

**Fitness to practise data set Q2 2018-19**

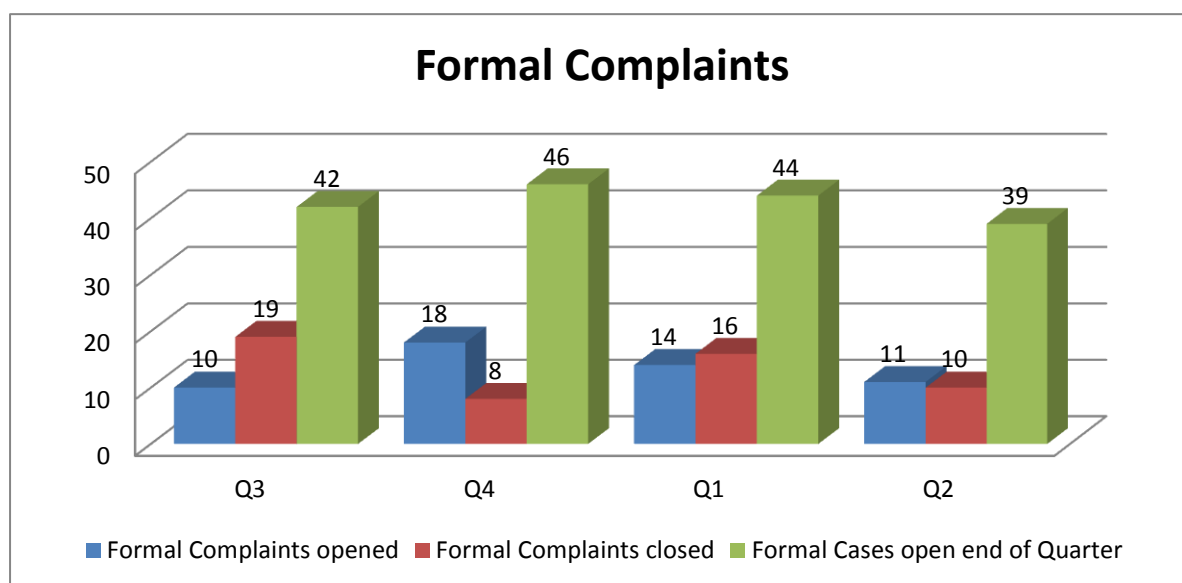
**Concerns and Formal Complaints**

The figures for concerns and complaints received and closed are calculated as at the end of the quarter.

We received three fewer formal complaints in Q2 than in Q1 of 2018-19.

We closed 10 formal complaints in Q2, reducing our current case load to 39 cases.

Number of Complaints Received	Q3	Q4	Q1	Q2
Formal Complaints opened	10	18	14	11
Formal Complaints closed	19	8	16	10
Formal Cases open end of Quarter	42	46	44	39



Source of Formal Complaints	Q3	Q4	Q1	Q2
Self-referral by the registrant	1	1	1	0
Registrar's Allegation	3	5	3	2
Referral by non-NHS employer	0	1	0	0
Referral by patient or service user	4	8	8	5
Referral by NHS	0	0	0	0
Referral by another registrant	0	0	0	1
Anonymous informant	0	0	0	0
Referral by another regulator body	0	1	0	0
Any other informant	2	2	2	3
<b>Total</b>	<b>10</b>	<b>18</b>	<b>14</b>	<b>11</b>

