

Annex A to 8

Fitness to practise dashboard 1 July 2021 to 30 September 2021 (Q2)

Case progression – at a glance

- The number of complaints has risen slightly compared to the previous quarter, and almost comparable to the number of concerns received since Q4 2019-2020 (34 concerns).
- The IC met remotely on two occasions in this quarter. It considered five cases of which two were closed and three referred to the PCC. An additional IC was scheduled for mid-September 2021. However due to complexities in one case scheduled on the IC agenda, the decision was taken to replace the IC meeting with an IC ISO hearing to consider a high risk case.
- There are 22 cases that have been referred to the IC by the screeners but not yet considered. We have scheduled two additional IC meetings to take place in November 2021, with four meetings in total scheduled to be held in Q3 2021-2022.
- This higher number of cases is due to the fact that 60% of cases referred to the IC by screeners occurred over in Q2 2021-2022.
- The number of live cases older than 52 weeks has increased by three to 10 cases. The figure of 10 cases consists of 4 cases (involving one registrant) that are currently subject to an ongoing and lengthy police investigation with regard to matters that had already been referred to the IC by screeners, 3 cases that were part heard (of which 1 case is resuming on 17/11/2021 and another two we are currently being relisted) and another 3 where the timely progression was impacted by the pandemic.
- In this quarter the PCC sat remotely for 4 substantive hearings, reaching a final determination in two cases, with two cases being part heard. We have noted a trend in remote hearings taking significantly longer to conclude, despite scheduling longer time to take account of this. This is not assisted by hearing days generally starting later and finishing earlier than planned.
- In this quarter the HC considered one case as a substantive review hearing.
- There are 16 cases referred to the PCC, 6 of which have already been scheduled for hearing.
- We have recruited for a Regulation Officer as well as a temporary Regulation Assistant, as the outgoing Regulation Assistant left the GOsC for a new role on 1 October 2021. Both new staff members start with the GOsC early in Q3 2021-2022.

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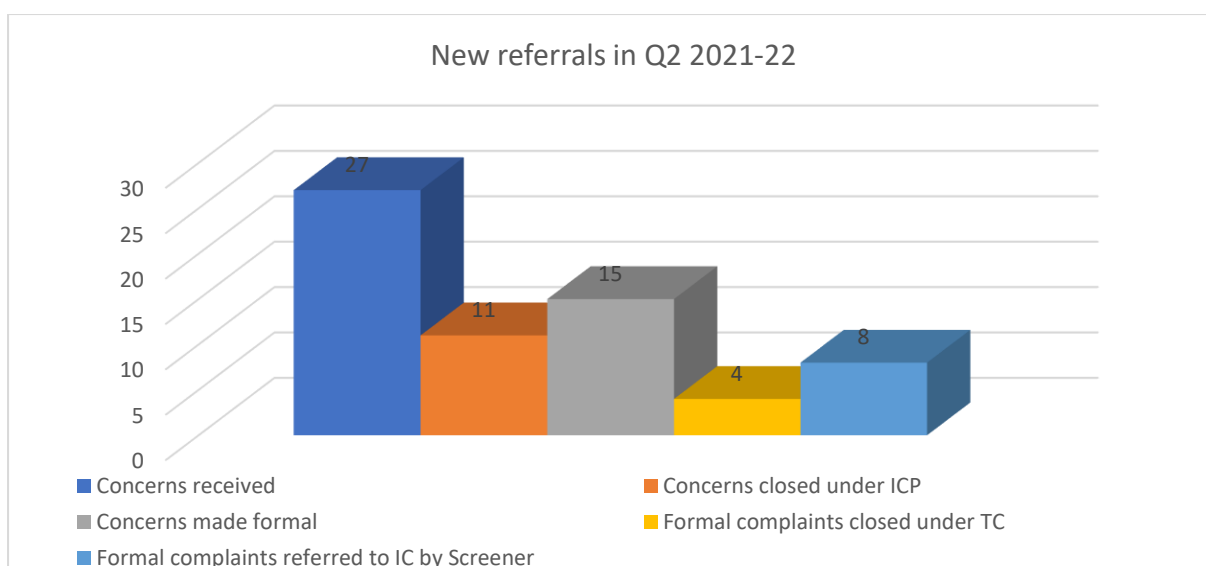
Case Progression	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	10	11	14	22
Referred to PCC/HC by IC but not yet heard (as at end of quarter)	15	16	16	16
Referred to PCC/HC by IC and listed for hearing (as at end of quarter)	4	6	8	6
PCC/HC Cases part heard (as at end of quarter)	2	1	1	3
Formal complaints open (as at end of quarter)	34	28	31	38
Cases that need review hearings (as at end of quarter)	3	3	4	3

Age of Caseload from Date Received	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
52 weeks – 103 weeks	11	10	7	10
104 weeks – 155 weeks	0	0	2	3
156 weeks and above	1	0	0	0

New Referrals

- The number of concerns has risen slightly compared to the previous quarter and, although slightly higher, is comparable to the referrals received in Q1 in 2020, before the pandemic started. The figure of 27 concerns received in Q2 2021-22 is now the highest number of concerns received in a quarter since Q4 (2019-2020).
- The number of cases closed under the Initial Closure Procedure (ICP), of which there were 11, accords with the usual output in this regard.

