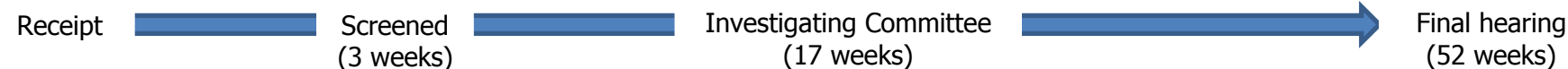


**Regulation Department Report to Council
1 January 2016 to 31 March 2016**

Annex to 7

Please note all figures in red relate to 2014-15



Efficiency

	Q1	Q2	Q3	Q4
Numbers of complaints received				
Informal	22 28	61 22	94 11	110* 13
Formal	14 15	36 9	16 17	11 7

*76 are GTS Advertising Complaints – 34 Non GTS complaints.

Performance against KPIs (median – receipt to decision in weeks)				
Screened (3 weeks)	1 1	2 2	1 1	1 1
IC decisions (17 weeks)	14.2 10.5	9 14	15 15	16 11
PCC decisions (52 weeks)	25* 55	40 47	46 37	60* 51
HC decisions (52 weeks)	0 46.5	0 0	0 0	24* 53

*only 1 case was heard by the PCC and 1 by the HC during the period.

Investigating Committee				
Number of meetings	2 3	2 2	1 2	2 2
Total number of decisions	6* 8	12 18	13 6	13 19
Mean number of decisions per meeting	2.5 2.6	6 9	13 3	6.5 9.5

*2 Rule 19 applications included

Professional Conduct Committee				
Number of substantive hearings *includes 2 R19 hearings	6* 6	5 8	7 3	1 5
Mean length of hearing (days)	2 3	3.6 2.6	4.3 3	5 4.4
Mean time PCC in camera to decide facts and allegation (hours)	6.2 6.2	8.75 7	5.2 8.3	6 5.2
Mean time PCC in camera to decide sanction (hours)	2.5 2.5	2.5 4.5	3.2 4	5 5

Effectiveness

	Q1	Q2	Q3	Q4
Investigating Committee				
Total cases considered	6 8	12 18	13 6	18 19
No case to answer	1 1	0 12	4 2	3 5
Referred to PCC	2 3	6 2	6 3	10 11
Referred to HC	0 2	1 2	0 0	0 0
Referred to PCC and HC	-	0 1	0 0	0 0
Adjournment	1 2	5 2	3 1	5 3
IC view on Rule 19	2 0	0 1	0 0	0 0

Professional Conduct Committee (not including Rule 8 decisions)				
Total cases considered	4 6	5 3	9* 3	1 5
Allegation not 'well founded'	0 3	2 3	1 0	0 1
Admonished	0 1	1 0	1 1	0 2
Conditions of Practice	0 0	0 0	1 1	0 0
Suspension	1 0	1 2	1 0	0 0
Removal	0 1	0 0	2 1	1 1
Adjourned/Part heard	2 1	1 2	1 0	0 1
Rule 19	1 0	0 1	0 0	0 0

Case "pipe-line" as at 31 March 2016

		Over 4 months	Over 8 months	Over 12 months
Referred to Investigating Committee by Screener	16	4	0	0
Referred to Professional Conduct Committee by Investigating Committee but not yet heard	18 (15 out of 18 cases are listed)	7	8	0
Cases that need review hearings	4	0	0	0

There have been 6 Committee events taken place in this quarter. These events include substantive hearings, ISO hearings and IC meetings.



	Q1	Q2	Q3	Q4
Health Committee				
Number of substantive hearings	1	0	0	1*
*Completion of a Part Heard Case	2	0	0	2
Mean length of hearing (days)	2.5	0	0	2
	0	0	0	2
Mean time HC in camera to decide facts and allegation (hours)	5	0	0	
	2	0	0	1.5
Mean time HC in camera to decide sanction (hours)	0	0	0	
	1.5	0	0	1

Rule 8				
Number of Rule 8 hearings	1	1	0	0
	1	0	0	0
Concluded with sanctions (admonishment)	1	1	0	0
	0	0	0	0

Protection of title				
Concerns received	16	15	14	17
	16	9	6	25
Ceases and desist letters sent	8	14	19	12
	10	2	2	11
Resolved	5	34	33	8
	2	2	0	8
Prosecuting commenced	0	0	1	0
	0	0	0	0

	Q1	Q2	Q3	Q4
PCC Review Hearing				
Total cases considered	1	1	2	0
	0	2	2	0
Conditions of Practice Order – Revoked/ expire	0	1	2	0
	0	1	2	0
Conditions of Practice Order – Extended	1	0	0	0
	0	1	0	0

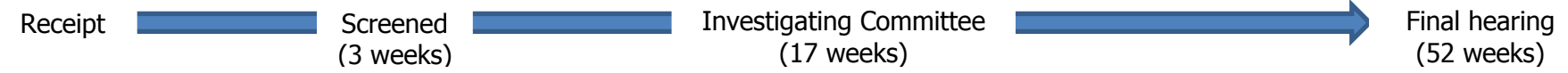
Health Committee				
Total cases considered	1*	1*	0	0
	2	0	0	2
Conditions of Practice Order	0	0	0	0
	2	0	0	1

*The case was adjourned.

Health Review Hearing				
Total Cases considered	0	3	0	0
				0
Conditions	0	1	0	0
				0
Condition revoked	0	1	0	0
				0

Interim Suspension Orders IC				
Applied for	3	1	2	2
	3	3	4	4
Imposed	3	1	1	2
	3	1	1	0
Undertaking	0	0	0	0
	0	0	1	0

Please note all figures in red relate to 2014-15



Interim Suspension Orders PCC/HC				
Applied for	1 3	4 0	1 2	1 2
Imposed	1 3	2 0	0 1	1 2
Undertaking	0 0	1 0	0 0	0 0

	Q1	Q2	Q3	Q4
Information Governance				
FOI requests received	4 5	6 1	4 1	6 0
Responded to within 20 days	4 3	6 1	4 1	5 0
DPA request received	5 1	2 0	1 1	1 1
Responded to within 40 days	4 1	2 0	1 1	1 1

	Q1	Q2	Q3	Q4
Indicators that case properly brought and determined				
Successful application r27(2) ¹	0 0	0 0	0 0	0 0
Successful application r27(6) ²	0 0	0 0	0 0	0 0
Successful registrant appeal	0 0	0 0	0 0	0 0
Successful Judicial reviews	0 0	0 0	0 0	0 0
PSA s29 appeal	0 0	0 0	0 0	0 0
PSA learning points	0 2	0 0	0 3	0 1
Complaints	1 1	0 0	0 0	0 0

Protection of title				
Convictions secured	0 1	0 0	0 0	1 0

¹ GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application that alleged facts are insufficient to support the allegation.

² GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application at the close of Council’s case that no sufficient evidence has been adduced upon which the PCC could find the alleged facts proved.