**Key points:** 'Referral by patient or service user' continues to be the most common source of formal complaints.

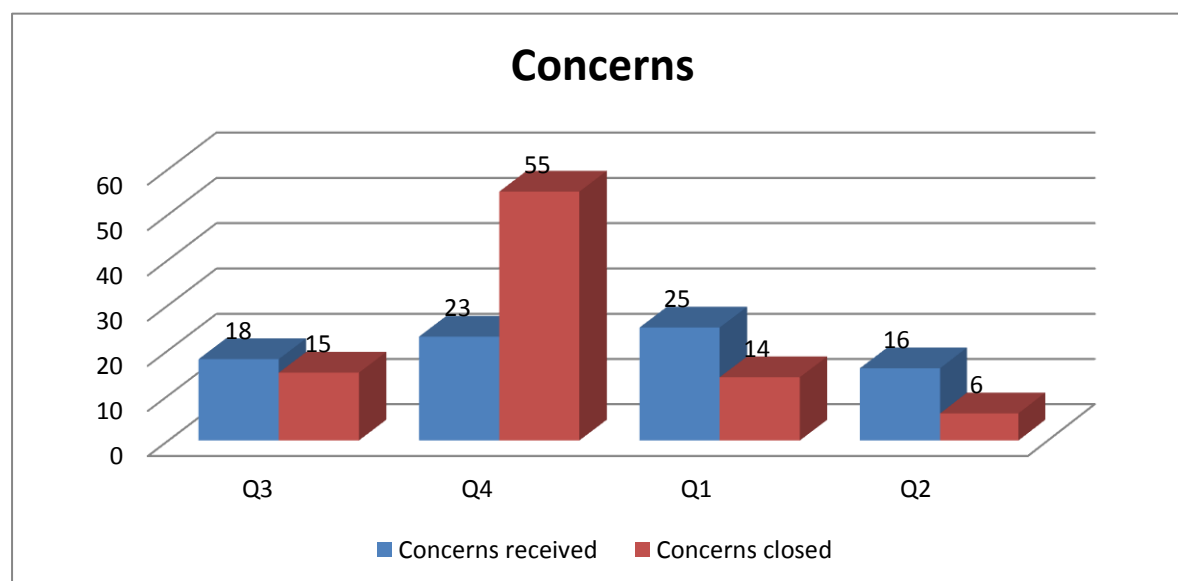
Allegations in Formal Complaints	Q3	Q4	Q1	Q2
Conduct	9	16	13	11
Conviction	1	1	1	0
Competency	0	0	0	0
Adjunctive Therapies	0	1	0	0
Health	0	0	1	0
<b>Total</b>	<b>10</b>	<b>18</b>	<b>14</b>	<b>11</b>

**Key points:** conduct continues to be the main type of allegation raised in complaints. We did not receive complaints of any other type this quarter.

### Concerns received

Sixteen concerns were received in Q2. The concerns received were varied in Q2 with no clear trend. They included concerns about communication, treatment, sexually motivated conduct and data protection.

Number of Concerns Received	Q3	Q4	Q1	Q2
Concerns received	18	23	25	16
Concerns closed	15	55	14	6



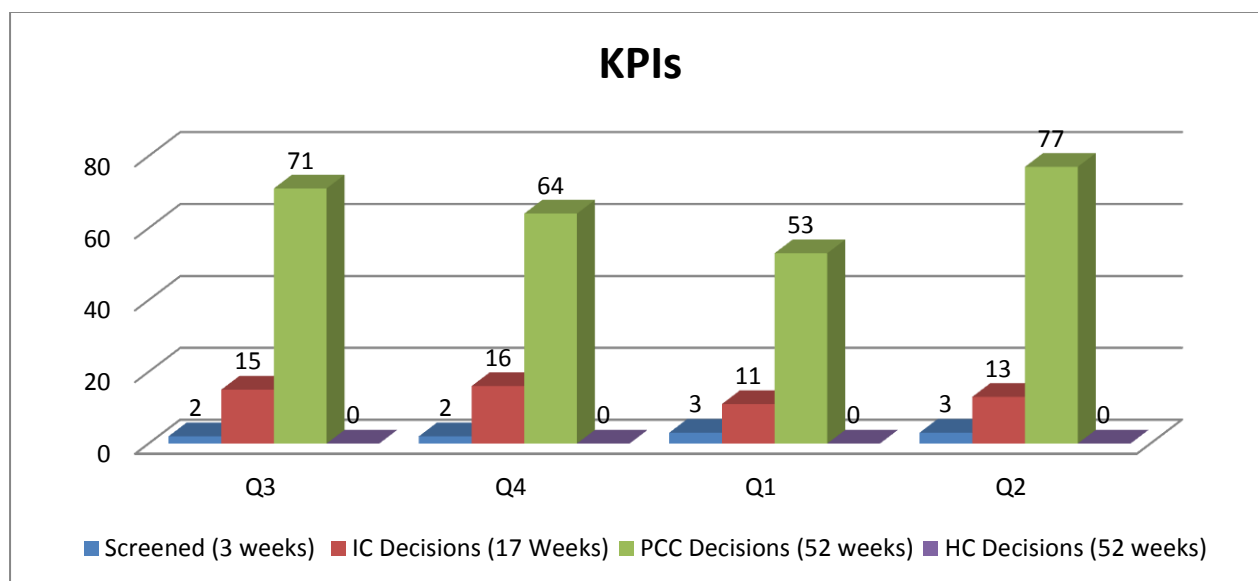
**Key Performance Indicators**

**Key Points:** all KPI's are measured in Median weeks. The KPIs for screened and IC Decisions have been met in Q2. The Screened median remained constant from Q1 to Q2. The IC Decisions median has increased by two weeks compared to Q1 but is still comfortably within KPI.

