

Fitness to practise dashboard 01 April 2022 to 30 June 2022 (Q1)

Case progression – at a glance

- We have received 10 new concerns during the reporting period, a lower number of concerns.
- The IC met remotely on three occasions and considered nine cases.
- During the reporting period the PCC met on two occasions, reaching a final determination in one of the cases, with the other case going part heard.
- Remote hearings continue to take longer to conclude, despite scheduling longer time to take account of this.
- There are currently 22 cases referred to the PCC by the IC. The breakdown of these cases are as follows: Three cases are part heard and have been rescheduled already, seven are listed for a hearing, eight are to be listed (of which two have been referred the PCC in Q1) at the time of reporting, and four cases await the conclusion of a third party investigation.
- The age of our caseload over 52 weeks has increased this quarter from 6 to 14. This is due to one part heard hearing that is yet to conclude and another joint case involving six registrants.

Referrals Received	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	23	26	26	25
Referred to PCC/HC by IC but not yet heard (as at end of quarter)	18	21	21	22
Referred to PCC/HC by IC and listed for hearing (as at end of quarter)	6	4	4	10
PCC/HC Cases part heard (as at end of quarter)	2	3	3	2
Formal complaints open (as at end of quarter)	41	47	47	50
Cases that need review hearings (as at end of quarter)	5	3	3	3

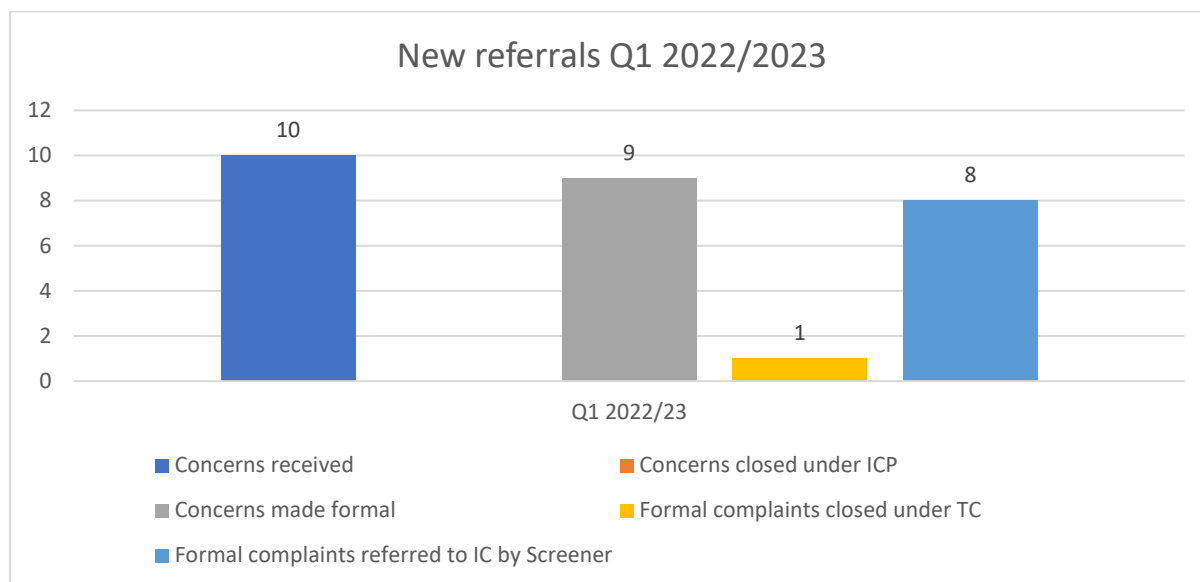
Age of Caseload from Date Received	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
52 weeks – 103 weeks	10	9	6	14
104 weeks – 155 weeks	3	3	6	5
156 weeks and above	0	0	0	2

New Referrals

- We have received 10 new concerns during the reporting period. This is lower than the last two quarters and, in comparison, is the lowest number of concerns received since Q3 2018/19.

Annex A to 8

- No cases were closed under the Initial Closure Procedure (ICP) during this reporting period. Out of the nine cases considered by screeners, eight of these were referred to the IC.



