

# Annex A to 7

## Fitness to practise dashboard 01 January 2023 to 31 March 2024 (Q4)

### Case progression – at a glance

- We have received 15 new concerns during the reporting period, a decrease of two cases since the previous quarter.
- The Investigating Committee (IC) met remotely on two occasions and considered 6 cases.
- During this reporting period the Professional Conduct Committee (PCC) met on one occasion where a PCC review hearing took place.

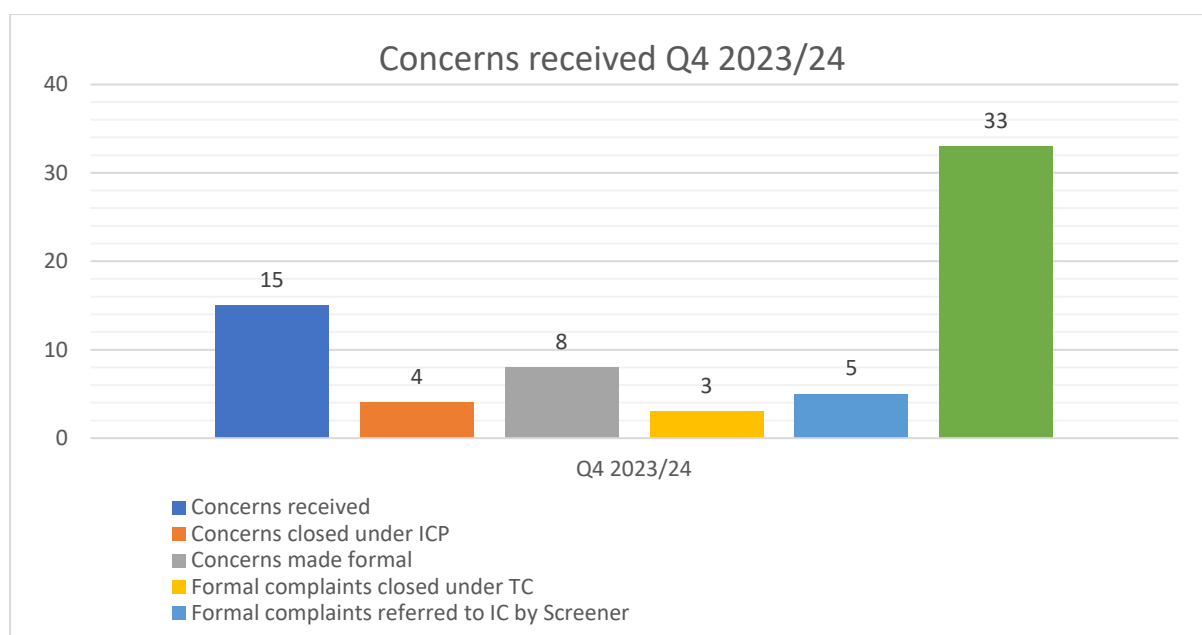
Referrals Received	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Formal complaints referred to IC by Screener	11	11	10	5
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	26	33	34	33
Referred to PCC/HC by IC but not yet heard (as at end of quarter)	12	13	18	22
Referred to PCC/HC by IC and listed for hearing (as at end of quarter)	2	0	6	3
PCC/HC Cases part heard (as at end of quarter)	0	0	0	0
Formal complaints open (as at end of quarter)	39	47	53	56
Cases that need review hearings (as at end of quarter)	3	3	4	3

Age of Caseload from Date Received	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
52 weeks – 103 weeks	9	16	14	15
104 weeks – 155 weeks	5	3	4	7
156 weeks and above	4	5	6	6

### New Referrals

- We have received 15 new concerns during the reporting period.
- Four cases were closed under the Initial Closure Procedure (ICP) during this reporting period.
- Three cases were closed under the threshold criteria.
- Out of the 12 cases considered by screeners, five of these were referred to the IC. This is a decrease following the last two previous quarters in the number of cases (12) referred to the IC.