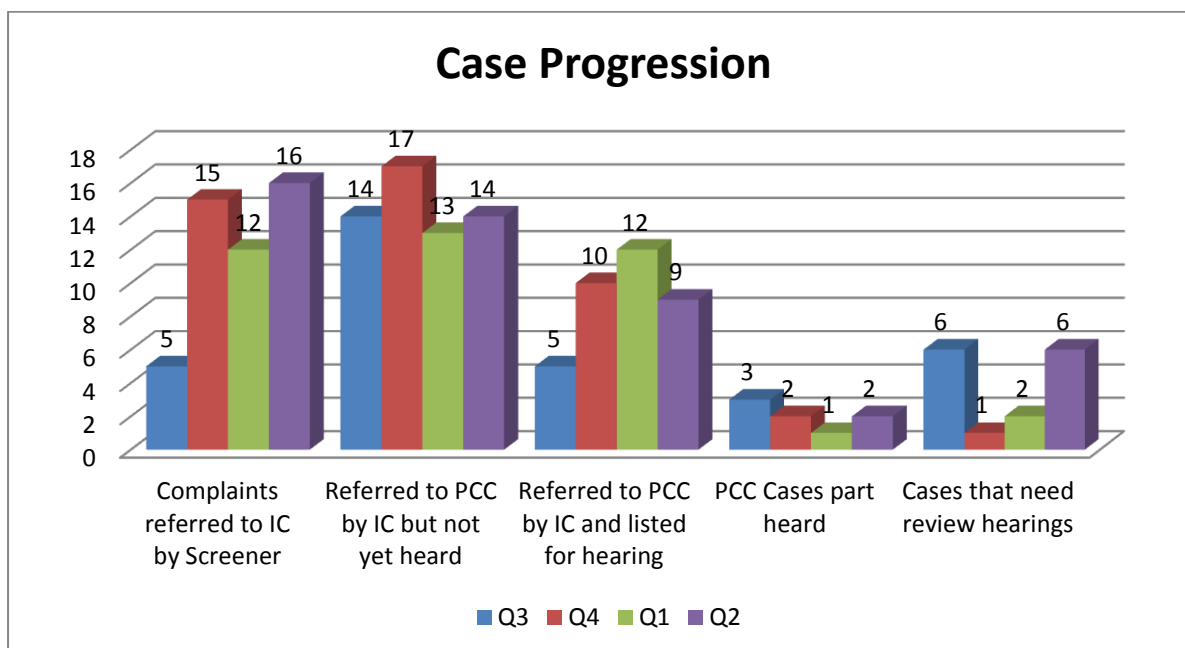
The PCC Decisions median has increased from 53 weeks in Q1 to 77 weeks in Q2. This reflects the conclusion of several complex older cases. We anticipate that this is a temporary increase and the median will see a decrease in Q3.

No cases were considered by the HC in Q2.

Performance Against KPIs	Q3	Q4	Q1	Q2
Screened (3 weeks)	2	2	3	3
IC Decisions (17 Weeks)	15	16	11	13
PCC Decisions (52 weeks)	71	64	53	77
HC Decisions (52 weeks)	n/a	n/a	n/a	n/a



Case Progression	Q3	Q4	Q1	Q2
Complaints referred to IC by Screener	5	15	12	16
Referred to PCC by IC but not yet heard	14	17	13	14
Referred to PCC by IC and listed for hearing	5	10	12	9
PCC Cases part heard	3	2	1	2
Cases that need review hearings	6	1	2	6



**Key points:** the number of cases referred to the IC by screeners has increased this quarter, but is comparable to Q4 of 2017-18. The number of cases referred by the IC but not yet heard remains consistent with the previous quarter. Although the number of cases listed for hearings has decreased, the majority of cases not yet listed are either provisionally listed within KPI, or are on track to be listed within KPI. There are four cases not yet listed which are outside KPI. Of the four cases older, three were on hold until recently pending the outcome of criminal investigation / trials, and one has been considered by the PCC but remains open because it has been referred to the HC.

