

# Annex A to 9

## Fitness to practise dashboard 01 April 2024 to 31 June 2024 (Q1)

### Case progression – at a glance

- We have received 23 new concerns during the reporting period, an increase from 15 cases received in the previous quarter.
- The Investigating Committee (IC) met remotely on four occasions and considered 13 cases, which is more than double the number of cases considered during the previous quarter.
- During this reporting period the Professional Conduct Committee (PCC) held five hearings with four hearings being concluded.

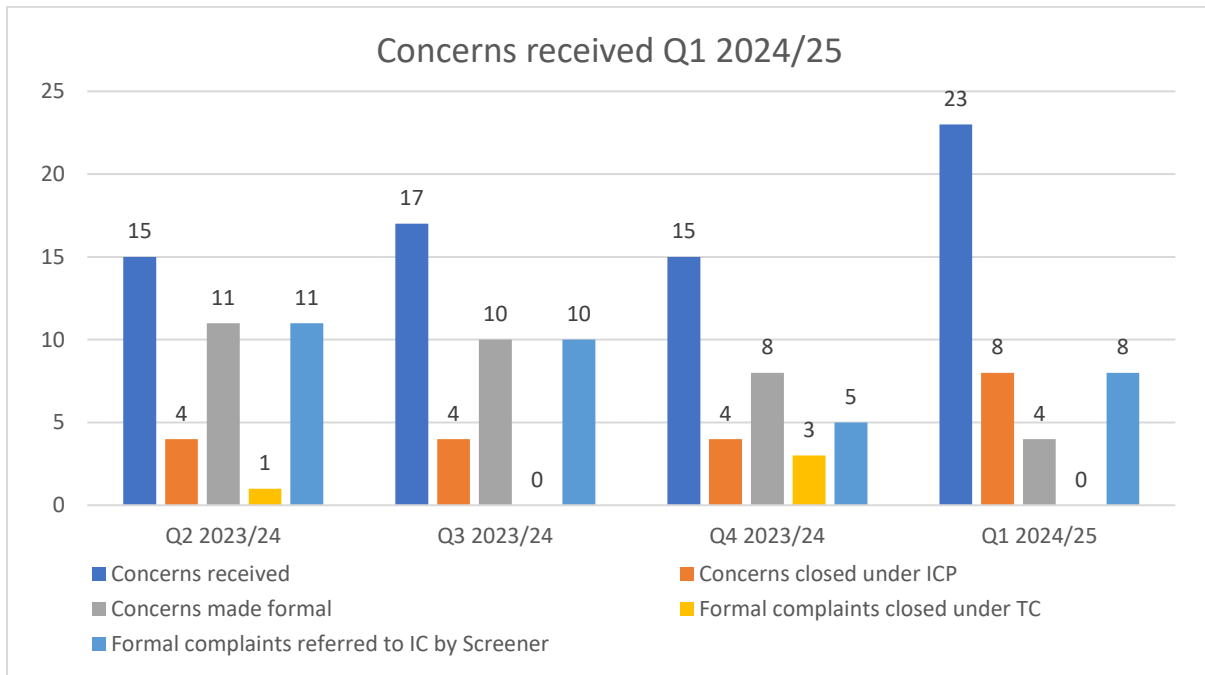
Referrals Received	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q1 2024/25
Formal complaints referred to IC by Screener	11	10	5	8
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	33	34	33	29
Referred to PCC/HC by IC but not yet heard (as at end of quarter)	13	18	22	18
Referred to PCC/HC by IC and listed for hearing (as at end of quarter)	0	6	3	4
PCC/HC Cases part heard (as at end of quarter)	0	0	0	0
Formal complaints open (as at end of quarter)	47	53	56	51
Cases that need review hearings (as at end of quarter)	3	4	3	3

Age of Caseload from Date Received	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q1 2024/25
52 weeks – 103 weeks	16	14	15	22
104 weeks – 155 weeks	3	4	7	5
156 weeks and above	5	6	6	1

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## New Referrals

- We have received 23 new concerns during the reporting period.
- Eight cases were closed under the Initial Closure Procedure (ICP).
- No cases were closed under the threshold criteria.
- Out of the 16 cases considered by screeners, eight of these were referred to the IC.