Referrals Received	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
Concerns received	27	14	14	10
Concerns closed under ICP	11	7	6	0
Concerns made formal	15	13	12	9
Formal complaints closed under TC	4	1	0	1
Formal complaints referred to IC by Screener	8	12	9	8

Source of formal complaints	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
Self-referral by the registrant	2	1	2	2
Registrar's allegation	2	1	2	0
Non-NHS employer	0	0	1	0
Patient or service user	8	9	6	7
NHS	0	0	0	0
Another registrant	2	1	0	0
Anonymous informant	0	0	0	0
Another regulatory body	0	0	0	0
Any other informant	1	1	1	0
Total	15	13	12	9

Annex A to 8

Allegations in formal complaints	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
Conduct	5	8	6	7
Conviction	1	0	0	2
Competency	7	4	5	0
Adjunctive therapies	0	0	0	0
Health	2	1	1	0
Total	15	13	12	9

Key Performance Indicators

- The Screener KPI was exceeded by four weeks in this quarter.
- The IC KPI was exceeded by a week, with nine cases having been considered.
- The output against the end-to-end target (52 weeks) was not met (65 weeks).

Performance at a glance

Case stage	Key Performance Indicator	Performance Target	Q2 21/22	Q3 21/22	Q4 21/22	Q1 22/23
Screening	Median time from receipt of concern to the screener's decision	9 weeks	9 weeks	9 weeks	7 weeks	5 weeks
Investigating Committee	Median time from receipt of concern to final IC decision	26 weeks	24 weeks	24 weeks	30 weeks	25 weeks
Professional Conduct Committee	Median time from receipt of concern to final PCC decision	52 weeks	50 weeks	65 weeks	58 weeks	65 weeks

Performance in detail

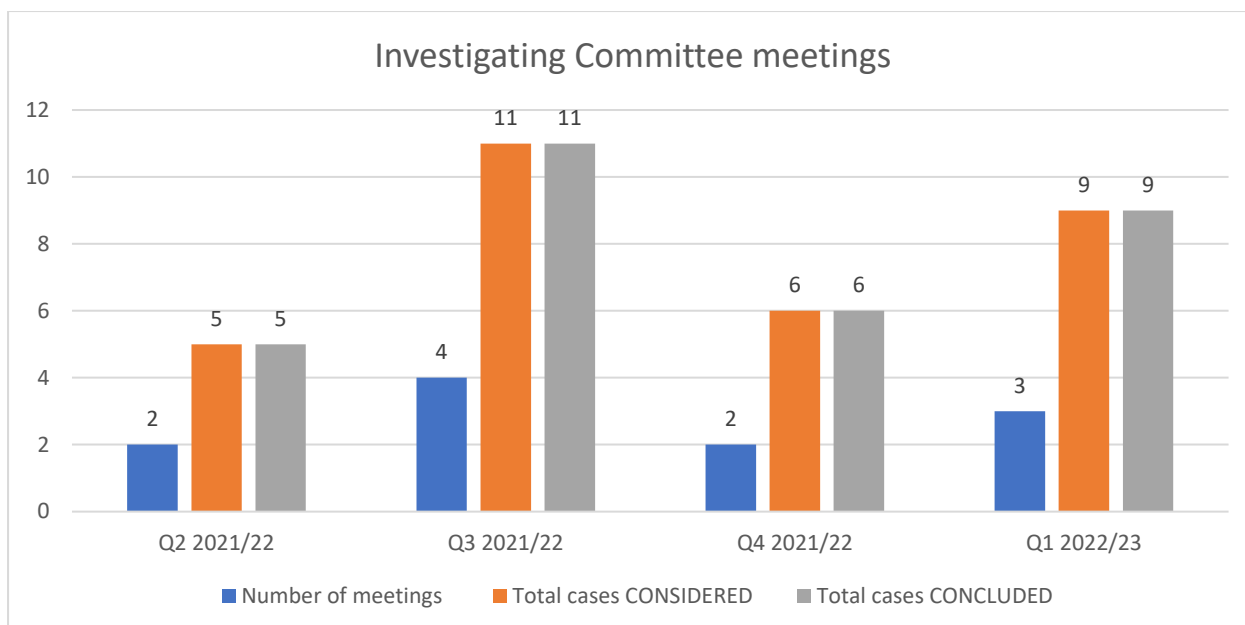
Time from receipt of complaint to the screener's decision (9 weeks)	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
Median	9 weeks	9 weeks	7 weeks	5 weeks
Longest case	34 weeks	35 weeks	29 weeks	8 weeks
Shortest case	1 week	2 weeks	1 week	0 weeks
Time from receipt of complaint to final IC decision (26 weeks)	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
Median	24 weeks	24 weeks	30 weeks	25 weeks
Longest case	50 weeks	77 weeks	51 weeks	51 weeks

