

## Annex A to 8

### Fitness to practise dashboard 01 January 2022 to 31 March 2022 (Q4)

#### Case progression – at a glance

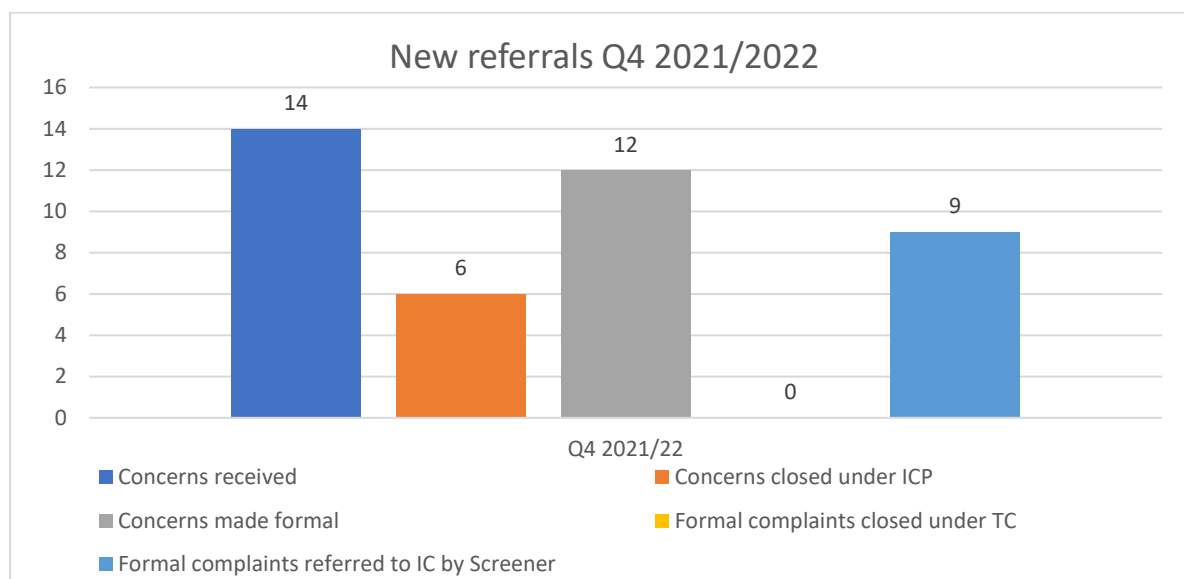
- The number of concerns received remain low (14) and are similar to concerns received in Q3. In comparison, the number of concerns received in Q4 2020-2021 was 19 concerns.
- The IC met remotely on two occasions and considered 6 cases.
- In this quarter there were six hearings before the PCC where a final determination was reached in five cases. One hearing was part heard. A further hearing was scheduled to commence but was cancelled at late notice due to poor health of one of the panellists.
- Overall, remote hearings continue to take longer to conclude, despite scheduling longer time to take account of this. This is exacerbated by hearing days generally starting later and finishing earlier.
- In this quarter the Health Committee considered no cases.
- There are currently 21 cases referred to the PCC by the IC. The breakdown of these cases are as follows: three cases are part heard but have been rescheduled; One case has been listed; five are actively being listed as at 31 March 2022; four cases are third party investigations awaiting conclusion before we progress and eight cases require scheduling although six of which have only just been referred to the PCC by the IC when they met on 16 March and 28 March 2022.

Referrals Received	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	14	22	23	26
Referred to PCC/HC by IC but not yet heard (as at end of quarter)	16	16	18	21
Referred to PCC/HC by IC and listed for hearing (as at end of quarter)	8	6	6	4
PCC/HC Cases part heard (as at end of quarter)	1	3	2	3
Formal complaints open (as at end of quarter)	31	38	41	47
Cases that need review hearings (as at end of quarter)	4	3	5	3

Age of Caseload from Date Received	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
52 weeks – 103 weeks	7	10	9	6
104 weeks – 155 weeks	2	3	3	6
156 weeks and above	0	0	0	0

## New Referrals

- The number of concerns received has remained the same as last quarter (14 cases).
- Six cases were closed under the Initial Closure Procedure (ICP) and nine cases were referred to the IC by a screener.