## Annex A to 7



Referrals Received	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Concerns received	21	15	17	15
Concerns closed under ICP	6	4	4	4
Concerns made formal	9	11	10	8
Formal complaints closed under TC	1	1	0	3
Formal complaints referred to IC by Screener	11	11	10	5
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	26	33	34	33

**Note** – the number of concerns received during the reporting period will not directly correlate to the number of concerns that are made formal, or decisions by the screeners, during the reporting period.

Source of formal complaints	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Self-referral by the registrant	1	0	1	1
Registrar's allegation	0	1	2	0
Non-NHS employer	0	1	1	0
Patient or service user	8	9	6	6
NHS	0	0	0	0
Another registrant	0	0	0	0
Anonymous informant	0	0	0	0
Another regulatory body	0	0	0	0
Any other informant	0	0	0	1
Total	9	11	10	8

## Annex A to 7

Allegations in formal complaints	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Conduct	9	10	10	7
Conviction	0	1	0	0
Competency	0	0	0	0
Adjunctive therapies	0	0	0	1
Health	0	0	0	0
Total	9	11	10	8

### Key Performance Indicators

- The Screener KPI was exceeded, at eight weeks.
- The Investigating Committee KPI was not met.
- Due to no substantive hearings commencing or concluding during the reporting period the KPI is not applicable in this regard.

### Performance at a glance

Case stage	Key Performance Indicator	Performance Target	Q1 23/24	Q2 23/24	Q3 23/24	Q4 23/24
Screening	Median time from receipt of concern to the screener's decision	9 weeks	6 weeks	8 weeks	7 weeks	8 weeks
Investigating Committee	Median time from receipt of concern to final IC decision	26 weeks	55 weeks	42 weeks	33 weeks	32 weeks
Professional Conduct Committee	Median time from receipt of concern to final PCC decision	52 weeks	63 weeks	N/A <sup>1</sup>	N/A	N/A

### Performance in detail

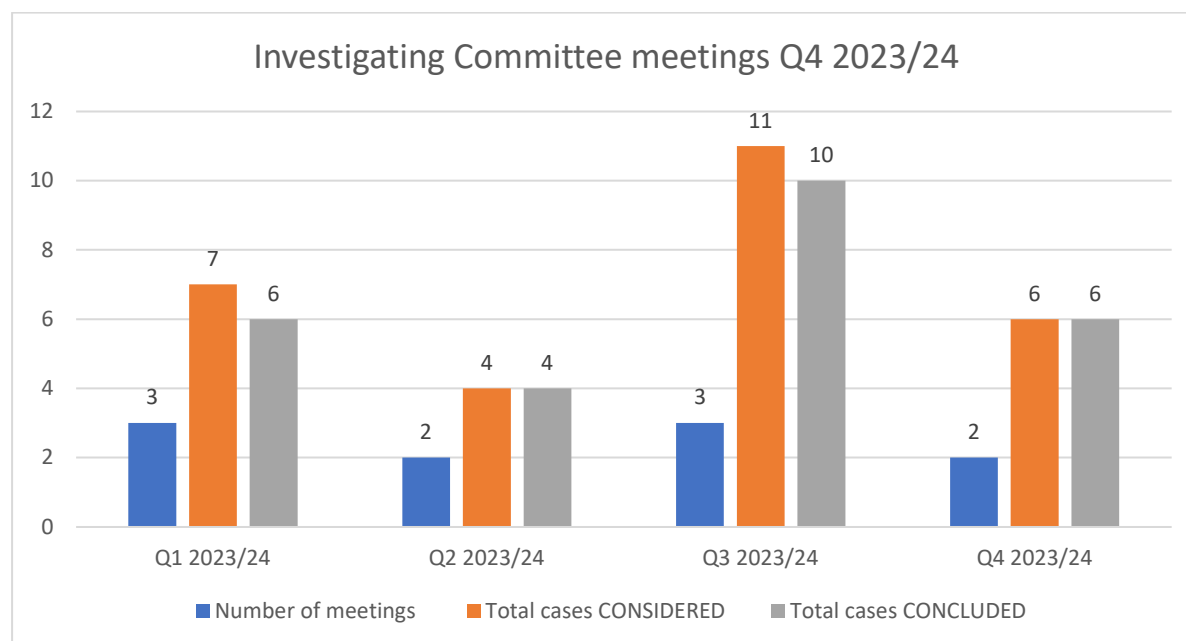
Time from receipt of complaint to the screener's decision (9 weeks)	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Median	6 weeks	8 weeks	7 weeks	8 weeks
Longest case	33 weeks	20 weeks	21 weeks	78 weeks
Shortest case	6 weeks	2 weeks	2 weeks	8 weeks

