

Annex A to 8

Fitness to practise dashboard 01 January 2023 to 31 March 2023 (Q4)

Case progression – at a glance

- We have received 12 new concerns during the reporting period, a decrease of 42% from the last quarter.
- The IC met remotely on two occasions and considered six cases.
- During this reporting period the PCC met on four occasions, reaching a decision in each of the cases. One hearing involved an interim order application, another a review hearing.
- The output against the end-to-end KPI has increased to 70 weeks. However, we had to postpone a hearing the day before it was due to commence because of the ill-health of the Chair.
- There are currently 18 cases referred to the PCC by the IC. The breakdown of these cases are as follows: five have been scheduled for a hearing; in three cases we are actively seeking to list the hearing; two have been referred by the IC within this quarter and awaiting to be served in accordance with the standard case directions, three require further work before we can actively list them for a hearing and the remaining five cases await the outcome of a police investigation and/or trial before we can progress further.

Referrals received	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	25	19	20	20
Referred to PCC/HC by IC but not yet heard (as at end of quarter)	22	19	18	18
Referred to PCC/HC by IC and listed for hearing (as at end of quarter)	10	7	2	5
PCC/HC Cases part heard (as at end of quarter)	2	4	1	0
Formal complaints open (as at end of quarter)	50	38	39	39
Cases that need review hearings (as at end of quarter)	3	6	8	3

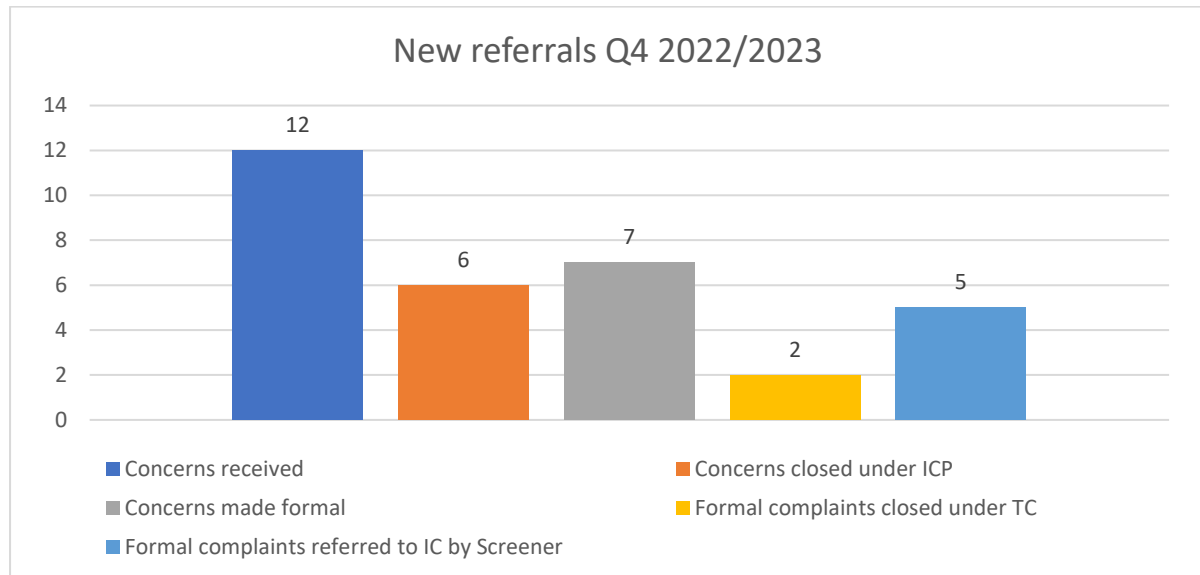
Age of Caseload from Date Received	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
52 weeks – 103 weeks	14	10	12	16
104 weeks – 155 weeks	5	7	6	3
156 weeks and above	2	3	1	4

New Referrals

- We have received 12 new concerns during the reporting period, a decreased from 21 in the previous quarter.

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- Six cases were closed under the Initial Closure Procedure (ICP) during this reporting period.
- Out of the fifteen cases considered by screeners, five of these were referred to the IC.



Referrals Received	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Concerns received	10	16	21	12
Concerns closed under ICP	0	5	4	6
Concerns made formal	9	3	16	7
Formal complaints closed under TC	1	3	6	2
Formal complaints referred to IC by Screener	8	3	9	5

Note – the number of concerns received during the reporting period will not directly correlate to the number of concerns that are made formal, or decisions by the screeners, during the reporting period.

