

Fitness to practise data set Q1 2018-19

Concerns and Formal Complaints

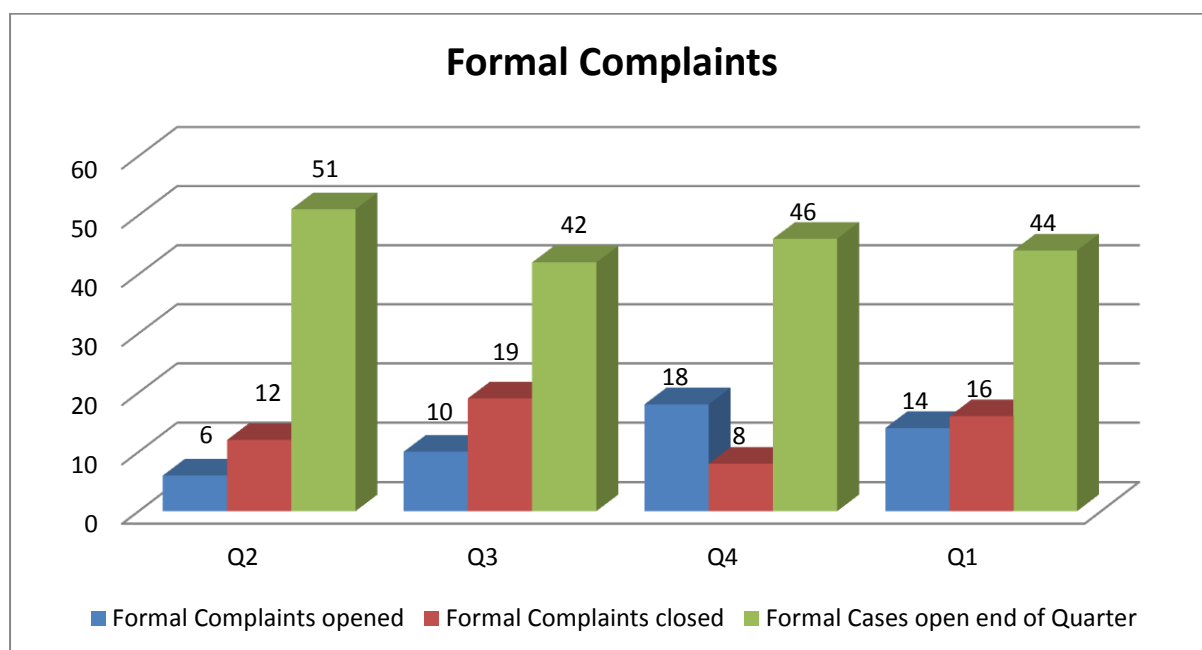
The figures for concerns and complaints received and closed are calculated as at the end of the quarter.

We received two more formal complaints in Q4 than in Q3 of 2017-18.

We closed 16 formal complaints in Q1, twice as many as in the previous quarter. This has meant that our case load has remained steady at 44.

As a comparison, in Q1 2016-17 we received 12 formal complaints and had 57 open formal complaints at the end of the quarter.

Number of Complaints Received	Q2	Q3	Q4	Q1
Formal Complaints opened	6	10	18	14
Formal Complaints closed	12	19	8	16
Formal Cases open end of Quarter	51	42	46	44



Annex to 7

Source of Formal Complaints	Q2	Q3	Q4	Q1
Self-referral by the registrant	0	1	1	1
Registrar's Allegation	0	3	5	3
Referral by non-NHS employer	0	0	1	0
Referral by patient or service user	6	4	8	8
Referral by NHS	0	0	0	0
Referral by another registrant	0	0	0	0
Anonymous informant	0	0	0	0
Referral by another regulator body	0	0	1	0
Any other informant	0	2	2	2
Total	6	10	18	14

Key points: 'Referral by patient or service user' continues to be the most common source of formal complaints.