Formal Complaint to Final IC decision (in weeks)	Q3	Q4	Q1	Q2
Median	16	16	11	13
Longest Case	38	47	30	65
Shortest Case	7	4	7	10

**Key points:** in Q2 the median increased by two weeks. The longest case was one which was on hold pending the outcome of a criminal trial.

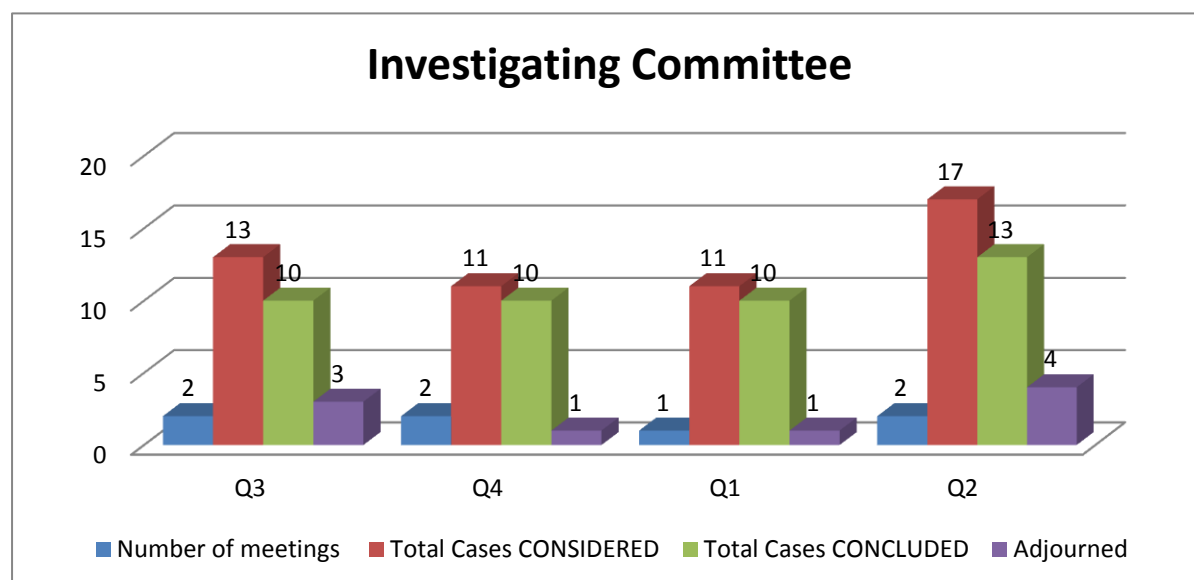
Cases open end of quarter older than	Q3	Q4	Q1	Q2
52 weeks	12	7	7	4
104 weeks	2	1	1	1
156 weeks	0	0	1	0

**Key points:** the number of cases older than 52 weeks has decreased to four in Q2 compared to seven in Q1. This is because a number of older and more complex cases were disposed of in this quarter, including the case that was older than 156 weeks.

## Investigating Committee

**Key points:** the IC held two meetings in Q2 and considered 17 cases. Eight cases considered by the IC were referred to the PCC. The IC adjourned four cases in Q2. The IC considered two interim suspension order applications and granted one in Q2.