Source of formal complaints	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Self-referral by the registrant	2	0	2	2
Registrar's allegation	0	0	0	0
Non-NHS employer	0	0	0	0
Patient or service user	7	2	10	5
NHS	0	0	0	0
Another registrant	0	0	0	0
Anonymous informant	0	0	0	0
Another regulatory body	0	0	0	0
Any other informant	0	1	4	0

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Total	9	3	16	7
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Allegations in formal complaints	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Conduct	7	3	13	6
Conviction	2	0	3	1
Competency	0	0	0	0
Adjunctive therapies	0	0	0	0
Health	0	0	0	0
Total	9	3	16	7

Key Performance Indicators

- The Screener KPI was exceeded, at eight weeks.
- The Investigating Committee KPI was not met.
- The output against the overall end-to-end target was not met.

Performance at a glance

Case stage	Key Performance Indicator	Performance Target	Q1 22/23	Q2 22/23	Q3 22/23	Q4 22/23
Screening	Median time from receipt of concern to the screener's decision	9 weeks	5 weeks	9 weeks	8 weeks	8 weeks
Investigating Committee	Median time from receipt of concern to final IC decision	26 weeks	25 weeks	59 weeks	36 weeks	46 weeks
Professional Conduct Committee	Median time from receipt of concern to final PCC decision	52 weeks	65 weeks	49 weeks	98 weeks	70 weeks

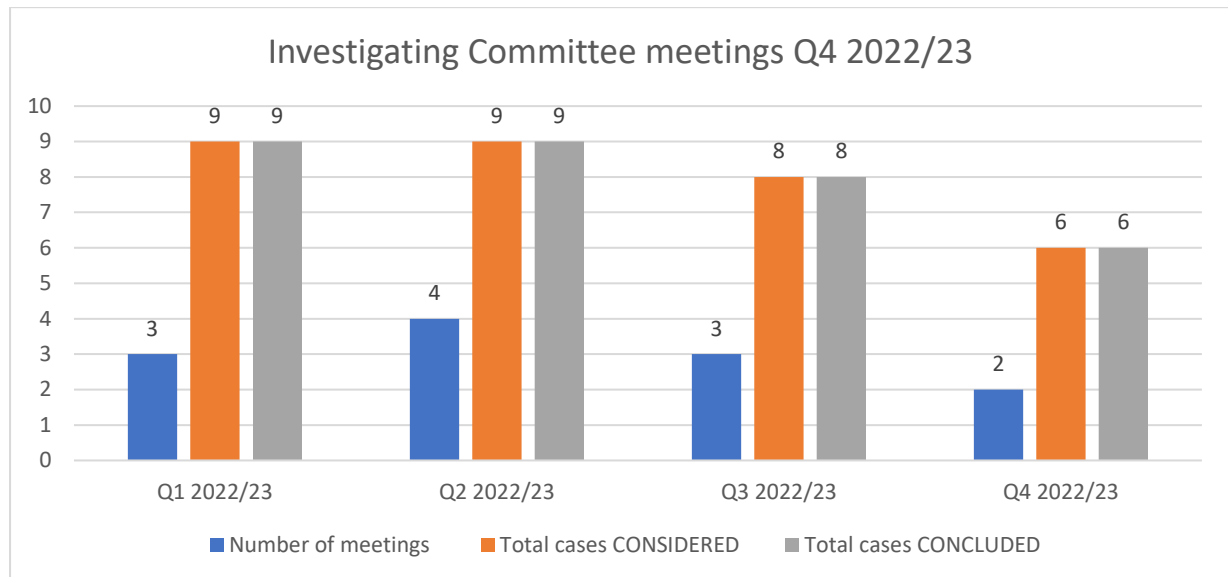
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Performance in detail

Time from receipt of complaint to the screener's decision (9 weeks)	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Median	5 weeks	9 weeks	8 weeks	8 weeks
Longest case	8 weeks	51 weeks	16 weeks	67 weeks
Shortest case	0 weeks	1 week	1 week	1 week
Time from receipt of complaint to final IC decision (26 weeks)	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Median	25 weeks	59 weeks	36 weeks	46 weeks
Longest case	51 weeks	63 weeks	62 weeks	74 weeks
Shortest case	14 weeks	14 weeks	11 weeks	23 weeks
Time from final IC decision to final PCC decision or other final disposal of the case (26 weeks)	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Median	41 weeks	33 weeks	55 weeks	38 weeks
Longest case	41 weeks	44 weeks	167 weeks	42 weeks
Shortest case	41 weeks	24 weeks	26 weeks	33 weeks
Time from receipt of referral to final PCC decision or other final disposal of the case (52 weeks)	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Median	65 weeks	49 weeks	98 weeks	70 weeks
Longest case	65 weeks	75 weeks	184 weeks	93 weeks
Shortest case	65 weeks	41 weeks	45 weeks	47 weeks
Median time to interim order committee decision:	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
From receipt of referral	6 weeks	17 weeks	14 weeks	N/A
From decision that there is information indicating the need for an interim order	3 weeks	2 weeks	3 weeks	N/A