Referrals Received	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q1 2024/25
Concerns received	15	17	15	23
Concerns closed under ICP	4	4	4	8
Concerns made formal	11	10	8	4
Formal complaints closed under TC	1	0	3	0
Formal complaints referred to IC by Screener	11	10	5	8
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	33	34	33	29

**Note** – the number of concerns received during the reporting period will not directly correlate to the number of concerns that are made formal, or decisions by the screeners, during the reporting period.

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Source of formal complaints	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q1 2024/25
Self-referral by the registrant	0	1	1	0
Registrar's allegation	1	2	0	0
Non-NHS employer	1	1	0	0
Patient or service user	9	6	6	2
NHS	0	0	0	0
Another registrant	0	0	0	1
Anonymous informant	0	0	0	0
Another regulatory body	0	0	0	0
Any other informant	0	0	1	1
<b>Total</b>	<b>11</b>	<b>10</b>	<b>8</b>	<b>4</b>

Allegations in formal complaints	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q1 2024/25
Conduct	10	10	7	4
Conviction	1	0	0	0
Competency	0	0	0	0
Adjunctive therapies	0	0	1	0
Health	0	0	0	0
<b>Total</b>	<b>11</b>	<b>10</b>	<b>8</b>	<b>4</b>

### Key Performance Indicators

- The Screener KPI was exceeded, at eight weeks.
- The Investigating Committee KPI was not met.
- The Professional Conduct Committee KPI was not met.

### Performance at a glance

Case stage	Key Performance Indicator	Performance Target	Q2 23/24	Q3 23/24	Q4 23/24	Q1 24/25
Screening	Median time from receipt of concern to the screener's decision	9 weeks	8 weeks	7 weeks	8 weeks	8 weeks
Investigating Committee	Median time from receipt of concern to final IC decision	26 weeks	42 weeks	33 weeks	32 weeks	40 weeks
Professional Conduct Committee	Median time from receipt of concern to final PCC decision	52 weeks	N/A <sup>1</sup>	N/A	N/A	145 weeks

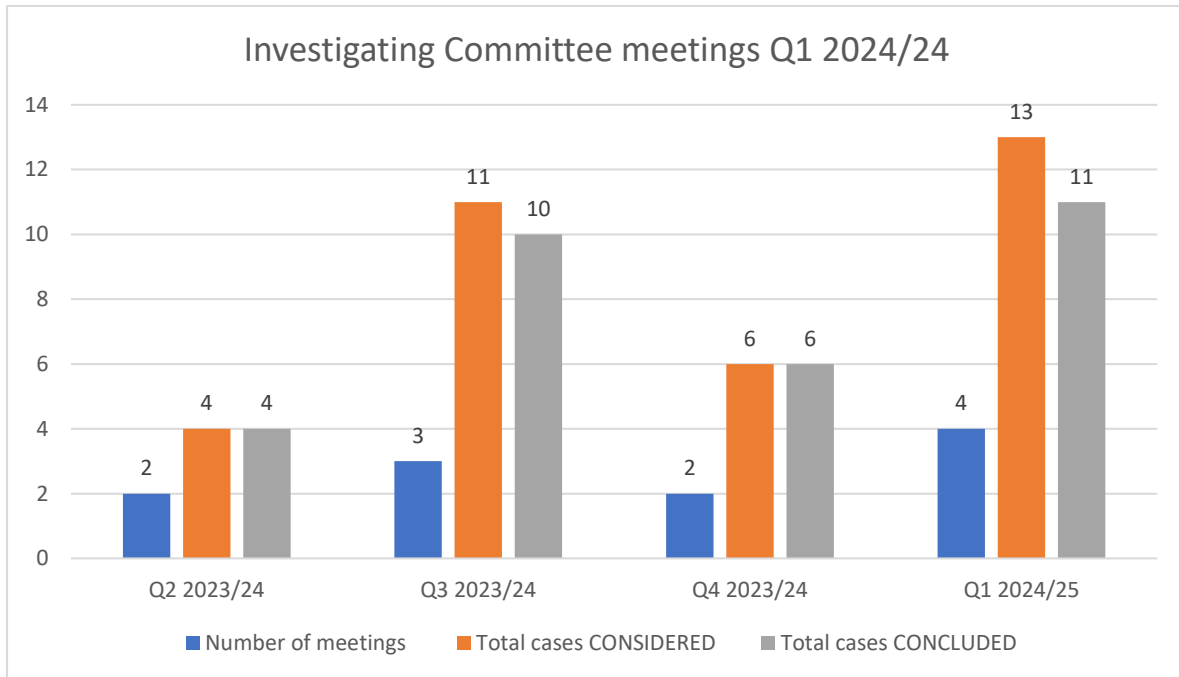
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## Performance in detail