Annex A to 8

Shortest case	20 weeks	8 weeks	20 weeks	14 weeks
Time from final IC decision to final PCC decision or other final disposal of the case (26 weeks)	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
Median	37 weeks	34 weeks	26 weeks	41 weeks
Longest case	37 weeks	74 weeks	48 weeks	41 weeks
Shortest case	29 weeks	27 weeks	22 weeks	41 weeks
Time from receipt of referral to final PCC decision or other final disposal of the case (52 weeks)	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
Median	50 weeks	65 weeks	58 weeks	65 weeks
Longest case	87 weeks	97 weeks	80 weeks	65 weeks
Shortest case	47 weeks	48 weeks	42 weeks	65 weeks
Median time to interim order committee decision:	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
From receipt of referral	8 weeks	14 weeks	8 weeks	6 weeks
From decision that there is information indicating the need for an interim order	3 weeks	4 weeks	4 weeks	3 weeks

Investigating Committee

- The IC met remotely on three occasions and considered nine cases, of which seven were no case to answer decisions (including two registrants issued with advice by the IC). Two were referred to the PCC.
- There are 25 cases that have been referred to the IC by screeners but not yet considered. The breakdown of these cases are: six relate to a joint case (and will be considered by the IC in August 2022), two cases are a third party investigation awaiting conclusion and the remaining 17 cases are awaiting IC consideration. However, seven of these cases were referred to the IC during this reporting period.



Investigating Committee Decisions	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
No Case to Answer	2	4	0	5
No Case to Answer with advice	0	1	0	2
Referred to PCC	3	5	6	2
Referred to HC	0	0	0	0
Referred to PCC and HC	0	0	0	0
Adjourned	0	0	0	0
Stayed	0	0	0	0
Rule 19 agreed	0	1	0	0

