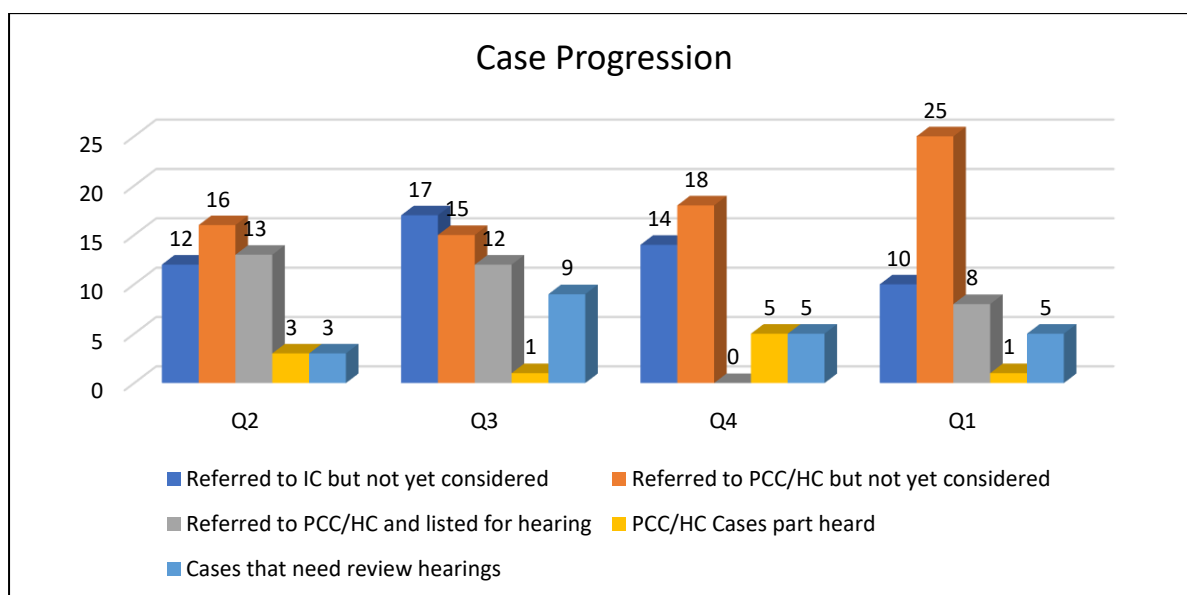


Fitness to practise dashboard 1 April to 30 June 2020

Case progression – at a glance

- The number of concerns received has fallen by half compared to Q4 2019-20.
- The number of formal complaints referred to the IC by the screeners is broadly consistent with previous quarters.
- IC meetings are currently being held remotely due to the COVID-19 pandemic.
- The IC have considered 14 cases remotely this quarter, of which seven have been referred to the PCC and seven have been closed with no further action.
- The GOSC postponed all substantive, non-urgent hearings during this quarter due to the COVID-19 pandemic. There are currently 25 cases that have been referred to the PCC but not yet heard.
- As at the end of Q1, eight of the 25 PCC cases have been listed for remote or blended hearings in Q2 and Q3.
- There is one case part-heard as at the end of Q1. This is listed to resume as a remote hearing at the beginning of Q2.
- The overall caseload remains stable, with 40 formal cases open at the end of this quarter, compared with 41 at the end of the previous quarter.