## Annex A to 7

Time from receipt of complaint to final IC decision (26 weeks)	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Median	55 weeks	42 weeks	33 weeks	32 weeks
Longest case	85 weeks	145 weeks	167 weeks	83 weeks
Shortest case	30 weeks	21 weeks	27 weeks	15 weeks
Time from final IC decision to final PCC decision or other final disposal of the case (26 weeks)	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Median	23 weeks	40 weeks	N/A	N/A
Longest case	70 weeks	40 weeks	N/A	N/A
Shortest case	10 weeks	40 weeks	N/A	N/A
Time from receipt of referral to final PCC decision or other final disposal of the case (52 weeks)	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Median	63 weeks	95 weeks	N/A	N/A
Longest case	97 weeks	95 weeks	N/A	N/A
Shortest case	49 weeks	95 weeks	N/A	N/A
Median time to interim order committee decision:	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
From receipt of referral	7 weeks	46 weeks	NA	9 weeks
From decision that there is information indicating the need for an interim order	2 weeks	3 weeks	NA	6 weeks

## Investigating Committee

- The IC met remotely on two occasions during the reporting period and considered six cases.
- The IC met on two occasions to consider an Interim Suspension Order (ISO) application. Undertakings were excepted in one case and in the other an ISO was imposed on the registrants practise.



Investigating Committee Decisions	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
No Case to Answer	4	2	3	1
No Case to Answer with advice	0	1	1	1
Referred to PCC	0	1	6	4
Referred to HC	0	0	0	0
Referred to PCC and HC	0	0	0	0
Adjourned	1	0	1	0
Stayed	0	0	0	0
Rule 19 agreed	1	1	0	0