<b>Time from receipt of complaint to the screener's decision (9 weeks)</b>	<b>Q2 2023/24</b>	<b>Q3 2023/24</b>	<b>Q4 2023/24</b>	<b>Q1 2024/25</b>
Median	8 weeks	7 weeks	8 weeks	8 weeks
Longest case	20 weeks	21 weeks	78 weeks	21 weeks
Shortest case	2 weeks	2 weeks	8 weeks	1 week
<b>Time from receipt of complaint to final IC decision (26 weeks)</b>	<b>Q2 2023/24</b>	<b>Q3 2023/24</b>	<b>Q4 2023/24</b>	<b>Q1 2024/25</b>
Median	42 weeks	33 weeks	32 weeks	40 weeks
Longest case	145 weeks	167 weeks	83 weeks	65 weeks
Shortest case	21 weeks	27 weeks	15 weeks	9 weeks
<b>Time from final IC decision to final PCC decision or other final disposal of the case (26 weeks)</b>	<b>Q2 2023/24</b>	<b>Q3 2023/24</b>	<b>Q4 2023/24</b>	<b>Q1 2024/25</b>
Median	40 weeks	N/A	N/A	108 weeks
Longest case	40 weeks	N/A	N/A	219 weeks
Shortest case	40 weeks	N/A	N/A	27 weeks
<b>Time from receipt of referral to final PCC decision or other final disposal of the case (52 weeks)</b>	<b>Q2 2023/24</b>	<b>Q3 2023/24</b>	<b>Q4 2023/24</b>	<b>Q1 2024/25</b>
Median	95 weeks	N/A	N/A	145 weeks
Longest case	95 weeks	N/A	N/A	227 weeks
Shortest case	95 weeks	N/A	N/A	61 weeks
<b>Median time to interim order committee decision:</b>	<b>Q2 2023/24</b>	<b>Q3 2023/24</b>	<b>Q4 2023/24</b>	<b>Q1 2024/25</b>
From receipt of referral	46 weeks	NA	9 weeks	57 weeks
From decision that there is information indicating the need for an interim order	3 weeks	NA	6 weeks	6 weeks

## Investigating Committee

- The IC met remotely on four occasions during the reporting period and considered 13 cases.
- The IC also met on one occasion to consider an Interim Suspension Order (ISO) application, where undertakings were excepted by the IC on this occasion.



Investigating Committee Decisions	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q1 2024/25
No Case to Answer	2	3	1	6
No Case to Answer with advice	1	1	1	0
Referred to PCC	1	6	4	5
Referred to HC	0	0	0	0
Referred to PCC and HC	0	0	0	0
Adjourned	0	1	0	2
Stayed	0	0	0	0
Rule 19 agreed	1	0	0	0

