

Annex A to 9

Fitness to practise dashboard 01 April 2023 to 30 June 2023 (Q1)

Case progression – at a glance

- We have received 21 new concerns during the reporting period, a sharp increase from the previous quarter (12).
- The IC met remotely on three occasions, which included a meeting to consider a rule 19 application in a joint case.
- During this reporting period the PCC met on four occasions, considering five cases (which included a joint case), reaching a decision in all five cases.
- The output against the end-to-end KPI was 63 weeks.
- The number of cases awaiting PCC consideration has decreased to 12 cases. The breakdown of these cases are as follows: Two have been scheduled for a hearing; in three cases we are seeking to list the hearing; two require further work before we can actively list them for a hearing and the remaining five cases await the outcome of a police investigation and/or trial before we can progress further.

Referrals Received	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	19	20	20	26
Referred to PCC/HC by IC but not yet heard (as at end of quarter)	19	18	18	12
Referred to PCC/HC by IC and listed for hearing (as at end of quarter)	7	2	5	2
PCC/HC Cases part heard (as at end of quarter)	4	1	0	0
Formal complaints open (as at end of quarter)	38	39	39	39
Cases that need review hearings (as at end of quarter)	6	8	3	3

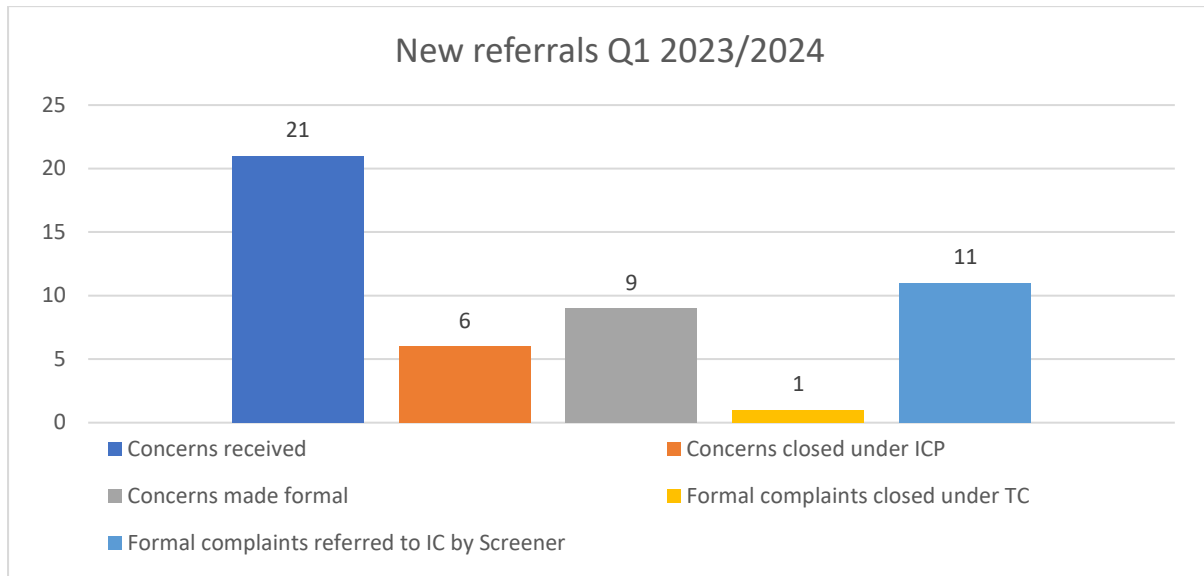
Age of Caseload from Date Received	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
52 weeks – 103 weeks	10	12	16	9
104 weeks – 155 weeks	7	6	3	5
156 weeks and above	3	1	4	4

New Referrals

- We have received 21 new concerns during the reporting period, an increase from the 12 cases received in the previous quarter.
- Six cases were closed under the Initial Closure Procedure (ICP) during this reporting period.

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- Out of the eighteen cases considered by screeners, eleven of these were referred to the IC.



Referrals Received	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Concerns received	16	21	12	21
Concerns closed under ICP	5	4	6	6
Concerns made formal	3	16	7	9
Formal complaints closed under TC	3	6	2	1
Formal complaints referred to IC by Screener	3	9	5	11

Note – the number of concerns received during the reporting period will not directly correlate to the number of concerns that are made formal, or decisions by the screeners, during the reporting period.

