a reasonably competent osteopath in their treatment of Patient A.

The Regulation Officer reviews the file and prepares a 'bundle' of the evidence that includes the osteopathic notes, Patient A's witness statement and the expert report. They remove any personal information and finalise the particulars of the allegation.

The allegation and bundle are sent to the osteopath, and they are given 28 days to provide a response. The osteopath denies the allegation and their response is sent to Patient A for comment within 14 days.

The case is then considered by the IC at its next meeting and they decide if there is a case to answer so they refer to the Professional Conduct Committee (PCC) for a hearing.

It is unclear from the notes whether the osteopath explained what they were going to do before treatment was given

The expert witness is instructed to provide a report about whether the osteopath met the standard expected of a reasonably competent osteopath in their treatment of Patient A

The osteopath denies the allegation

#### The hearing stage

Under our **standard case directions**, the case is served on the osteopath and they are asked to complete a listing questionnaire, providing their dates of availability for a hearing, details of any witnesses they intend to call, and any preliminary arguments they intend to make.

Patient A and the expert witness are asked to confirm their availability to give evidence at the hearing if required.

The hearing is listed for four days and notice is served on the parties. The hearing will take place remotely in accordance with our **Remote Hearings: Guidance and Protocol**.

The osteopath's response is received from their legal representative. It is disputed that they carried on with treatment against Patient A's wishes, they deny that they said "don't be such a baby, no pain, no gain".

The Regulation Officer contacts Patient A to explain that, as their evidence is being disputed they will need to attend the hearing as a witness. After correspondence between the osteopaths representative and the GOsC, they agree the hearing bundle.

The 'skeleton arguments', which are the written documents provided prior to a hearing, summarise the issues to be addressed in the case of both the GOsC and the osteopath.

These are exchanged and the papers are provided to the PCC panel members and their legal assessor in advance of the hearing, via Caselines (the evidence preparation and presentation online system).

The hearing takes place and the PCC decides to issue the osteopath with a 'conditions of practice order' for two years after finding the allegation is well founded. The PCC provides both the osteopath and the GOsC with the written reasons for their decision.

In reaching a decision, the PCC take account of the **Osteopathic Practice Standards** and the **Hearings and Sanctions Guidance**.

The osteopath and his representatives have 28 days to appeal the decision to the High Court. The PCCs decision is also sent to the Professional Standards Authority for Health and Social Care, which reviews every final decision made by the PCC and can appeal the decision if it decides that the sanction is insufficient for public protection.

After the concern was screened in by a Screener, the osteopath was signposted by the GOsC to the **Independent Support Service**, delivered by Victim Support who have been working with GOsC for several years to provide confidential support to patients, witnesses and osteopaths going through the fitness to practise process.

Osteopaths involved in a fitness to practice investigation can email the Independent Support Service directly by emailing **medicalcouncils@victimsupport.org.uk** or calling 0300 303 1964. The helpline is open 24/7, 365 days a year. The case is served on the osteopath

The PCC decides to issue the osteopath with a 'conditions of practice order' for two years

After the concern was screened in by a Screener, the osteopath was signposted by the GOsC to the Independent Support Service

# 4. Professional Conduct Committee decisions 2023-2024

The table below covers substantive hearings concluded by the General Osteopathic Council's Professional Conduct Committee during the period 1 April 2023 to 31 March 2024.

This report is produced in accordance with the Osteopaths Act 1993, Section 22(13) and (14), which requires the Committee to publish a report setting out the names of those osteopaths in respect of whom it has investigated allegations and found the allegations to be well founded. Issues involving osteopaths' fitness to practise are an integral part of the GOsC's duty to regulate the profession and thereby protect the public and the profession's reputation. The information contained in this report provides a valuable resource to osteopaths on the high standards of conduct and proficiency required to maintain registration as an osteopath.

#### Substantive hearings

Osteopath	Date of decision	Source of concern	Outcome	Summary
Antonio Carannante (11277)	19 April 2023	Registrar	Admonishment	<ul> <li>From 8 February up to and including 12 March 2022 (the relevant period), Mr Carannante was registered and practised as an osteopath.</li> <li>During this period: <ol> <li>Mr Carannante failed to obtain and maintain insurance cover.</li> </ol> </li> <li>Mr Carannante knew that in holding himself out to the public as an osteopath, he was required to hold professional indemnity insurance.</li> <li>Mr Carannante treated patients despite not having appropriate professional indemnity insurance, thereby acting to the potential detriment of such patients and placing them at risk.</li> <li>Mr Carannante's conduct was misleading.</li> </ul>

Osteopath	Date of decision	Source of concern	Outcome	Summary
Pietro Basilico (10742)	19 May 2023	Registrar	Admonishment	<ul> <li>From 17 May 2021 to 20 January 2022, inclusive of both dates (the relevant period), Mr Basilico was registered and practised as an osteopath.</li> <li>During this period: <ol> <li>Mr Basilico failed to obtain and maintain insurance cover.</li> </ol> </li> <li>Mr Basilico knew that in holding himself out to the public as an osteopath, he was required to hold professional indemnity insurance.</li> <li>Mr Basilico treated patients despite not having appropriate professional indemnity insurance, thereby acting to the potential detriment of such patients and placing them at risk.</li> <li>Mr Basilico's conduct was misleading.</li> </ul>

#### **Review hearings**

Osteopath	Date of review	Order requiring review	Outcome of review
Chen Chen (9744)	11 August 2023	Conditions of Practice Order	Conditions of Practice Order for a period of six months
Chen Chen (9744)	12 January 2024	Conditions of Practice Order	Extended Conditions of Practice Order revoked with immediate effect



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