## Professional Conduct Committee

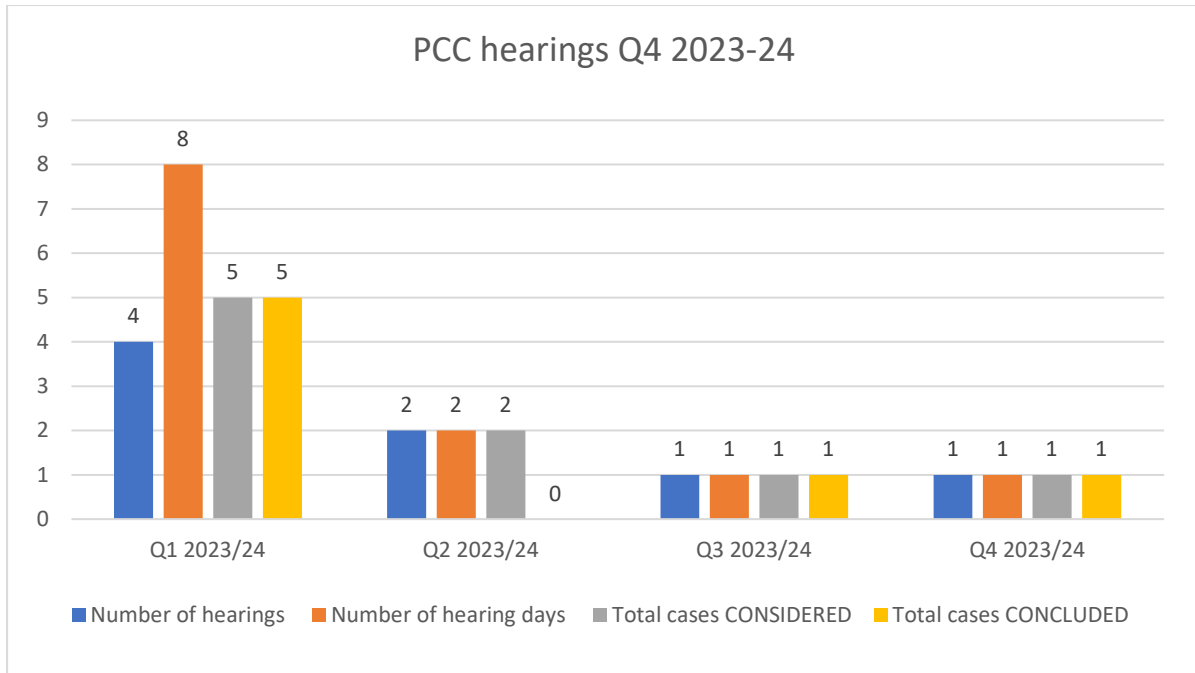
- During the reporting period there were no PCC substantive hearings. There was however one PCC review hearing, in which the PCC determined that the conditions on the Registrant's practise could be revoked.
- Consistent with the reasons provided to Council at its meeting in February 2024, the lower than usual output of hearings at this stage is for the following reasons:

## Annex A to 7

- Currently 40% of our caseload (increased slightly from 39%) is made up of third-party cases (police/courts/health) awaiting conclusion before we can progress;
- The low number of concerns we have received between Q3 2021-2022 and Q2 2022-2023 has had some impact on the number of total referrals to final hearing.
- There are currently 22 cases at the PCC stage, the breakdown of which as follows:
  - Three are scheduled for a hearing.
  - We are working to schedule at least ten cases to be considered by the PCC over Q1 and Q2 (2024/2025).
  - Two cases are currently under investigation by the police and we cannot progress this until the outcome of the investigation.
  - Four cases were referred to the PCC in Q4 (the reporting period) and so we are currently preparing these cases for service in accordance with our standard case directions, before scheduling for a hearing.
  - In three complex cases we are preparing the cases for service on the registrant before we can schedule for a hearing.

Professional Conduct Committee Hearings	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Number of hearings	4	2	1	1
Number of hearing days	8	2	1	1
Total cases CONSIDERED	5	2	1	1
Total cases CONCLUDED	5	0	1	1

## Annex A to 7



PCC Decisions	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Allegation not 'well founded'	1	0	0	0
Admonished	2	0	0	0
Conditions of Practice	0	1	0	0
Suspension	0	0	0	0
Removal	0	0	0	0
Rule 19	2	0	0	0
Adjourned	0	0	0	0
Conditions/Suspension to expire at end of order	0	0	0	1
Rule 8 Admonishment	0	0	0	0
Stayed	0	0	0	0
Referred to the HC	0	0	1	0

### Health Committee

- The Health Committee were due to consider one HC review hearing however the hearing was postponed the day before due to personal circumstances of the medical assessor who was empanelled to sit with the Health Committee. Unfortunately the hearing was not therefore able to commence.