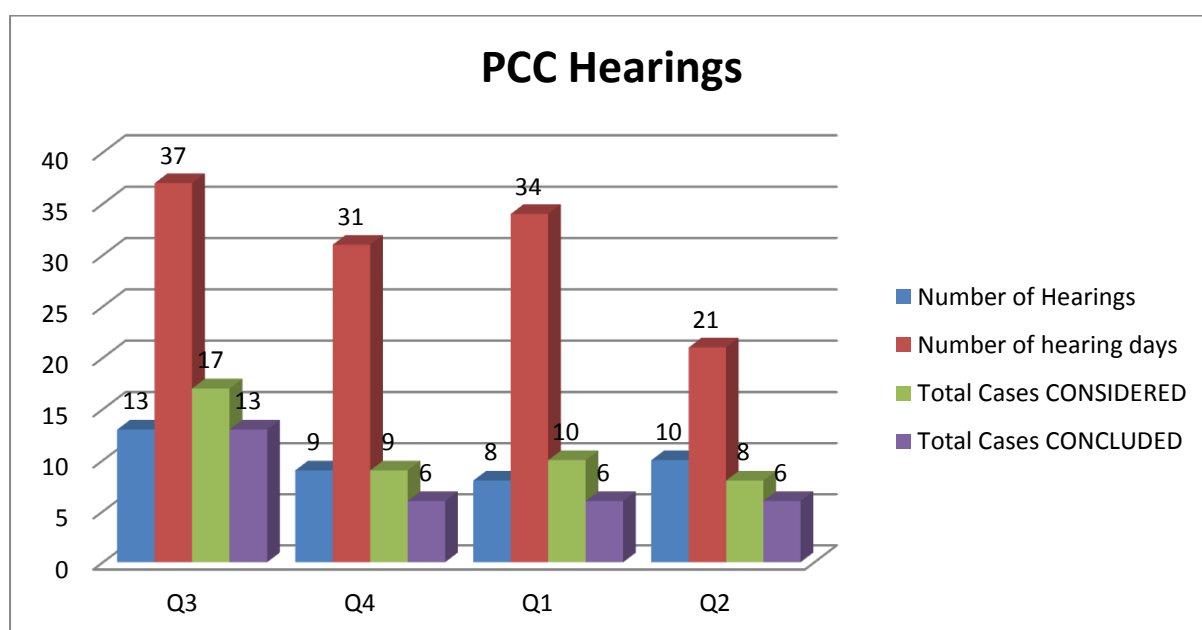
Investigating Committee	Q3	Q4	Q1	Q2
<b>IC MEETINGS</b>				
Number of meetings	2	2	1	2
Total Cases CONSIDERED	13	11	11	17
Total Cases CONCLUDED	10	10	10	13
<b>IC DECISIONS</b>				
No Case to Answer	4	3	4	4
Referred to PCC	5	7	6	8
Referred to HC	0	0	0	1
Referred to PCC and HC	0	0	0	0
Adjourned	3	1	1	4
<b>IC Interim Suspension Order DECISIONS</b>				
Applications made	0	2	1	2
Interim Suspension Order Imposed	0	1	0	1
Undertaking	0	1	0	0
Receipt of complaint to ISO Decision (MEDIAN in weeks)	0	3	4	6



**Professional Conduct Committee**

**Key points:** in Q2 the PCC considered eight cases over 21 days, concluding six of those cases. This is lower than in previous quarters but is indicative of a return to a relatively stable caseload following a very busy year in 2017-18.

Professional Conduct Committee	Q3	Q4	Q1	Q2
<b>PCC Hearings</b>				
Number of Hearings	13	9	8	10
Number of hearing days	37	31	34	21
Total Cases CONSIDERED	17	9	10	8
Total Cases CONCLUDED	13	6	6	6
<b>PCC DECISIONS</b>				
Allegation not 'well founded'	6	2	3	1
Admonished	3	1	2	0
Conditions of Practice	4	0	0	1
Suspension	0	1	1	2
Removal	0	1	0	1
Rule 19	0	1	0	1
Adjourned	3	2	2	2
Conditions/Suspension to expire at end of order	1	0	0	0
Rule 8 Admonishment	0	0	0	0
<b>PCC Interim Suspension Order DECISIONS</b>				
Applications made	0	1	0	1
Interim Suspension Order Imposed	0	1	0	1
Undertaking	0	0	0	0



**Protection of Title**

**Key points:** there are 38 active protection of title cases as at 1 November 2018.

The figures for protection of title concerns received and resolved are calculated as those received and resolved during the quarter. It is possible to resolve more concerns in a quarter than were received taking into account for example the time delay between sending out a Cease and Desist letter in one quarter and receiving a response in another.

Protection of Title	Q3	Q4	Q1	Q2
Concerns Received	8	9	8	8
Cease and Desist letters sent	5	4	5	6
Resolved	7	4	9	4
Prosecution Commenced	0	0	0	0
Conviction Secured	0	0	0	0