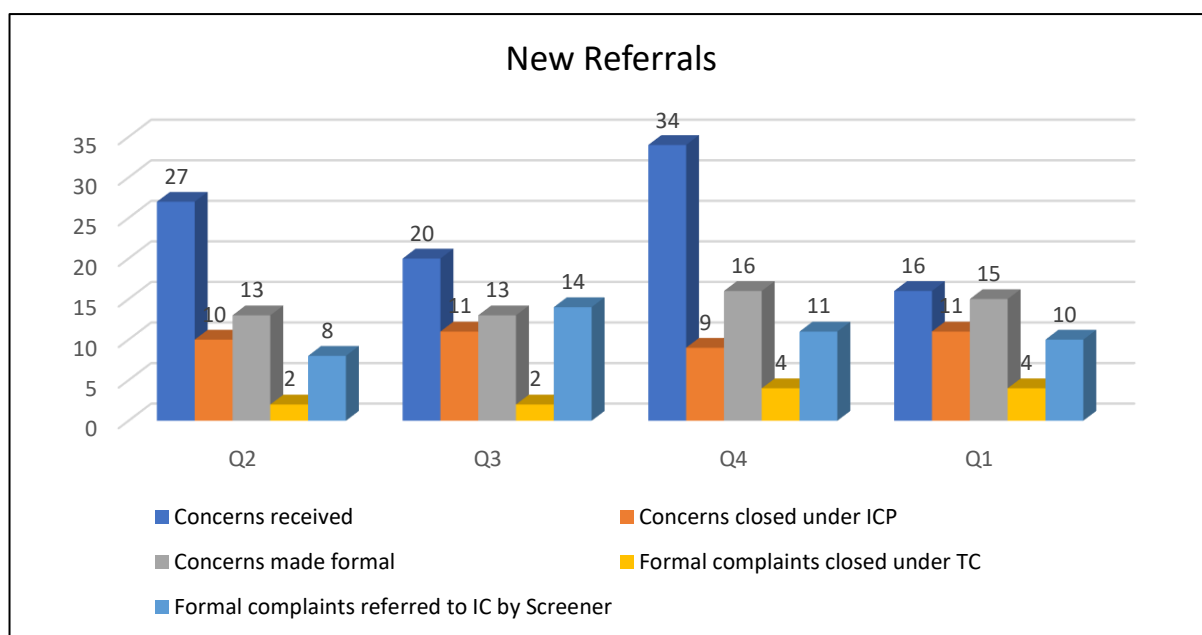
Case Progression	Q2	Q3	Q4	Q1
Formal complaints referred to IC by Screener but not yet considered (as at end of quarter)	12	17	14	10
Cases referred to PCC/HC by IC but not yet heard (as at end of quarter)	16	15	18	25
Cases referred to PCC/HC by IC and listed for hearing (as at end of quarter)	13	12	0	8
PCC/HC Cases part heard (as at end of quarter)	3	1	5	1
Formal complaints open (as at end of quarter)	37	37	41	40
Cases that need review hearings (as at end of quarter)	3	9	5	5

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Age of Caseload from Date of Receipt	Q2	Q3	Q4	Q1
52 weeks – 103 weeks	6	7	9	8
104 weeks – 155 weeks	2	1	2	1
156 weeks and above	2	2	0	1

New Referrals

- The number of concerns received was consistently high in all quarters in 2019-20. However, in this quarter the number of new concerns has decreased significantly and is comparable to 2018-19 numbers.
- The majority of concerns in Q1 related to COVID-19. These included concerns about comments made on social media about COVID-19 and concerns about poor infection control. Other concerns raised in this quarter related to ineffective or inappropriate treatment, a conviction for a driving offence, poor communication and the misuse of a protected title. There was one concern raised relating to sexual misconduct.



New Referrals	Q2	Q3	Q4	Q1
Concerns received	27	20	34	16
Concerns closed under ICP	10	11	9	11
Concerns made formal	13	13	16	15
Formal complaints closed by Screener under Threshold Criteria	2	2	4	4
Formal complaints referred to IC by Screener	8	14	11	10

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Source of formal complaints	Q2	Q3	Q4	Q1
Self-referral by the registrant	6	2	4	1
Registrar's allegation	0	2	1	3
Referral by non-NHS employer	0	0	0	1
Referral by patient or service user	5	7	5	6
Referral by NHS	0	0	0	0
Referral by another registrant	0	0	1	3
Anonymous informant	1	0	0	0
Referral by another regulatory body	0	1	0	0
Any other informant	1	2	5	1
Total	13	14*	16	15

** 13 cases were made formal in Q3 2019-2020; however, one case was referred to GOsC by two different sources, hence 14 sources of formal complaints in Q3.*

Allegations in formal complaints	Q2	Q3	Q4	Q1
Conduct	12	13	15	13
Conviction	0	0	1	1
Competency	0	0	0	0
Adjunctive therapies	0	0	0	0
Health	1	0	0	1
Total	0	13	16	15

Key Performance Indicators

- Each KPI is the length of time, measured in median weeks, from receipt of concern to decision point (i.e. screener, IC or PCC/HC decision).
- As all substantive PCC cases have been postponed this quarter due to the COVID-19 outbreak, the KPI for PCC consideration is not included in this dataset.
- The target for IC decisions is 26 weeks. We met this target in Q1, achieving a median time of 21 weeks.
- The target for Screener decisions is 9 weeks. The median for Q1 was outside this target by one week. As a result of the fall in new concerns, older cases that had taken longer to process due to necessary pre-screen enquiries accounted for a disproportionate number of the cases screened in this quarter.