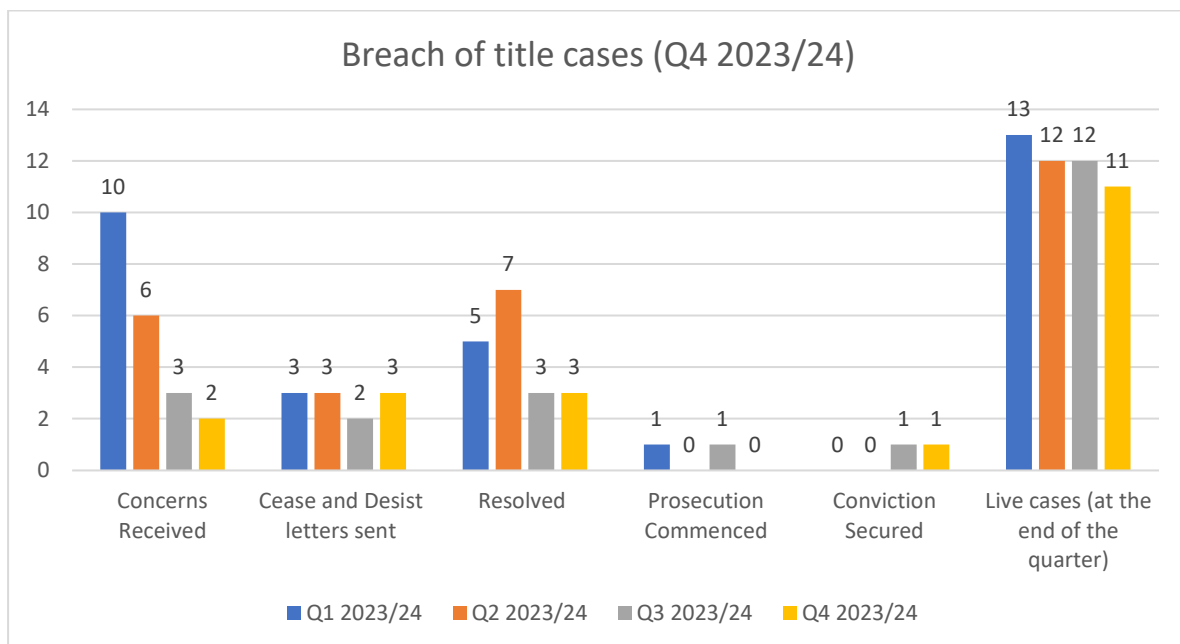
## Interim Suspension Orders

IC Interim Suspension Order Decisions	Q1 23/24	Q2 23/24	Q3 23/24	Q4 23/24
Applications made	1	1	1	2
Interim Suspension Order imposed	0	0	0	1
Undertaking	1	0	0	1
Adjourned	0	0	1	0
Median time to IC decision from receipt of referral	7 weeks	46 weeks	N/A	9 weeks
Median time to IC decision from decision that there is information indicating the need for interim order	2 weeks	2 weeks	N/A	6 weeks

PCC/HC Interim Suspension Order Decisions	Q1 23/24	Q2 23/24	Q3 23/24	Q4 23/24
Applications made	2	0	0	1
Interim Suspension Order imposed	0	0	0	1
Undertaking	1	0	0	0

## Protection of Title

- There are currently 11 active Section 32 investigations as at 31 March 2024, one less than at the end of the previous quarter. As at 31 March 2024 there were 11 active cases.





## Annex A to 7

Protection of Title	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Concerns Received	10	6	3	2
Cease and Desist letters sent	3	3	2	3
Resolved	5	7	3	3
Prosecution Commenced	1	0	1	0
Conviction Secured	0	0	1	1
Live cases (at the end of the quarter)	13	12	12	11

### Appeals

- No Registration appeals were received, or considered, during the reporting period by the Registration Appeal Committee.

Total number of registrant appeals in the quarter which are:	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Ongoing	0	0	0	0
Opened	0	0	0	0
Concluded	2	0	0	0
Outcomes of registrant appeals against final fitness to practise decisions:	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Upheld and outcome substituted	0	0	0	0
Upheld and case remitted to regulator for re-hearing	0	0	0	0
Settled by consent	0	0	0	0

### Voluntary Removal

- There were no voluntary removal applications received in the reporting period.

Number of voluntary erasure/removal applications: Subsequent to the FTP case being considered by an IC.	Q1 2023/24	Q2 2023/24	Q3 2023/24	Q4 2023/24
Received	1	0	0	0
Granted	1	0	0	0