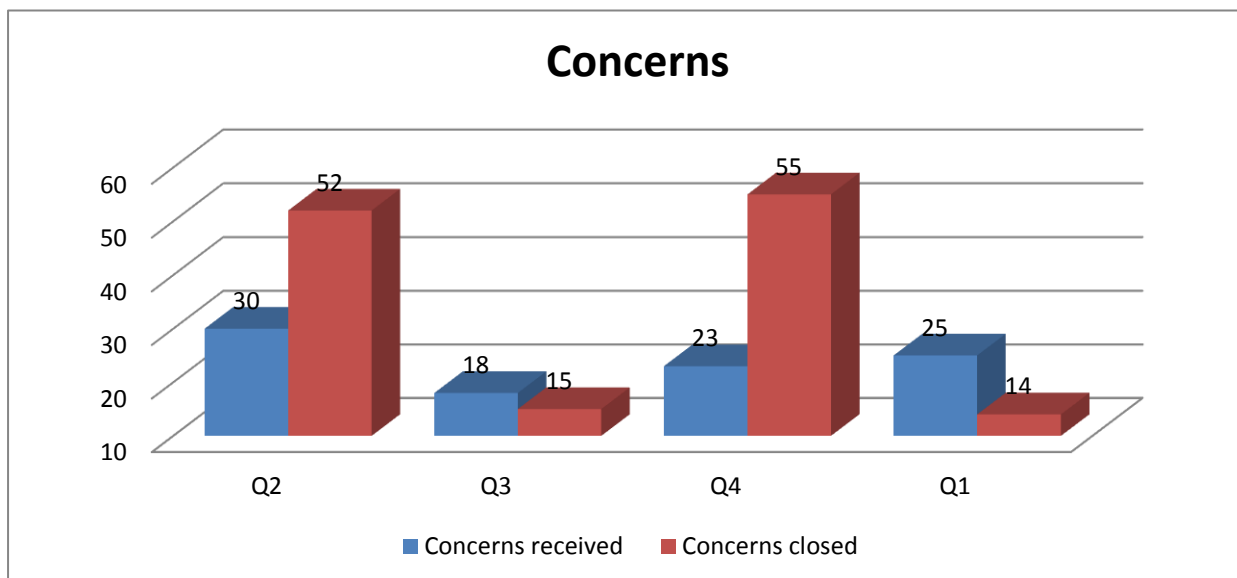
Allegations in Formal Complaints	Q2	Q3	Q4	Q1
Conduct	6	9	16	13
Conviction	0	1	1	1
Competency	0	0	0	0
Adjunctive Therapies	0	0	1	0
Health	0	0	0	1
Total	6	10	18	14

Key points: conduct continues to be the main type of allegation raised in complaints. We did not receive any complaints concerning competency or adjunctive therapies during the period.

Concerns received

Twenty five concerns were received in Q1. The main source of concern in Q1 involved obtaining consent and communication.

Number of Concerns Received	Q2	Q3	Q4	Q1
Concerns received	30	18	23	25
Concerns closed	52	15	55	14



