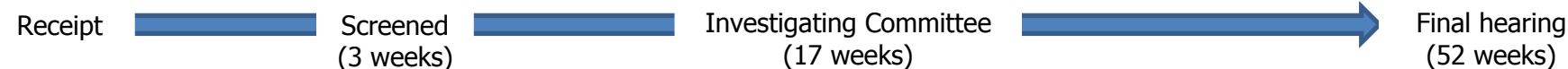


**Regulation Department Report to Council
1 January 2015 to 31 March 2015**

Please note all figures in red relate to 2013-14

Annex to 6



Efficiency

	Q1	Q2	Q3	Q4
Numbers of complaints received				
Informal	28 28	22 15	17 19	13 24
Formal	15 12	9 6	11 10	7 6

Performance against KPIs (median – receipt to decision in weeks)				
Screened (3 weeks)	1 1	2 1	1 1	1 0.5
IC decisions (17 weeks)	10.5 15	14 15	15 23.5	11 16
PCC decisions (52 weeks)	55 47	47 47	37 60	51 40
HC decisions (52 weeks)	46.5 -	0 -	0 -	0 -

Investigating Committee				
Number of meetings	3 2	2 3	2 2	2 2
Total number of decisions	8 5	18 13	6 8	19 9
Mean number of decisions per meeting	2.6 2.5	9 4.5	3 4	9.5 4.5

Professional Conduct Committee				
Number of substantive hearings	6 4	8 3	3 3	5 3
Mean length of hearing (days)	3 2.75	2.6 2.5	3 6.33	4.4 4
Mean time PCC in camera to decide facts and allegation (hours)	6.2 5.3	7 6.5	8.3 15.9	5.2 6
Mean time PCC in camera to decide sanction (hours)	2.5 1.5	4.5 1	4 2	5 3.5

Effectiveness

	Q1	Q2	Q3	Q4
Investigating Committee				
Total cases considered	8 5	18 13	6 8	19 9
No case to answer	1 2	12 4	2 1	5 1
Referred to PCC	3 3	2 7	3 7	11 8
Referred to HC	2 0	See below 2	0 0	0 0
Referred to PCC and HC	-	1	0 -	0
Adjournment	2 -	2 -	1 -	3 -
IC view on Rule 19	-	1	0	0

Professional Conduct Committee (not including Rule 8 decisions)				
Total cases considered	6 4	8 3	3 4	5 4
Allegation not 'well founded'	3 2	3 2	0 2	1 1
Admonished	1 1	0 0	1 0	2 0
Conditions of Practice	0 0	0 0	1 1	0 3
Suspension	0 0	2 0	0 0	0 0
Removal	1 1	0 1	1 1	1 0
Adjourned/Part heard	1 -	2 -	0 -	1 -
Rule 19	-	1	0 -	0

Case 'pipeline' as at 1 April 2015

	All cases	Over 4 months	Over 8 months	Over 12 months
Referred to Investigating Committee by Screener	6	1*	1**	0
Referred to Professional Conduct Committee by Investigating Committee but not yet heard	13 (4 out of 13 cases are listed)	5	6	2
Cases that need review hearings	5			

*Delay due to second statement required from complainant.

** Delay due to obtaining expert report and extensive medical records.

New initiatives

- January 2015: Case Review Checklist operational;
- February 2015: Threshold Criteria approved by Council
- May 2015: The following guidance considered by Council:
 - Draft PCC Practice Note Acting in the Public Interest
 - Draft PCC Practice Note Admissibility of Good Character Evidence
 - Draft PCC Practice Guidance on Writing Determinations
 - Draft HC Standard Bank of Conditions

There have been 16 committee events taken place in this quarter. These events include substantive hearings, review hearings, ISO hearings, IC meetings, directions hearing and Registration appeals hearings.

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Receipt

Screened
(3 weeks)

Investigating Committee
(17 weeks)

Final hearing
(52 weeks)

Annex to 6

	Q1	Q2	Q3	Q4
Health Committee				
Number of substantive hearings	2 0	0 0	0 0	2 0
Mean length of hearing (days)	1.5 0	0 -	0 -	2 -
Mean time HC in camera to decide facts and allegation (hours)	2 -	0 -	0 -	1.5 -
Mean time HC in camera to decide sanction (hours)	1.5 -	0 -	0 -	1 -

Rule 8				
Number of Rule 8 hearings	1 0	0 -	0 -	0 -
Concluded with sanctions (admonishment)	1 0	0 -	0 -	0 -

Protection of title				
Concerns received	16 5	9 6	6 5	25 12
Ceases and desist letters sent	10 1	2 4	2 3	11 1
Resolved	2 3	2 2	0 0	8 3
Prosecuting commenced	1 0	0 2	0 0	0 0

	Q1	Q2	Q3	Q4
PCC Review Hearing				
Total cases considered	0 -	2 -	2 -	0 -
Conditions of Practice Order – Revoked	0 -	1 -	2 -	0 -
Conditions of Practice Order – Extended	-	1	0	0

Health Committee				
Total cases considered	2 -	0 -	0 -	2* -
Conditions of Practice Order	2 -	0 -	0 -	1 -

* 1 case was adjourned.

Health Review Hearing				
Total Cases considered	-	0	0	0
Conditions	-	0	0	0

Interim Suspension Orders IC				
Applied for	3 5	3 1	4 4	4* 3
Imposed	3 2	1 1	1 0	0 1
Undertaking	0 0	0 0	1 0	0 1
* 1 case adjourned registrant unwell				

Interim Suspension Orders PCC/HC				
Applied for	3 0	0 0	2 0	2 0
Imposed	3 -	0 -	1 -	2 -
Undertaking	0 -	0 -	0 -	0 -

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Receipt



Screened
(3 weeks)



Investigating Committee
(17 weeks)



Final hearing
(52 weeks)

Annex to 6

	Q1	Q2	Q3	Q4
Information Governance				
FOI requests received	5 0	1 1	1 2	0 1
Responded to within 20 days	3 ² 0	1 1	1 2	0 1
DPA request received	1 0	0 0	1 0	1 0
Responded to within 40 days	1 0	0 0	0 ⁴ 0	0 ⁵ 0

	Q1	Q2	Q3	Q4
Indicators that case properly brought and determined				
Successful application r27(2) ¹	0 0	0 0	0 0	0 0
Successful application r27(6) ³	1 0	0 0	0 0	0 1
Successful registrant appeal	0 0	0 0	0 0	0 0
Successful Judicial reviews	0 0	0 0	0 0	0 0
PSA s29 appeal	0 0	0 0	0 0	0 0
PSA learning points	2 1	0 2	3 0	1 1
Complaints	1 0	0 0	0 0	0 0

Protection of title				
Convictions secured	1 0	1 0	0 0	0 0

¹ GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application that alleged facts are insufficient to support the allegation.

² 1 Request deemed vexatious and not responded to. 1 request responded to in 21 working days, delay due to amount of documents needing redaction.

³ GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application at the close of Council's case that no sufficient evidence has been adduced upon which the PCC could find the alleged facts proved.

⁴ Clarification of data request sought and still outstanding. 40 Day time limit does not begin to run until clarification received by GOsC.

⁵ Clarification of data request sought and still outstanding. 40 Day time limit does not begin to run until clarification received by GOsC.