Public Item 7 – Annex A

Performance at a glance

Case stage	Key Performance Indicator	Performance Target	Q2	Q3	Q4	Q4
Screening	Median time from receipt of concern to the screener's decision	9 weeks	10 weeks	9 weeks	6 weeks	10 weeks
Investigating Committee	Median time from receipt of concern to final IC decision	26 weeks	24 weeks	27 weeks	26 weeks	21 weeks

Performance in detail

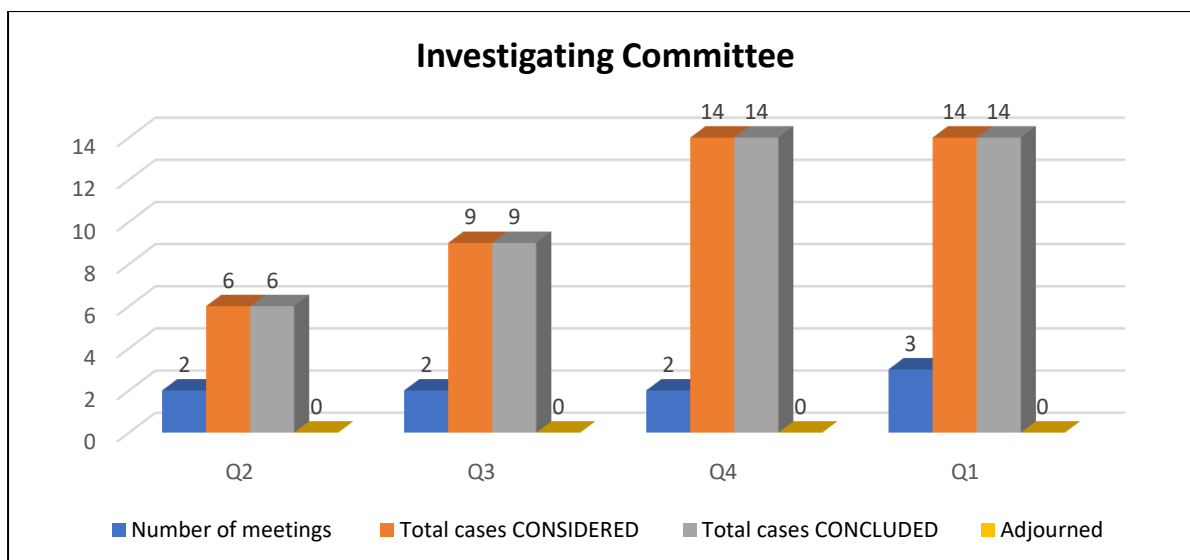
Time from receipt of complaint to the screener's decision (9 weeks)	Q2	Q3	Q4	Q1
Median	10 weeks	9 weeks	6 weeks	10 weeks
Longest case	84 weeks	27 weeks	33 weeks	20 weeks
Shortest case	2 weeks	1 week	1 week	3 weeks
Time from receipt of complaint to final IC decision (26 weeks)	Q2	Q3	Q4	
Median	24 weeks	27 weeks	26 weeks	21 weeks
Longest case	33 weeks	33 weeks	67 weeks	63 weeks
Shortest case	15 weeks	18 weeks	10 week	8 weeks

Investigating Committee

- The Investigating Committee considered and concluded fourteen cases in two meetings this quarter. Seven cases were referred to the PCC and seven were closed.