Source of formal complaints	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Self-referral by the registrant	0	2	2	1
Registrar's allegation	0	0	0	0
Non-NHS employer	0	0	0	0
Patient or service user	2	10	5	8
NHS	0	0	0	0
Another registrant	0	0	0	0
Anonymous informant	0	0	0	0
Another regulatory body	0	0	0	0
Any other informant	1	4	0	0
Total	3	16	7	9

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Allegations in formal complaints	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Conduct	3	13	6	9
Conviction	0	3	1	0
Competency	0	0	0	0
Adjunctive therapies	0	0	0	0
Health	0	0	0	0
Total	3	16	7	9

Key Performance Indicators

- The Screener KPI was exceeded, at six weeks.
- The Investigating Committee KPI was not met.
- The output against the overall end-to-end target was not met.

Performance at a glance

Case stage	Key Performance Indicator	Performance Target	Q2 22/23	Q3 22/23	Q4 22/23	Q1 22/23
Screening	Median time from receipt of concern to the screener's decision	9 weeks	9 weeks	8 weeks	8 weeks	6 weeks
Investigating Committee	Median time from receipt of concern to final IC decision	26 weeks	59 weeks	36 weeks	46 weeks	55 weeks
Professional Conduct Committee	Median time from receipt of concern to final PCC decision	52 weeks	49 weeks	98 weeks	70 weeks	63 weeks

Performance in detail

Time from receipt of complaint to the screener's decision (9 weeks)	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Median	9 weeks	8 weeks	8 weeks	6 weeks
Longest case	51 weeks	16 weeks	67 weeks	33 weeks
Shortest case	1 week	1 week	1 week	6 weeks
Time from receipt of complaint to final IC decision (26 weeks)	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Median	59 weeks	36 weeks	46 weeks	55 weeks
Longest case	63 weeks	62 weeks	74 weeks	85 weeks
Shortest case	14 weeks	11 weeks	23 weeks	30 weeks

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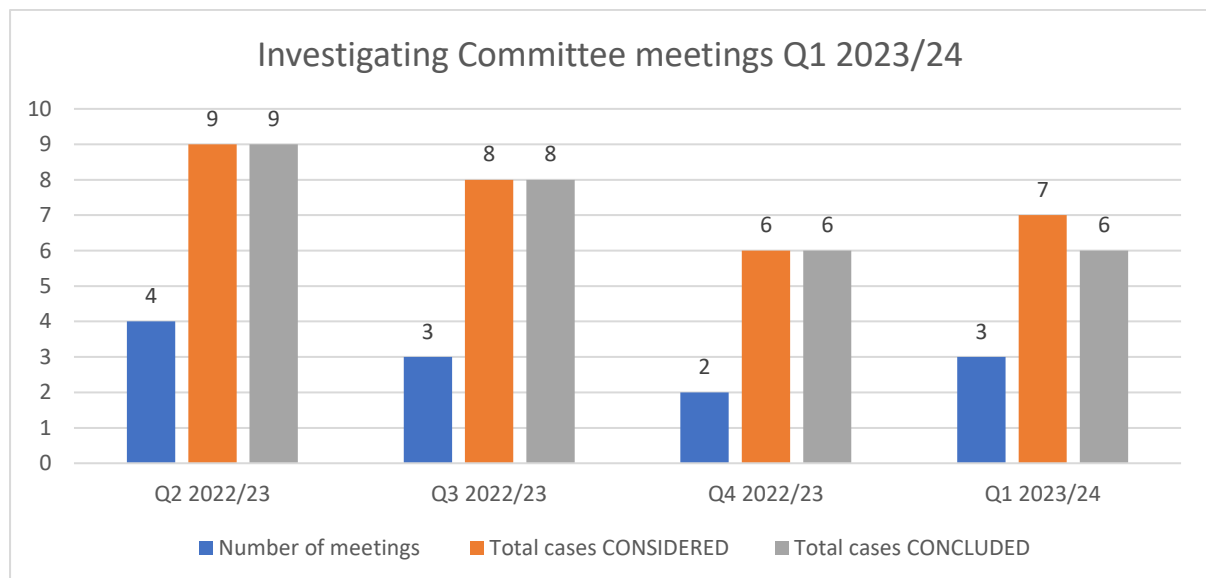
Time from final IC decision to final PCC decision or other final disposal of the case (26 weeks)	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Median	33 weeks	55 weeks	38 weeks	23 weeks
Longest case	44 weeks	167 weeks	42 weeks	70 weeks
Shortest case	24 weeks	26 weeks	33 weeks	10 weeks
Time from receipt of referral to final PCC decision or other final disposal of the case (52 weeks)	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Median	49 weeks	98 weeks	70 weeks	63 weeks
Longest case	75 weeks	184 weeks	93 weeks	97 weeks
Shortest case	41 weeks	45 weeks	47 weeks	49 weeks
Median time to interim order committee decision:	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
From receipt of referral	17 weeks	14 weeks	N/A	38 weeks
From decision that there is information indicating the need for an interim order	2 weeks	3 weeks	N/A	5 weeks