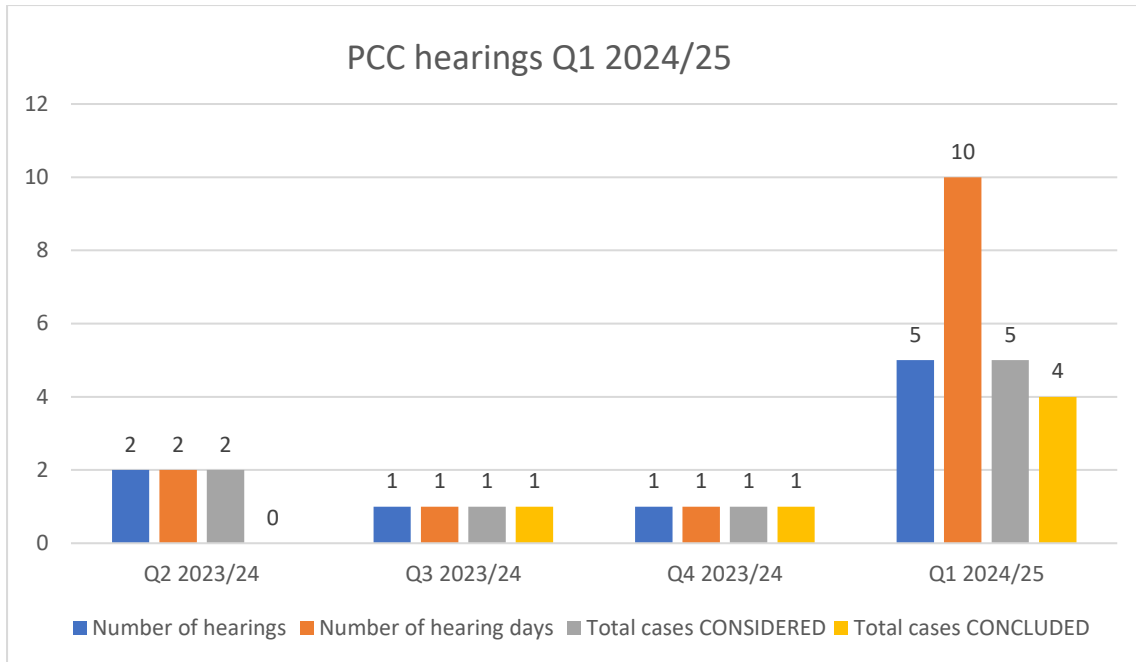
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## Professional Conduct Committee

- During the reporting period there were five PCC substantive hearings (two were Rule 8 meetings).
- The number of cases being considered by the PCC over the reporting period is starting to reflect the number of concerns received during Q3 2022/23 onwards, in which the number of concerns received has gradually increased. Prior to Q3 2022/23 the number of concerns received was consistently lower.
- 22% of cases at the PCC stage are third party cases. Although this has decreased from the previous quarter (40%).
- There are currently 18 cases at the PCC stage – a decrease of four from the previous quarter. The breakdown of which as follows:
  - Four cases have been scheduled for a hearing.
  - Two cases are currently under investigation by the police and we cannot progress until the outcome of the investigation.
  - In six cases we are in the process of serving the case.
  - In two cases we are waiting the Registrants' responses before we can consider listing a hearing
  - In one case which was considered at a Rule 8 meeting at the PCC during this quarter and has been referred for a final hearing.
  - In the remaining three cases, these have been referred to the PCC during the reporting quarter and we are preparing to serve in accordance with the standard case directions.

Professional Conduct Committee Hearings	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q1 2024/25
Number of hearings	2	1	1	5
Number of hearing days	2	1	1	10
Total cases CONSIDERED	2	1	1	5
Total cases CONCLUDED	0	1	1	4

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PCC Decisions	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q1 2024/25
Allegation not 'well founded'	0	0	0	1
Admonished	0	0	0	0
Conditions of Practice	1	0	0	0
Suspension	0	0	0	0
Removal	0	0	0	2
Rule 19	0	0	0	0
Adjourned	0	0	0	0
Conditions/Suspension to expire at end of order	0	0	1	0
Rule 8 Admonishment	0	0	0	1
Stayed	0	0	0	3
Referred to the HC	0	1	0	0

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## Health Committee

- The Health Committee (HC) sat to consider one review hearing. The HC determined that the conditions of practise order was revoked.