Investigating Committee Meetings	Q2	Q3	Q4	Q1
Number of meetings	2	2	2	3
Total cases CONSIDERED	6	9	14	14
Total cases CONCLUDED	6	9	14	14

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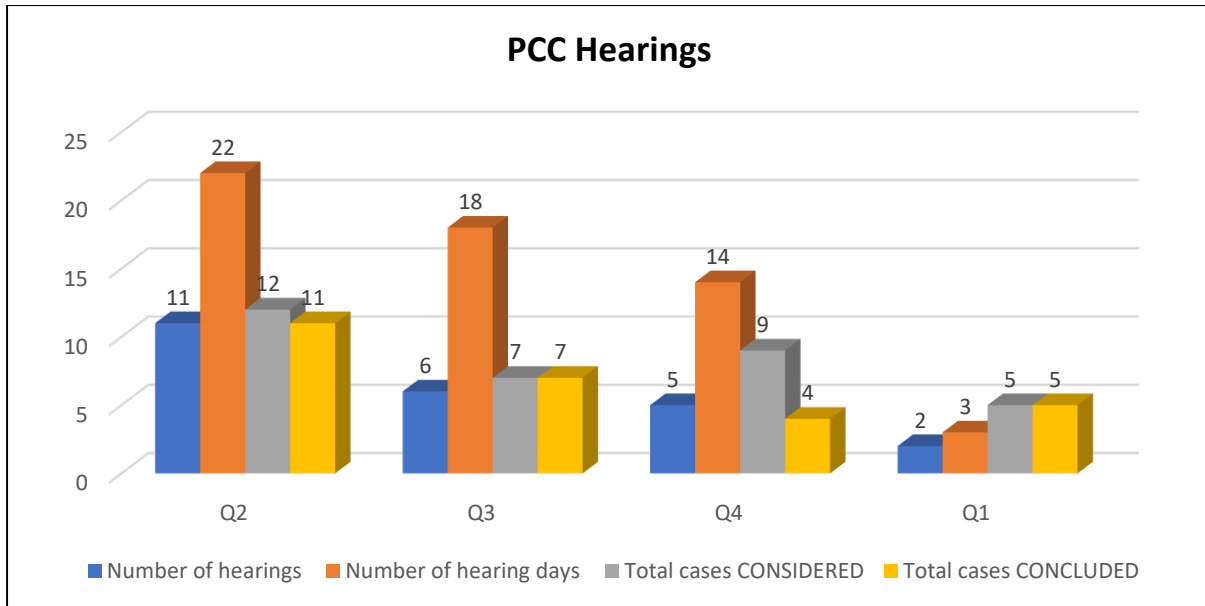


Investigating Committee Decisions	Q2	Q3	Q4	Q1
No Case to Answer	2	5	4	7
No Case to Answer with advice	0	0	0	0
Referred to PCC	4	4	10	7
Referred to HC	0	0	0	0
Referred to PCC and HC	0	0	0	0
Adjourned	0	0	0	0
Stayed	0	0	0	0

Professional Conduct Committee

- All substantive non-urgent PCC cases were adjourned during Q1 due to the COVID-19 pandemic.
- One hearing adjourned from the previous quarter was concluded as a remote hearing. This was a joint-hearing of four cases. In addition, a remote hearing was held for the review of a suspension order. Five cases were therefore concluded this quarter.

Professional Conduct Committee Hearings	Q2	Q3	Q4	Q1
Number of hearings	11	6	5	2
Number of hearing days	22	18	14	3
Total cases CONSIDERED	12	7	9	5
Total cases CONCLUDED	11	7	4	5



PCC Decisions	Q2	Q3	Q4	Q1
Allegation not 'well founded'	6	2	0	4
Admonished	1	0	2	0
Conditions of Practice	1	0	0	0
Suspension	1	5	0	0
Removal	0	0	0	0
Rule 19	0	0	0	0
Adjourned	1	0	5	0
Conditions/Suspension to expire at end of order	1	0	2	1
Rule 8 Admonishment	0	0	0	0
Stayed	1	0	0	0

Health Committee

- The HC did not consider any cases in Q1.

Interim Suspension Orders

- The IC did not consider any interim order applications in Q1.
- The PCC considered one interim order application in Q1 and imposed an order.