Referrals Received	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Concerns received	20	27	14	14
Concerns closed under ICP	2	11	7	6
Concerns made formal	9	15	13	12
Formal complaints closed under TC	2	4	1	0
Formal complaints referred to IC by Screener	7	8	12	9

Source of formal complaints	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Self-referral by the registrant	1	2	1	2
Registrar's allegation	0	2	1	2
Non-NHS employer	0	0	0	1
Patient or service user	4	8	9	6
NHS	0	0	0	0
Another registrant	0	2	1	0
Anonymous informant	0	0	0	0
Another regulatory body	0	0	0	0
Any other informant	4	1	1	1
Total	9	15	13	12

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Allegations in formal complaints	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Conduct	9	5	8	6
Conviction	0	1	0	0
Competency	0	7	4	5
Adjunctive therapies	0	0	0	0
Health	0	2	1	1
Total	9	15	13	12

### Key Performance Indicators

- The Screener KPI was exceeded by two weeks in this quarter.
- The IC KPI was not met this quarter. This related to a delay from a third party in submitting material in two cases and another case where an extension for the registrant to make submissions was granted as a result of the registrant suffering from Covid-19.
- The output against the end-to-end target (52 weeks) was not met. Although one case was progressed and concluded in 42 weeks which is a positive outcome.

### Performance at a glance

Case stage	Key Performance Indicator	Performance Target	Q1 21/22	Q2 21/22	Q3 21/22	Q4 21/22
Screening	Median time from receipt of concern to the screener's decision	9 weeks	9 weeks	9 weeks	9 weeks	7 weeks
Investigating Committee	Median time from receipt of concern to final IC decision	26 weeks	55 weeks	24 weeks	24 weeks	30 weeks
Professional Conduct Committee	Median time from receipt of concern to final PCC decision	52 weeks	79 weeks	50 weeks	65 weeks	58 weeks

### Performance in detail

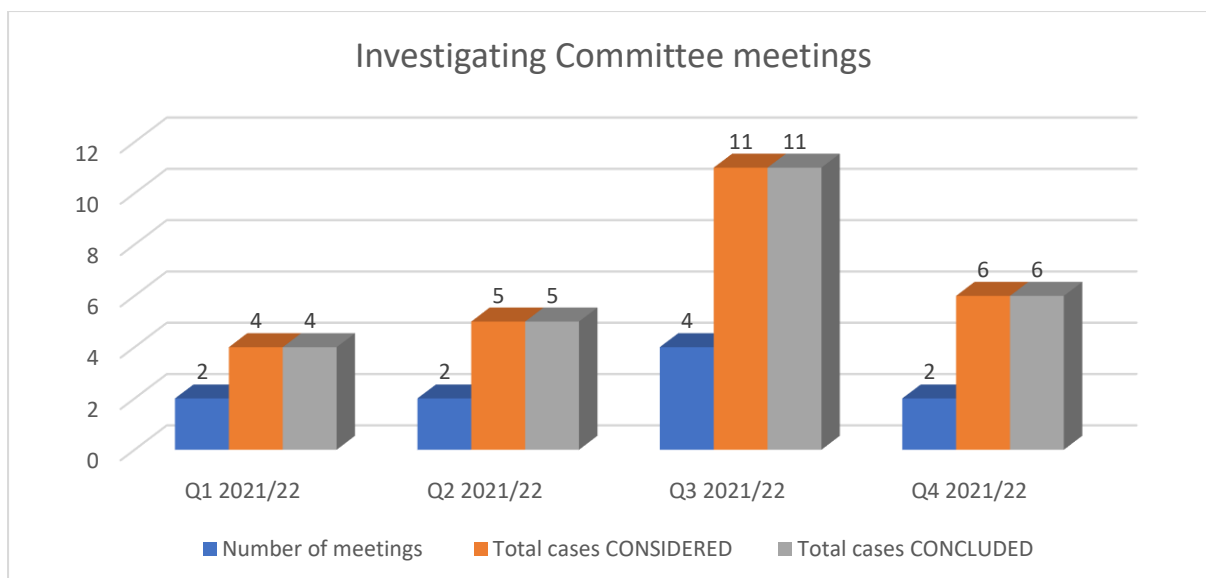
Time from receipt of complaint to the screener's decision (9 weeks)	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Median	9 weeks	9 weeks	9 weeks	7 weeks
Longest case	21 weeks	34 weeks	35 weeks	29 weeks
Shortest case	1 week	1 week	2 weeks	1 week
Time from receipt of complaint to final IC decision (26 weeks)	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Median	55 weeks	24 weeks	24 weeks	30 weeks

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Longest case	80 weeks	50 weeks	77 weeks	51 weeks
Shortest case	23 weeks	20 weeks	8 weeks	20 weeks
Time from final IC decision to final PCC decision or other final disposal of the case (26 weeks)	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Median	44 weeks	37 weeks	34 weeks	26 weeks
Longest case	61 weeks	37 weeks	74 weeks	48 weeks
Shortest case	27 weeks	29 weeks	27 weeks	22 weeks
Time from receipt of referral to final PCC decision or other final disposal of the case (52 weeks)	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Median	79 weeks	50 weeks	65 weeks	58 weeks
Longest case	82 weeks	87 weeks	97 weeks	80 weeks
Shortest case	75 weeks	47 weeks	48 weeks	42 weeks
Median time to interim order committee decision:	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
From receipt of referral	N/A	8 weeks	14 weeks	8 weeks
From decision that there is information indicating the need for an interim order	N/A	3 weeks	4 weeks	4 weeks