## Interim Suspension Orders

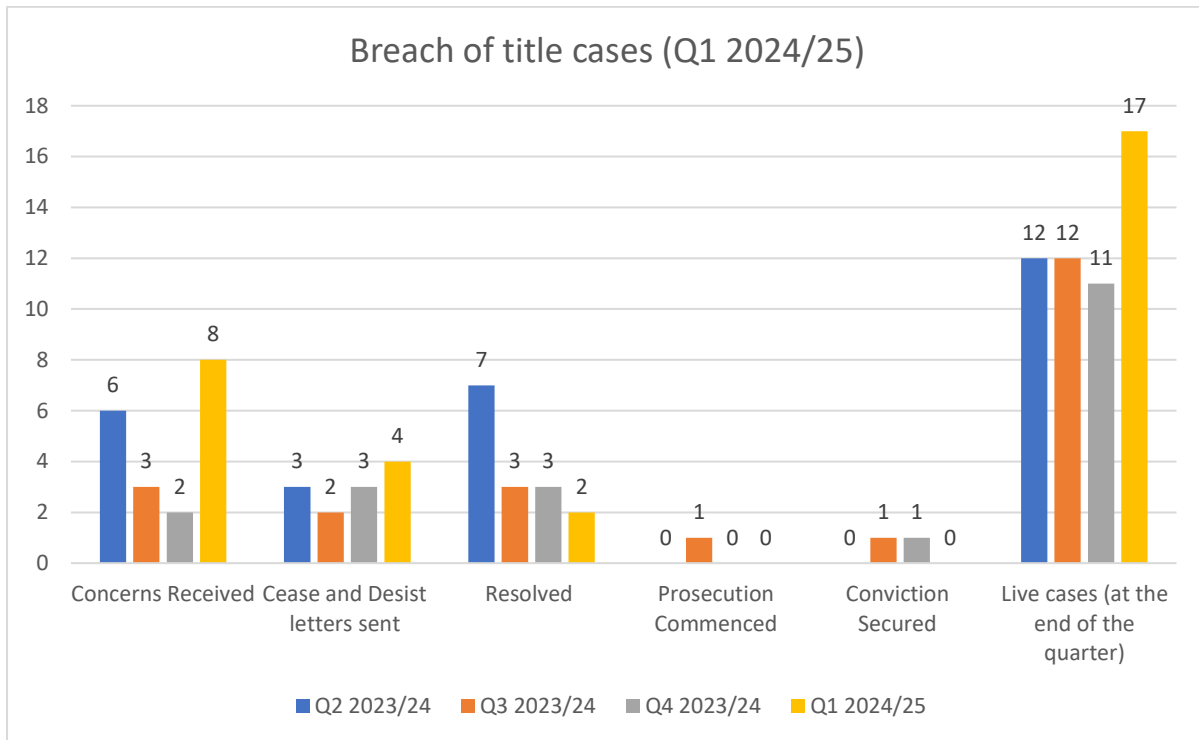
IC Interim Suspension Order Decisions	Q2 23/24	Q3 23/24	Q4 23/24	Q1 24/25
Applications made	1	1	2	1
Interim Suspension Order imposed	0	0	1	0
Undertaking	0	0	1	1
Adjourned	0	1	0	0
Median time to IC decision from receipt of referral	46 weeks	N/A	9 weeks	57 weeks
Median time to IC decision from decision that there is information indicating the need for interim order	2 weeks	N/A	6 weeks	6 weeks

PCC/HC Interim Suspension Order Decisions	Q2 23/24	Q3 23/24	Q4 23/24	Q1 24/25
Applications made	0	0	1	0
Interim Suspension Order imposed	0	0	1	0
Undertaking	0	0	0	0



## Protection of Title

- There are currently 17 active Section 32 investigations as at 31 June 20204.



Protection of Title	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q1 2024/25
Concerns Received	6	3	2	8
Cease and Desist letters sent	3	2	3	4
Resolved	7	3	3	2
Prosecution Commenced	0	1	0	0
Conviction Secured	0	1	1	0
Live cases (at the end of the quarter)	12	12	11	17

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### Appeals

- No Registration appeals were received, or considered, during the reporting period by the Registration Appeal Committee.

Total number of registrant appeals in the quarter which are:	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q1 2024/25
Ongoing	0	0	0	0
Opened	0	0	0	0
Concluded	0	0	0	0
Outcomes of registrant appeals against final fitness to practise decisions:	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q4 2023/24
Upheld and outcome substituted	0	0	0	0
Upheld and case remitted to regulator for re-hearing	0	0	0	0
Settled by consent	0	0	0	0

### Voluntary Removal

- There were no voluntary removal applications received in the reporting period.

Number of voluntary erasure/removal applications: Subsequent to the FTP case being considered by an IC.	Q2 2023/24	Q3 2023/24	Q4 2023/24	Q1 2024/25
Received	0	0	0	0
Granted	0	0	0	0