Interim Suspension Orders

- During this reporting period there has been three Interim Suspension Order (ISO) applications; one to the IC where undertakings were accepted by the Committee, one to the HC (HC) where undertakings were accepted by the Committee and another to the PCC in which the Committee determined that an ISO was not necessary.
- There has been a significant increase in our median performance figure this quarter despite the fact we acted swiftly on receipt of new material. This is because two of the ISO applications considered in this period were before the PCC and HC. The PSA has advised us that time runs from the date of receipt of the concern (i.e. when the concern is originally received and opened) rather than the date we received new information that changed the risk assessment in each of these two cases.
- In one case we received a second concern about the same registrant and in another case we received an updated medical report that potentially changed the risk profile of this case. Both matters have negatively impacted on the output in this regard.

Investigating Committee

- The IC met remotely on three occasions, which included one occasion to discuss and provide their opinion on a rule 19 application in a joint case.
- The IC considered a total of five cases, one of which was adjourned. The adjourned case related to the IC not agreeing with the expert opinion sought by the GOsC.
- There are currently 26 cases that have been referred to the IC by screeners but not yet considered. This represents an increase since the last quarter (20 cases). This increase is attributable to the referral of eleven cases to the IC over the reporting period, which has sharply impacted the total number of cases at this stage.
- The IC met on one occasion to consider an Interim Suspension Order application in one case. The IC accepted an undertaking not to practice during the FtP investigation by the Registrant.



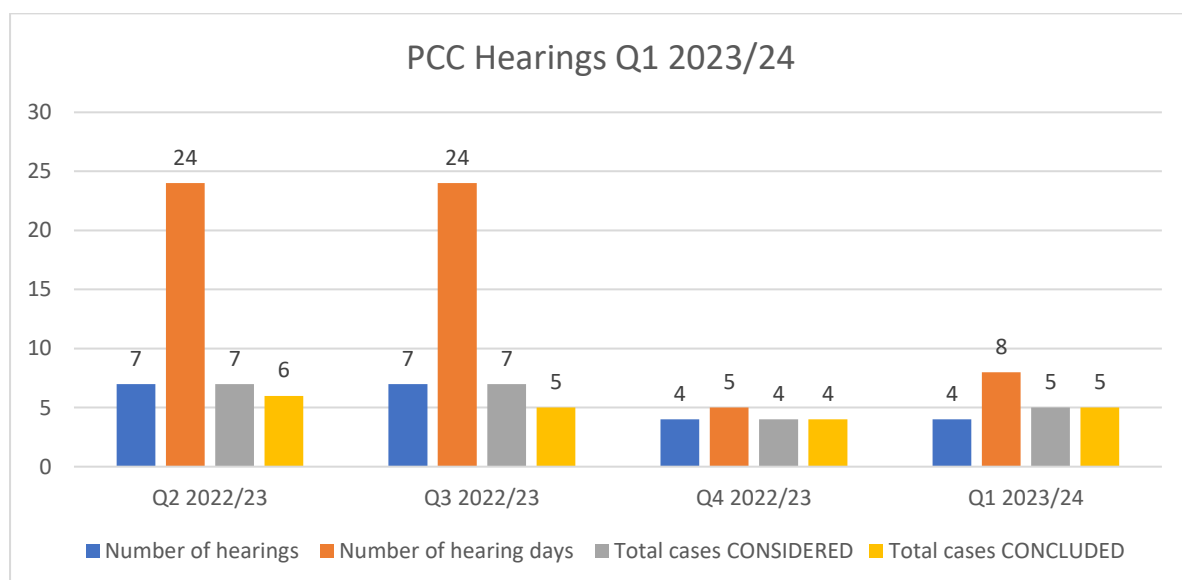
Investigating Committee Decisions	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
No Case to Answer	2	2	4	4
No Case to Answer with advice	1	0	0	0
Referred to PCC	6	6	2	0
Referred to HC	0	1	0	0
Referred to PCC and HC	0	0	0	0
Adjourned	0	0	0	1
Stayed	0	0	0	0
Rule 19 agreed	0	0	0	2

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Professional Conduct Committee

- In the reporting period the PCC sat for four substantive hearings, one of which a joint case consisting of two registrants.
- The PCC also sat on one PCC Interim Suspension Order hearing.