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New referrals	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Concerns received	18	19	20	27
Concerns closed under ICP	7	18	2	11
Concerns made formal	9	13	9	15
Formal complaints closed under TC	7	7	2	4
Formal complaints referred to IC by Screener	6	4	7	8

Source of formal complaints	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Self-referral by the registrant	0	2	1	2
Registrar's allegation	2	5	1	1
Non-NHS employer	0	0	0	0
Patient or service user	6	6	10	17
NHS	0	0	0	0
Another registrant	0	0	1	4
Anonymous informant	0	0	0	0
Another regulatory body	0	0	0	0
Any other informant	1	0	7	3
Total	9	13	20	27

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Allegations in formal complaints	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Conduct	8	12	9	5
Conviction	1	1	0	1
Competency	0	0	0	7
Adjunctive therapies	0	0	0	0
Health	0	0	0	2
Total	9	13	9	15

Key Performance Indicators

- The Screener KPI was met for this quarter.
- The IC KPI was exceeded in this quarter by two weeks. This goes some way to support the fact that, although numbers of cases are higher than usual at this stage, cases are actively progressing without impacting on the quality of investigations. No cases were adjourned by the IC.
- For the first time since Q3 (2019-2020) the end to end KPI was exceeded. An excellent team achievement, particularly in light of the pandemic that has presented significant challenges in case progression over the last year or so.

Performance at a glance

Case stage	Key Performance Indicator	Performance Target	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Screening	Median time from receipt of concern to the screener's decision	9 weeks	11 weeks	9 weeks	9 weeks	9 weeks
Investigating Committee	Median time from receipt of concern to final IC decision	26 weeks	35 weeks	25 weeks	55 weeks	24 weeks
Professional Conduct Committee	Median time from receipt of concern to final PCC decision	52 weeks	63 weeks	77 weeks	79 weeks	50 weeks

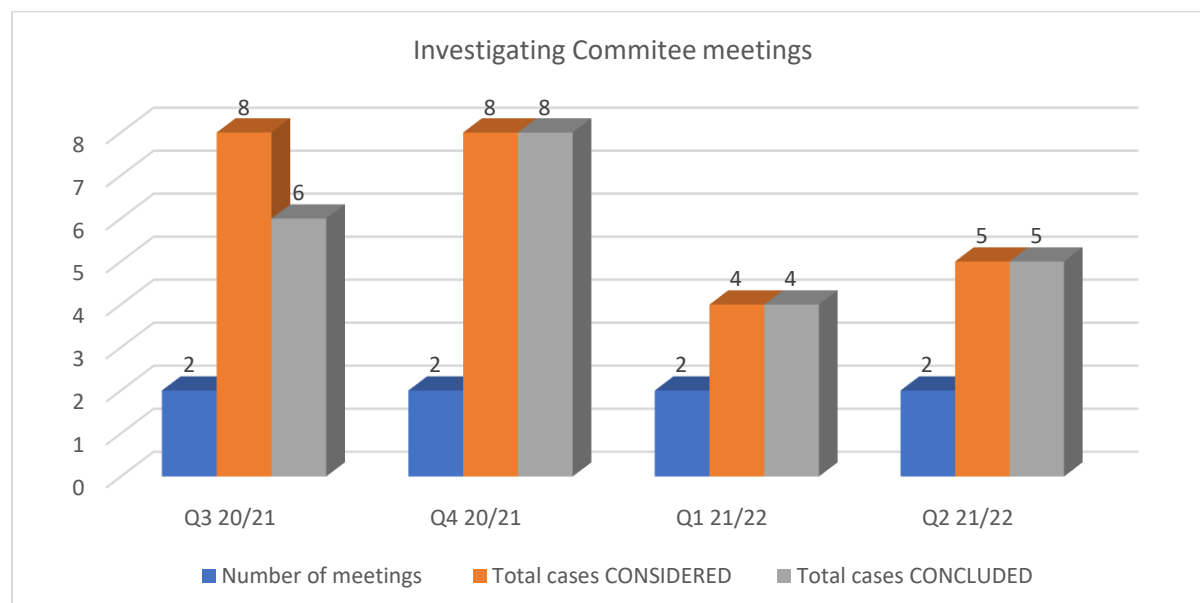
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Performance in detail