### Investigating Committee

- The IC met remotely on two occasions and considered 6 cases. Unfortunately, one planned meeting had to be postponed due to third party delay in providing GOsC with evidence.
- There are 26 cases that have been referred to the IC by screeners but not yet considered. The breakdown of these cases is as follows: six are being progressed as a joint hearing, two cases are a third party investigation awaiting conclusion and the remaining 18 cases are awaiting IC consideration. However, nine of the cases awaiting consideration were referred to the IC in this quarter.

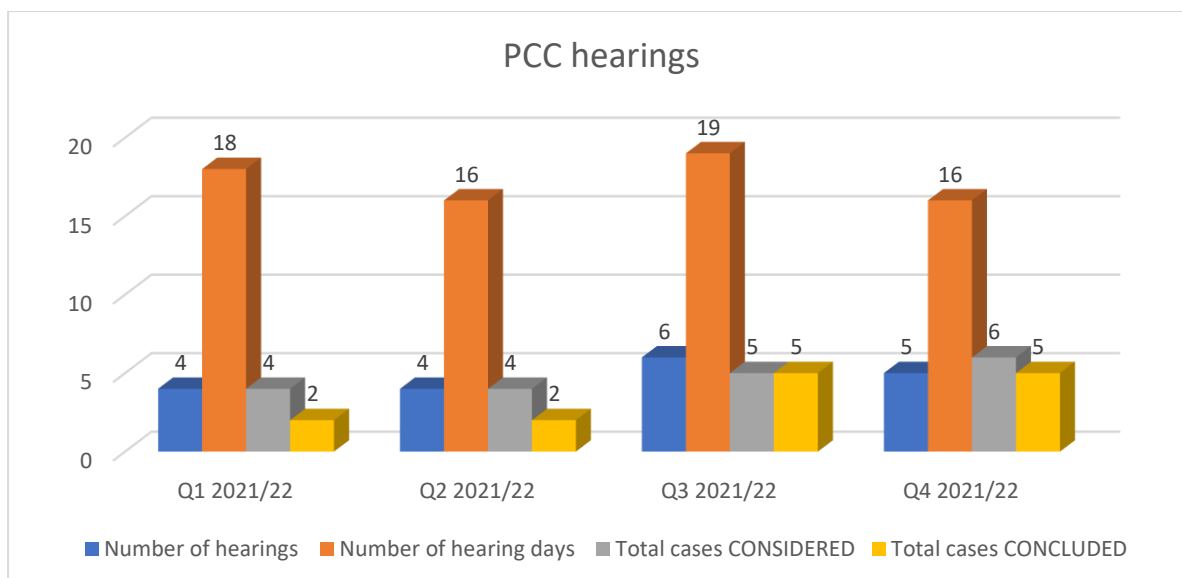


Investigating Committee Decisions	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
No Case to Answer	2	2	4	0
No Case to Answer with advice	0	0	1	0
Referred to PCC	2	3	5	6
Referred to HC	0	0	0	0
Referred to PCC and HC	0	0	0	0
Adjourned	0	0	0	0
Stayed	0	0	0	0
Rule 19 agreed	0	0	1	0

## Professional Conduct Committee

- In this quarter the PCC sat remotely for 6 substantive hearings over sixteen days, reaching a final determination in five cases, with one case concluding part heard. In addition, another resumed hearing that was scheduled to recommence was cancelled at late notice due to poor health of one of the panellists.

Professional Conduct Committee Hearings	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Number of hearings	4	4	6	6
Number of hearing days	18	16	19	16
Total cases CONSIDERED	4	4	5	6
Total cases CONCLUDED	2	2	5	5



PCC Decisions	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Allegation not 'well founded'	1	1	1	0
Admonished	0	0	0	1
Conditions of Practice	1	0	1	0
Suspension	0	0	1	3
Removal	0	1	1	0
Rule 19	0	0	1	0
Adjourned	0	2	1	1
Conditions/Suspension to expire at end of order	0	1	0	0
Rule 8 Admonishment	0	0	0	1
Stayed	0	0	0	0

## Health Committee

- The Health Committee considered no cases in this quarter.

## Interim Suspension Orders

- There have been three IC Interim Order hearings held in this quarter. In all three cases no Interim Suspension Order was imposed. One case related to clinical competence and two related to a transgression of sexual boundaries.