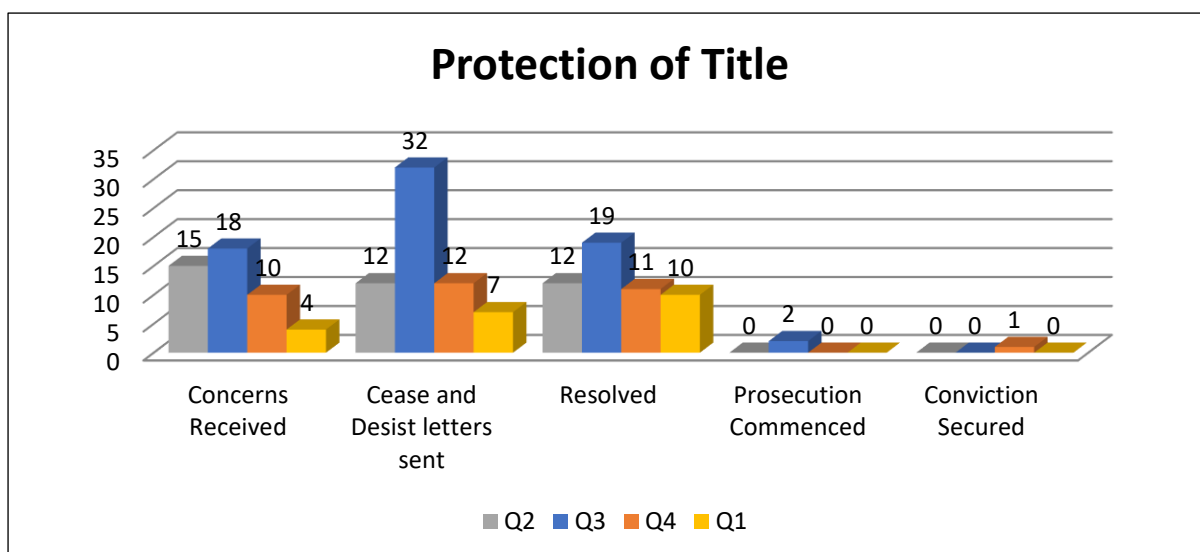
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IC Interim Suspension Order Decisions	Q2	Q3	Q4	Q1
Applications made	0	1	3	0
Interim Suspension Order imposed	0	0	2	0
Undertaking	0	0	1	0
Median time to IC decision from receipt of referral	N/A	5 weeks	8 weeks	N/A
Median time to IC decision from decision that there is information indicating the need for interim order	N/A	5 weeks	5 weeks	N/A

PCC/HC Interim Suspension Order Decisions	Q2	Q3	Q4	Q1
Applications made	0	1	0	1
Interim Suspension Order imposed	0	0	0	1
Undertaking	0	0	0	0

Protection of Title

- There were 14 active protection of title cases as at 30 June 2020.
- A prosecution that was commenced in relation to a protection of title offence in Q3 2019-2020 is ongoing. This case has been delayed due to COVID-19.



Protection of Title	Q2	Q3	Q4	Q1
Concerns received	15	18	10	4
Cease and desist letters sent	12	32	12	7
Resolved	12	19	10	10
Prosecution commenced	0	2	0	0
Conviction secured	0	0	1	0

Appeals

- There were no registrant appeals in Q1.

Total number of registrant appeals in the quarter which are:	Q2	Q3	Q4	Q1
Ongoing	1	0	0	0
Opened	0	0	0	0
Concluded	0	1	0	0
Outcomes of registrant appeals against final fitness to practise decisions:	Q2	Q3	Q4	Q1
Upheld and outcome substituted	0	0	0	0
Upheld and case remitted to regulator for re-hearing	0	0	0	0
Settled by consent	0	1	0	0

Voluntary Removal

- No applications for voluntary removal were received or granted in Q1.

Number of voluntary erasure/removal applications prior to FTP case being considered by an IC/CE	Q2	Q3	Q4	Q1
Received	0	0	1	0
Granted	0	0	1	0
Number of voluntary erasure/removal applications subsequent to the FTP case being considered by an IC/CE.	Q2	Q3	Q4	Q1
Received	0	0	0	0
Granted	0	0	0	0

Consensual Disposal

- No applications for consensual disposal were considered by the PCC in Q1.

Number of cases disposed of by consensual process (other than voluntary erasure/removal):	Q2	Q3	Q4	Q1
Consensual panel disposal agreement reviewed by an FTP committee	0	0	0	0