Investigating Committee

- The IC met remotely on two occasions and considered six cases, of which four were no case to answer and two were referred to the PCC.
- There are currently 20 cases that have been referred to the IC by screeners but not yet considered. We have scheduled an IC meeting each month over the next calendar year but we have the flexibility to schedule additional IC meetings, as we have done previously, subject to IC panellist availability.



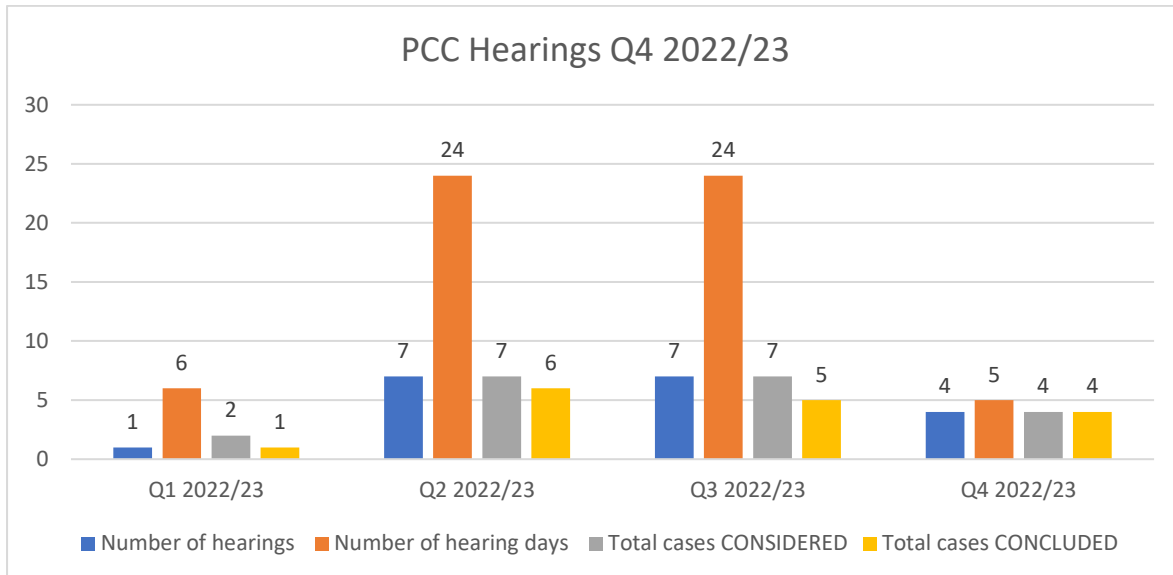
Investigating Committee Decisions	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
No Case to Answer	5	2	2	4
No Case to Answer with advice	2	1	0	0
Referred to PCC	2	6	6	2
Referred to HC	0	0	1	0
Referred to PCC and HC	0	0	0	0
Adjourned	0	0	0	0
Stayed	0	0	0	0
Rule 19 agreed	0	0	0	0

Professional Conduct Committee

- In the reporting period the PCC sat remotely for two substantive hearings, one PCC Interim Suspension Order hearing and one PCC review hearing, of which concluded.
- There was a further PCC Substantive hearing scheduled to commence during the reporting period however this was postponed the day before the hearing due to panel chair sickness. Of the two PCC substantive hearings concluded, one was a previously part heard case from the previous quarter.

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Professional Conduct Committee Hearings	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Number of hearings	1	7	7	4
Number of hearing days	6	24	24	5
Total cases CONSIDERED	2	7	7	4
Total cases CONCLUDED	1	6	5	4



PCC Decisions	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Allegation not 'well founded'	0	1	1	0
Admonished	1	2	1	1
Conditions of Practice	0	0	1	0
Suspension	0	1	1	1
Removal	0	0	1	1
Rule 19	0	0	0	0
Adjourned	0	1	3	0
Conditions/Suspension to expire at end of order	0	1	0	1
Rule 8 Admonishment	0	1	0	0
Stayed	0	0	0	0

Health Committee

- The Health Committee considered no cases in the reporting period.