IC Interim Suspension Order Decisions	Q1 21/22	Q2 21/22	Q3 21/22	Q4 21/22
Applications made	0	1	2	3
Interim Suspension Order imposed	0	1	1	0
Undertaking	0	0	0	0

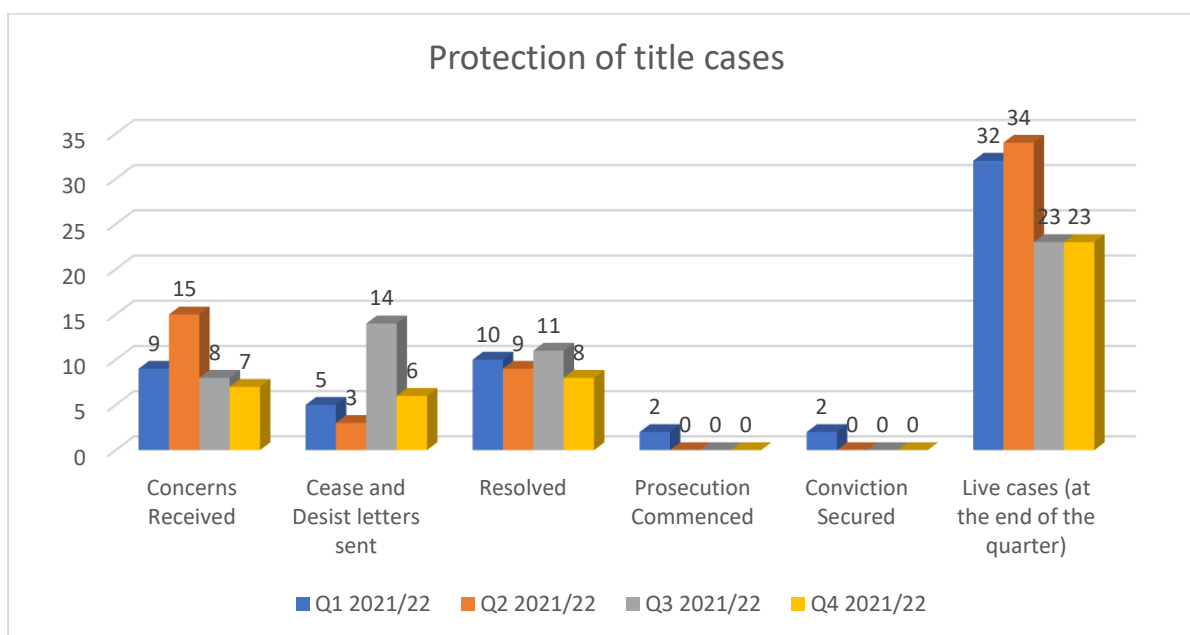
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Median time to IC decision from receipt of referral	N/A	8 weeks	14 weeks	8 weeks
Median time to IC decision from decision that there is information indicating the need for interim order	N/A	3 weeks	4 weeks	4 weeks

PCC/HC Interim Suspension Order Decisions	Q1 21/22	Q2 21/22	Q3 21/22	Q4 21/22
Applications made	0	1	2	0
Interim Suspension Order imposed	0	1	2	0
Undertaking	0	0	0	0

### Protection of Title

- There were 23 active Section 32 investigations as at 31 March 2022, the same figure as last quarter. During this quarter we have received seven new concerns of this nature, sent six cease and desist letters and resolved eight section 32 investigations with no further action.
- There have been no prosecutions commenced in this quarter.



Protection of Title	Q1 2021/22	Q2 2021/22	Q3 2021/22	Q4 2021/22
Concerns Received	9	15	8	7
Cease and Desist letters sent	5	3	14	6
Resolved	10	9	11	8
Prosecution Commenced	2	0	0	0
Conviction Secured	2	0	0	0
Live cases (at the end of the quarter)	32	34	23	23

**Appeals**

- No appeals commenced against decisions of the PCC in this quarter.

Total number of registrant appeals in the quarter which are:	Q1 21/22	Q2 21/22	Q3 21/22	Q4 21/22
Ongoing	0	0	0	0
Opened	0	0	0	0
Concluded	0	0	0	0
Outcomes of registrant appeals against final fitness to practise decisions:	Q1 21/22	Q2 21/22	Q3 21/22	Q4 21/22
Upheld and outcome substituted	0	0	0	0
Upheld and case remitted to regulator for re-hearing	0	0	0	0
Settled by consent	0	0	0	0

**Voluntary Removal**

- There were three applications for voluntary removal received in this quarter with all being granted by the registrar.

Number of voluntary erasure/removal applications: Subsequent to the FTP case being considered by an IC.	Q1 21/22	Q2 21/22	Q3 21/22	Q4 21/22
Received	0	0	0	3
Granted	0	0	0	3