Professional Conduct Committee Hearings	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Number of hearings	7	7	4	4
Number of hearing days	24	24	5	8
Total cases CONSIDERED	7	7	4	5
Total cases CONCLUDED	6	5	4	5



PCC Decisions	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Allegation not 'well founded'	1	1	0	1
Admonished	2	1	1	2
Conditions of Practice	0	1	0	0
Suspension	1	1	1	0
Removal	0	1	1	0
Rule 19	0	0	0	2
Adjourned	1	3	0	0
Conditions/Suspension to expire at end of order	1	0	1	0
Rule 8 Admonishment	1	0	0	0
Stayed	0	0	0	0

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Health Committee

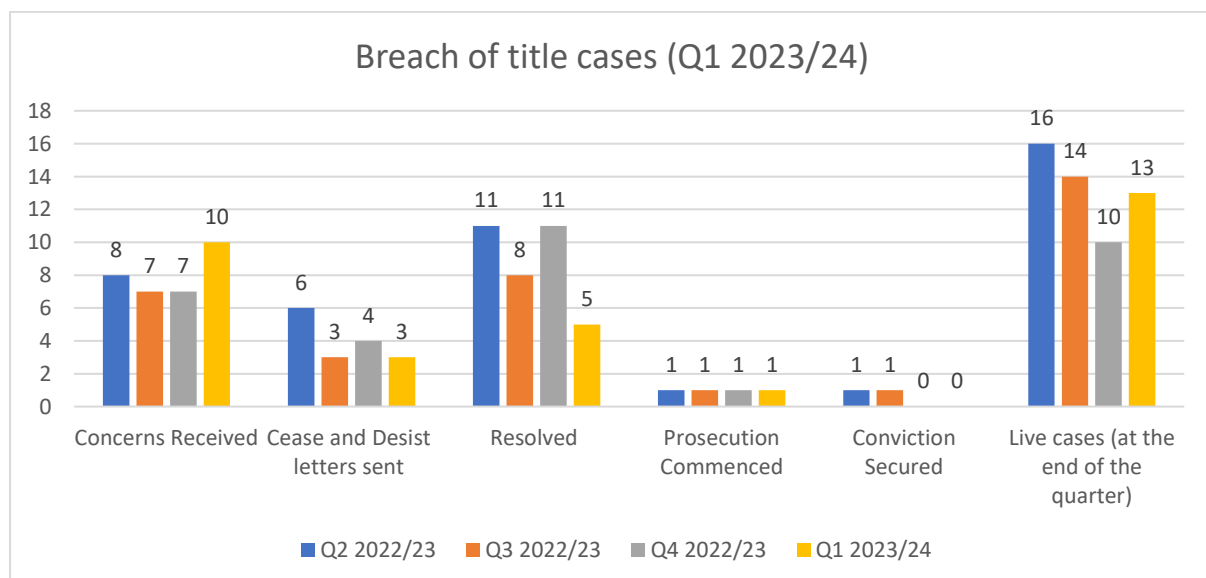
- The Health Committee considered no substantive cases in the reporting period. However, the Health Committee did consider an Interim Suspension Order application where it was determined that the existing undertakings should remain in place until the substantive hearing had concluded.

IC Interim Suspension Order Decisions	Q2 22/23	Q3 22/23	Q4 22/23	Q1 23/24
Applications made	1	5	0	1
Interim Suspension Order imposed	0	2	0	0
Undertaking	0	1	0	1
Median time to IC decision from receipt of referral	17 weeks	14 weeks	N/A	7 weeks
Median time to IC decision from decision that there is information indicating the need for interim order	2 weeks	3 weeks	N/A	2 weeks

PCC/HC Interim Suspension Order Decisions	Q2 22/23	Q3 22/23	Q4 22/23	Q1 23/24
Applications made	1	1	1	2
Interim Suspension Order imposed	1	1	1	0
Undertaking	0	0	0	1

Protection of Title

- There were 13 active Section 32 investigations as at 30 June 2023, three more than at the end of the previous quarter.



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Protection of Title	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Concerns Received	8	7	7	10
Cease and Desist letters sent	6	3	4	3
Resolved	11	8	11	5
Prosecution Commenced	1	1	1	1
Conviction Secured	1	1	0	0
Live cases (at the end of the quarter)	16	14	10	13

Appeals

- One Registration appeal was considered during the reporting period by the Registration Appeal Committee. The Committee dismissed the appeal.

Total number of registrant appeals in the quarter which are:	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Ongoing	0	2	1	0
Opened	0	2	0	0
Concluded	0	0	0	2
Outcomes of registrant appeals against final fitness to practise decisions:	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Upheld and outcome substituted	0	0	0	0
Upheld and case remitted to regulator for re-hearing	0	0	0	0
Settled by consent	0	0	0	0

Voluntary Removal

- There was one voluntary removal application received in the reporting period, of which this was granted by the Registrar.

Number of voluntary erasure/removal applications: Subsequent to the FTP case being considered by an IC.	Q2 2022/23	Q3 2022/23	Q4 2022/23	Q1 2023/24
Received	0	0	0	1
Granted	0	0	0	1