Interim Suspension Orders

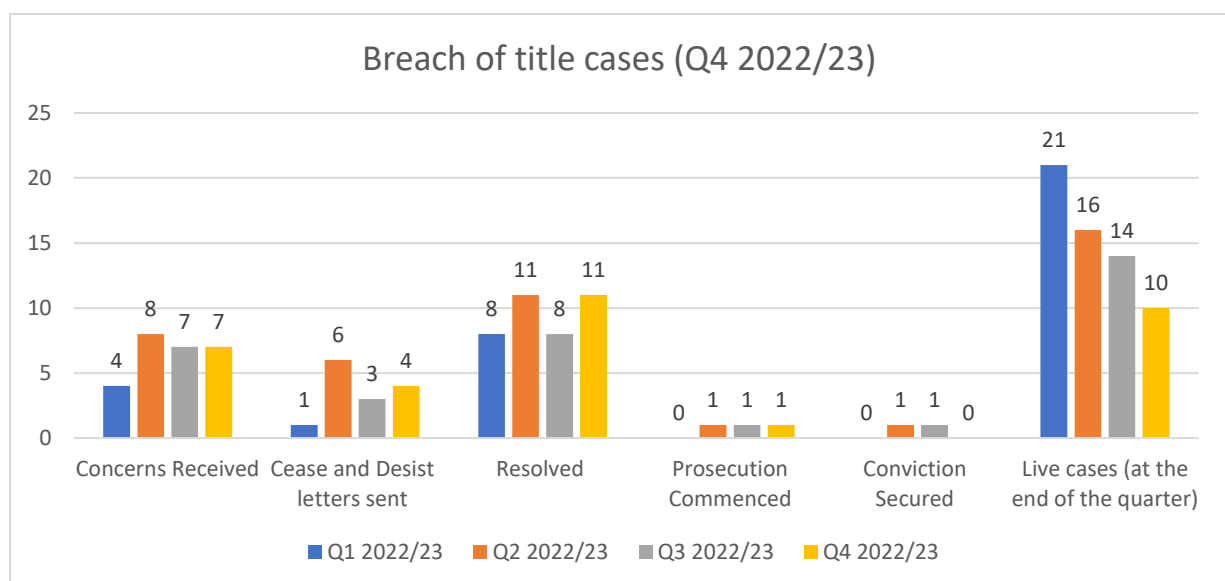
- There was only one Interim Order application during the reporting period, considered by the PCC. The outcome of which was that a suspension was imposed by the PCC

IC Interim Suspension Order Decisions	Q1 22/23	Q2 22/23	Q3 22/23	Q4 22/23
Applications made	4	1	5	0
Interim Suspension Order imposed	1	0	2	0
Undertaking	2	0	1	0
Median time to IC decision from receipt of referral	6 weeks	17 weeks	14 weeks	N/A
Median time to IC decision from decision that there is information indicating the need for interim order	3 weeks	2 weeks	3 weeks	N/A

PCC/HC Interim Suspension Order Decisions	Q1 22/23	Q2 22/23	Q3 22/23	Q4 22/23
Applications made	0	1	1	1
Interim Suspension Order imposed	0	1	1	1
Undertaking	0	0	0	0

Protection of Title

- There were 10 active Section 32 investigations as at 31 March 2023, four less than the last quarter.



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Protection of Title	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Concerns Received	4	8	7	7
Cease and Desist letters sent	1	6	3	4
Resolved	8	11	8	11
Prosecution Commenced	0	1	1	1
Conviction Secured	0	1	1	0
Live cases (at the end of the quarter)	21	16	14	10

Appeals

- No registration appeals were received in this quarter. However one has been listed for April 2023, with another vacated to enable a restoration application to be considered by the Registration team.

Total number of registrant appeals in the quarter which are:	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Ongoing	0	0	2	1
Opened	0	0	2	0
Concluded	0	0	0	0
Outcomes of registrant appeals against final fitness to practise decisions:	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Upheld and outcome substituted	0	0	0	0
Upheld and case remitted to regulator for re-hearing	0	0	0	0
Settled by consent	0	0	0	0

Voluntary Removal

- There were no voluntary removal received in the reporting period.

Number of voluntary erasure/removal applications: Subsequent to the FTP case being considered by an IC.	Q1 2022/23	Q2 2022/23	Q3 2022/23	Q4 2022/23
Received	0	0	0	0
Granted	0	0	0	0