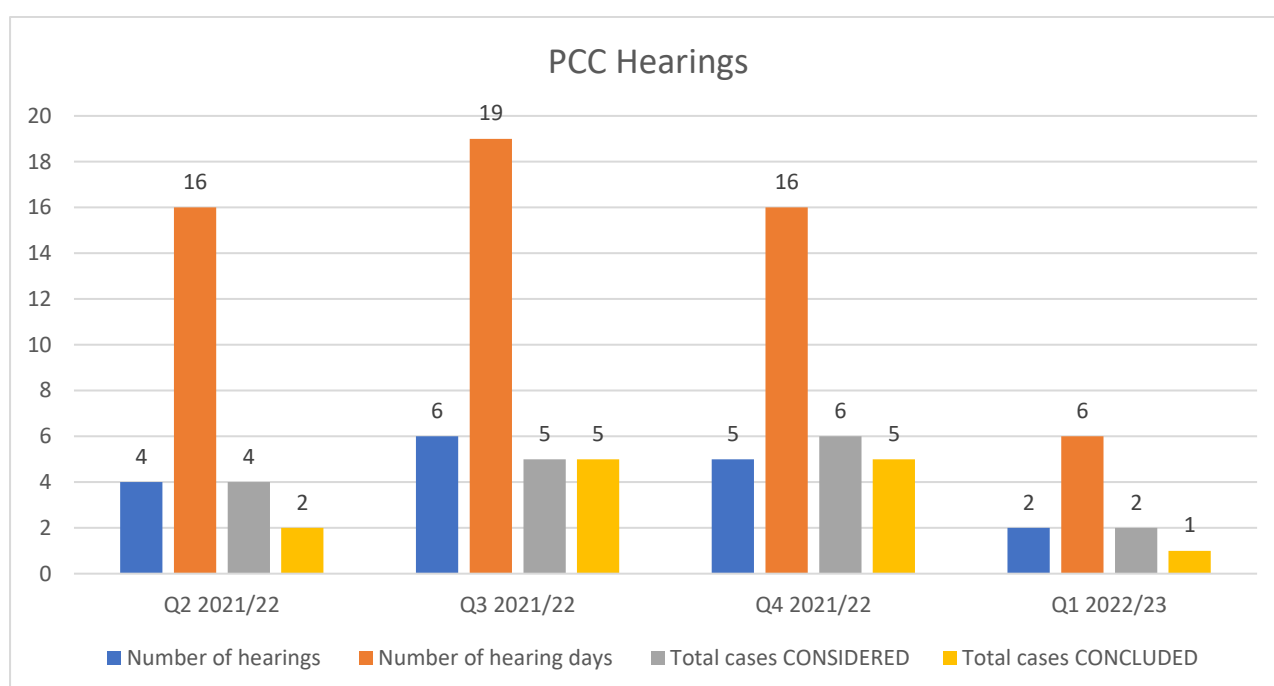
Professional Conduct Committee

- In this quarter the PCC sat remotely for two substantive hearings, reaching a final determination in one case (admonishment) with the other being part heard. The reason being that the hearing was delayed due to various matters arising during the hearing.
- The trend continues in that we find that remote hearings take longer to conclude, despite scheduling longer time to take account of this. This is not assisted by hearing days generally starting later and finishing earlier than planned. We have also noted that in camera discussions by Panels generally take longer than in person hearings but this may also be due to the finalising of written reasons by Panels and the developments within case law requiring more detailed reasoning. Initially, without prior experience of running remote hearings, it was challenging to accurately estimate how much additional time would be necessary. Our learning in this has increased by taking account of outcomes within both our own hearings and across the sector.

Annex A to 8

- We individually review cases awaiting PCC consideration individually to determine whether or not an 'in person' or remote hearing would be appropriate, and the length of time required for adequate disposal of the case. We also take into consideration the stated preference of the complainant and the registrant (on the listings questionnaire). The relevant factors (non-exhaustive) may include: the likely hearing length and complexity; self-representation of the registrant; the type of case (health, breach of sexual boundaries; clinical; PII etc); the number of witnesses; the severity of the case and therefore potential seriousness of outcome; the stated preference of complainant and registrant; the health and /or mobility considerations of participants and appropriate / sufficient IT facilities

Professional Conduct Committee Hearings	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
Number of hearings	4	6	5	2
Number of hearing days	16	19	16	6
Total cases CONSIDERED	4	5	6	2
Total cases CONCLUDED	2	5	5	1



PCC Decisions	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
Allegation not 'well founded'	1	1	0	0
Admonished	0	0	1	1
Conditions of Practice	0	1	0	0
Suspension	0	1	3	0

Annex A to 8

Removal	1	1	0	0
Rule 19	0	1	0	0
Adjourned	2	1	1	1
Conditions/Suspension to expire at end of order	1	0	0	0
Rule 8 Admonishment	0	0	1	0
Stayed	0	0	0	0

Health Committee

- The Health Committee considered no cases in this quarter.