Time from receipt of complaint to the screener's decision (9 weeks)	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Median	11 weeks	9 weeks	9 weeks	9 weeks
Longest case	34 weeks	68 weeks	21 weeks	34 weeks
Shortest case	2 weeks	0 weeks	1 week	1 week
Time from receipt of complaint to final IC decision (26 weeks)	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Median	35 weeks	25 weeks	55 weeks	24 weeks
Longest case	54 weeks	33 weeks	80 weeks	50 weeks
Shortest case	17 weeks	15 weeks	23 weeks	20 weeks
Time from final IC decision to final PCC decision or other final disposal of the case (26 weeks)	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Median	41 weeks	49 weeks	44 weeks	37 weeks
Longest case	97 weeks	136 weeks	61 weeks	37 weeks
Shortest case	31 weeks	31 weeks	27 weeks	29 weeks
Time from receipt of referral to final PCC decision or other final disposal of the case (52 weeks)	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Median	63 weeks	77 weeks	79 weeks	50 weeks
Longest case	107 weeks	196 weeks	82 weeks	87 weeks
Shortest case	50 weeks	54 weeks	75 weeks	47 weeks
Median time to interim order committee decision:	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
From receipt of referral	6 weeks	6 weeks	N/A	8 weeks
From decision that there is information indicating the need for an interim order	3 weeks	5 weeks	N/A	3 weeks

Investigating Committee

- The IC considered and concluded five cases this quarter. Two were closed with no further action and three were referred to the PCC.



Investigating Committee Decisions	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
No Case to Answer	3	2	2	2
No Case to Answer with advice	0	0	0	0
Referred to PCC	3	6	2	3
Referred to HC	0	0	0	0
Referred to PCC and HC	0	0	0	0
Adjourned	2	0	0	0
Stayed	0	0	0	0

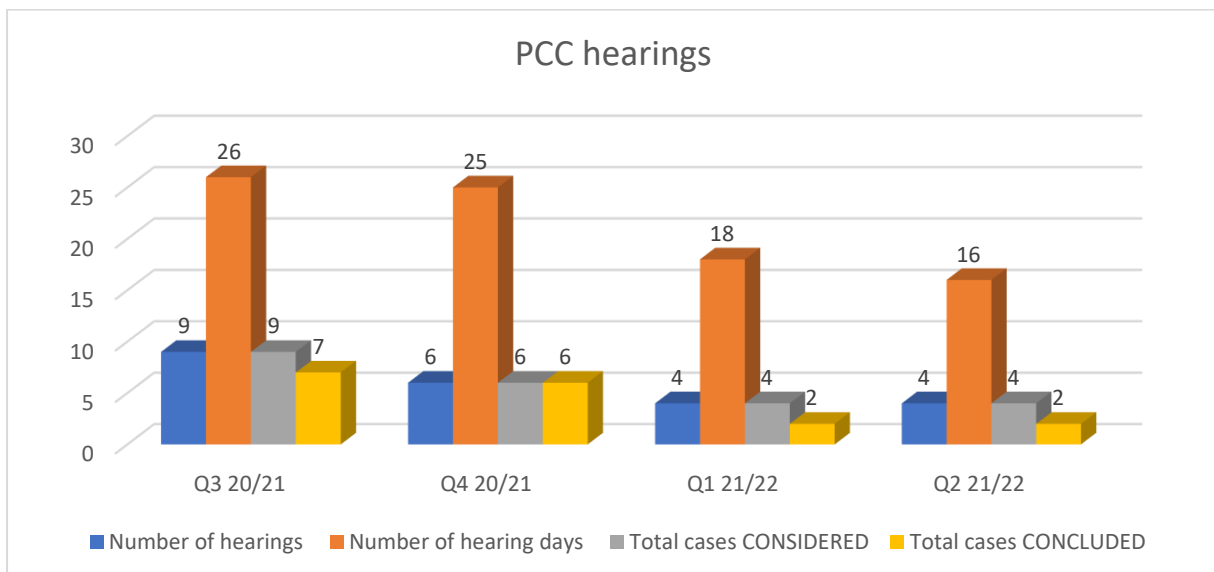
Professional Conduct Committee

- In Q2 (2021-22) the PCC considered four substantive cases, concluding two.
- Two cases were part heard. In one case the matter was part heard due to the consideration of lengthy particulars and extensive deliberation by the Panel. The resuming hearing is taking place in Q3 2021-22. We consider that effective time management by Chairs and Panels with the positive assistance of the legal assessor, is essential to concluding cases in a timely way. This is brought into sharper focus in the remote hearings setting.