Interim Suspension Orders

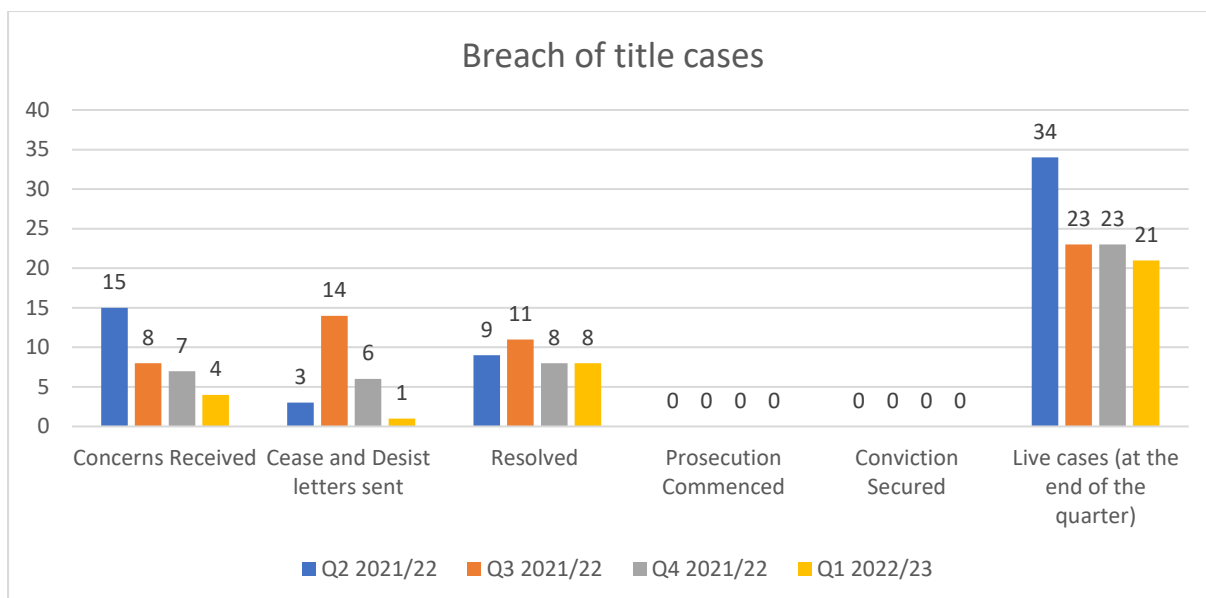
- There have been four IC Interim Order hearings held in this quarter. In one case the Interim Order was imposed. In two cases undertakings were accepted by the panel of the IC and in one case no Interim Suspension Order was imposed. Three of these cases relates to a transgression of sexual boundaries and one related to the health of a registrant.

IC Interim Suspension Order Decisions	Q2 21/22	Q3 21/22	Q4 21/22	Q1 22/23
Applications made	1	2	3	4
Interim Suspension Order imposed	1	1	0	1
Undertaking	0	0	0	2
Median time to IC decision from receipt of referral	8 weeks	14 weeks	8 weeks	6 weeks
Median time to IC decision from decision that there is information indicating the need for interim order	3 weeks	4 weeks	4 weeks	3 weeks

PCC/HC Interim Suspension Order Decisions	Q2 21/22	Q3 21/22	Q4 21/22	Q1 22/23
Applications made	1	2	0	0
Interim Suspension Order imposed	1	2	0	0
Undertaking	0	0	0	0

Protection of Title

- There were 21 active Section 32 investigations as at 31 March 2022, two less than the last quarter – a reduction of 30% since this time last year.



Protection of Title	Q2 2021/22	Q3 2021/22	Q4 2021/22	Q1 2022/23
Concerns Received	15	8	7	4
Cease and Desist letters sent	3	14	6	1
Resolved	9	11	8	8
Prosecution Commenced	0	0	0	0
Conviction Secured	0	0	0	0
Live cases (at the end of the quarter)	34	23	23	21

Appeals

- No appeals commenced against decisions of the PCC in this quarter.

Total number of registrant appeals in the quarter which are:	Q2 21/22	Q3 21/22	Q4 21/22	Q1 22/23
Ongoing	0	0	0	0
Opened	0	0	0	0
Concluded	0	0	0	0
Outcomes of registrant appeals against final fitness to practise decisions:	Q2 21/22	Q3 21/22	Q4 21/22	Q1 22/23
Upheld and outcome substituted	0	0	0	0
Upheld and case remitted to regulator for re-hearing	0	0	0	0
Settled by consent	0	0	0	0

Voluntary Removal

- There were no applications for voluntary removal received in this quarter.

Number of voluntary erasure/removal applications: Subsequent to the FTP case being considered by an IC.	Q2 21/22	Q3 21/22	Q4 21/22	Q1 22/23
Received	0	0	3	0
Granted	0	0	3	0