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- We have found that hearings conducted remotely take additional time to complete due to numerous factors such as the impact of technical or internet connection issues, a practice of starting later and finishing earlier and more frequent, longer breaks.
- We have also noted that in camera discussions by Panels generally take longer than in person hearings but this may also be due to the finalising of written reasons by Panels and the developments within case law requiring more detailed reasoning.
- Initially, without prior experience of running remote hearings, it was challenging to accurately estimate how much additional time would be necessary. Our learning in this has increased by taking account of outcomes within both our own hearings and across the sector.

Professional Conduct Committee Hearings	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Number of hearings	9	6	4	4
Number of hearing days	26	25	18	16
Total cases CONSIDERED	9	6	4	4
Total cases CONCLUDED	7	6	2	2



PCC Decisions	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Allegation not 'well founded'	1	0	1	1
Admonished	3	3	0	0
Conditions of Practice	0	1	1	0
Suspension	0	3	0	0
Removal	1	0	0	1
Rule 19	0	0	0	0

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Adjourned	0	0	0	0
Conditions/Suspension to expire at end of order	0	0	0	0
Rule 8 Admonishment	0	0	0	0
Stayed	0	0	0	0

Health Committee

- The Health Committee considered one substantive review hearing in this quarter.

Interim Suspension Orders

- There has been one IC Interim Order hearings held in this quarter and one PCC ISO hearing.

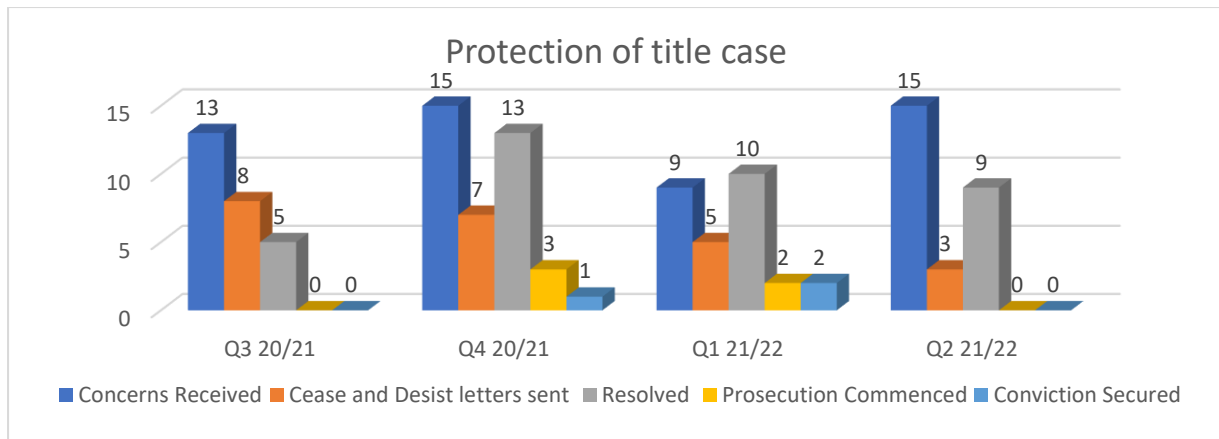
IC Interim Suspension Order Decisions	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Applications made	3	2	0	1
Interim Suspension Order imposed	0	1	0	1
Undertaking	0	1	0	0
Median time to IC decision from receipt of referral	6 weeks	6 weeks	N/A	8 weeks
Median time to IC decision from decision that there is information indicating the need for interim order	3 weeks	5 weeks	N/A	3 weeks

PCC/HC Interim Suspension Order Decisions	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Applications made	0	0	0	1
Interim Suspension Order imposed	0	0	0	1
Undertaking	0	0	0	0

Protection of Title

- There were 34 active Section 32 investigations at 30 September 2021.
- There have been no prosecutions commenced in this quarter.

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Protection of Title	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Concerns Received	13	15	9	15
Cease and Desist letters sent	8	7	5	3
Resolved	5	13	10	9
Prosecution Commenced	0	3	2	0
Conviction Secured	0	1	2	0

Appeals

- No appeals commenced against decisions of the PCC in this quarter.

Total number of registrant appeals in the quarter which are:	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Ongoing	2	1	0	0
Opened	2	0	0	0
Concluded	0	1	0	0
Outcomes of registrant appeals against final fitness to practise decisions:	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Upheld and outcome substituted	1	0	0	0
Upheld and case remitted to regulator for re-hearing	0	0	0	0
Settled by consent	0	0	0	0

Voluntary Removal

- There were no applications for voluntary removal received in this quarter.

Number of voluntary erasure/removal applications: Subsequent to the FTP case being considered by an IC.	Q3 20/21	Q4 20/21	Q1 21/22	Q2 21/22
Received	0	0	0	0
Granted	0	0	0	0