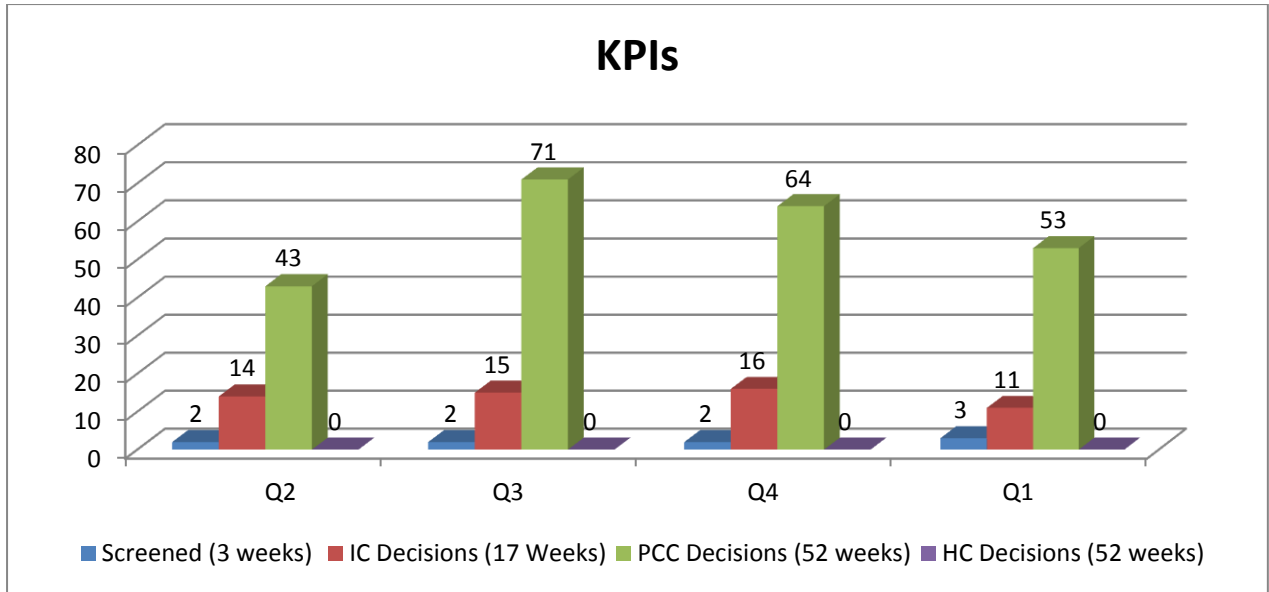
Key Performance Indicators

Key Points: all KPI's are measured in Median weeks. The KPIs for screened and IC Decisions have been met in Q1. The Screened median remained constant from Q4 to Q1. The IC Decisions median (which remains within KPI) decreased significantly by five weeks compared to Q4. This not only represents improved efficiencies in active case progression but also that older cases have now passed through the fitness to practise process.

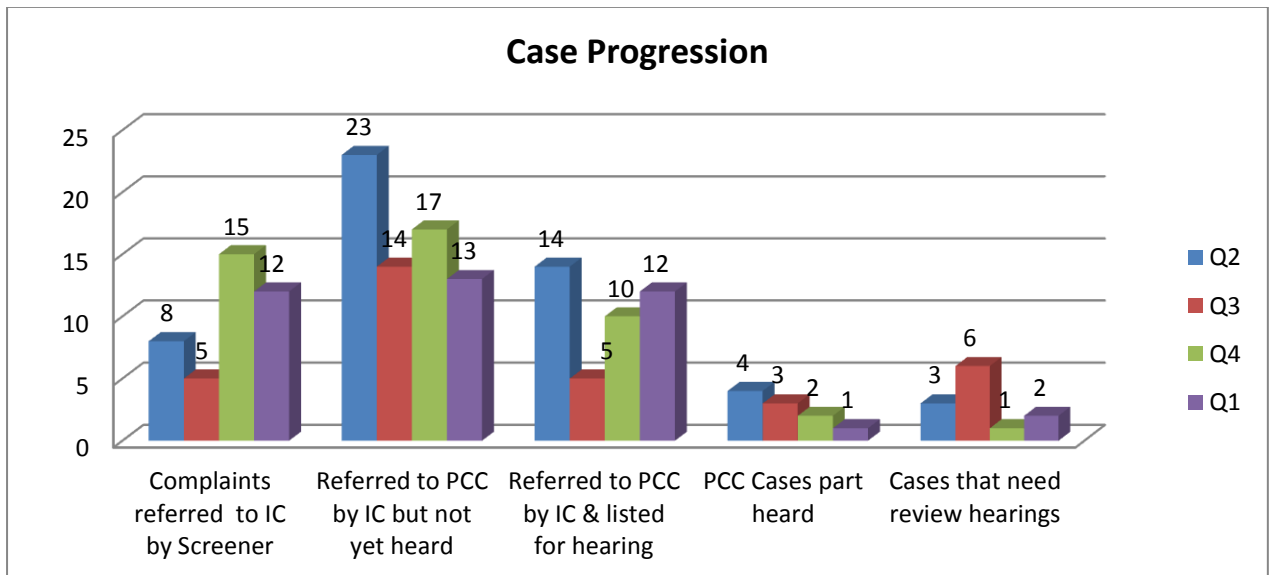
The PCC Decisions median continues its downward trend and has decreased from 64 weeks in Q4 to 53 weeks in Q1. As with the IC decisions median, this is indicative of older cases having now concluded.

No cases were considered by the HC in Q1.

Performance Against KPIs	Q2	Q3	Q4	Q1
Screened (3 weeks)	2	2	2	3
IC Decisions (17 Weeks)	14	15	16	11
PCC Decisions (52 weeks)	43	71	64	53
HC Decisions (52 weeks)	n/a	n/a	n/a	n/a



Case Progression	Q2	Q3	Q4	Q1
Complaints referred to IC by Screener	8	5	15	12
Referred to PCC by IC but not yet heard	23	14	17	13
Referred to PCC by IC and listed for hearing	14	5	10	12
PCC Cases part heard	4	3	2	1
Cases that need review hearings	3	6	1	2



Key points: the number of cases listed for hearing before the PCC continues to increase, demonstrating the sustained effectiveness of the listings protocol and throughput of cases, with one hearing adjourned part heard in Q1 being relisted and concluded within the same quarter.

Formal Complaint to Final IC decision (in weeks)	Q2	Q3	Q4	Q1
Median	27	16	16	11
Longest Case	61	38	47	30
Shortest Case	9	7	4	7

Key points: in Q1 the median has declined in comparison to the last two quarters. This is representative of older cases having either been concluded or referred to a final hearing. The shortest case was considered in 7 weeks.

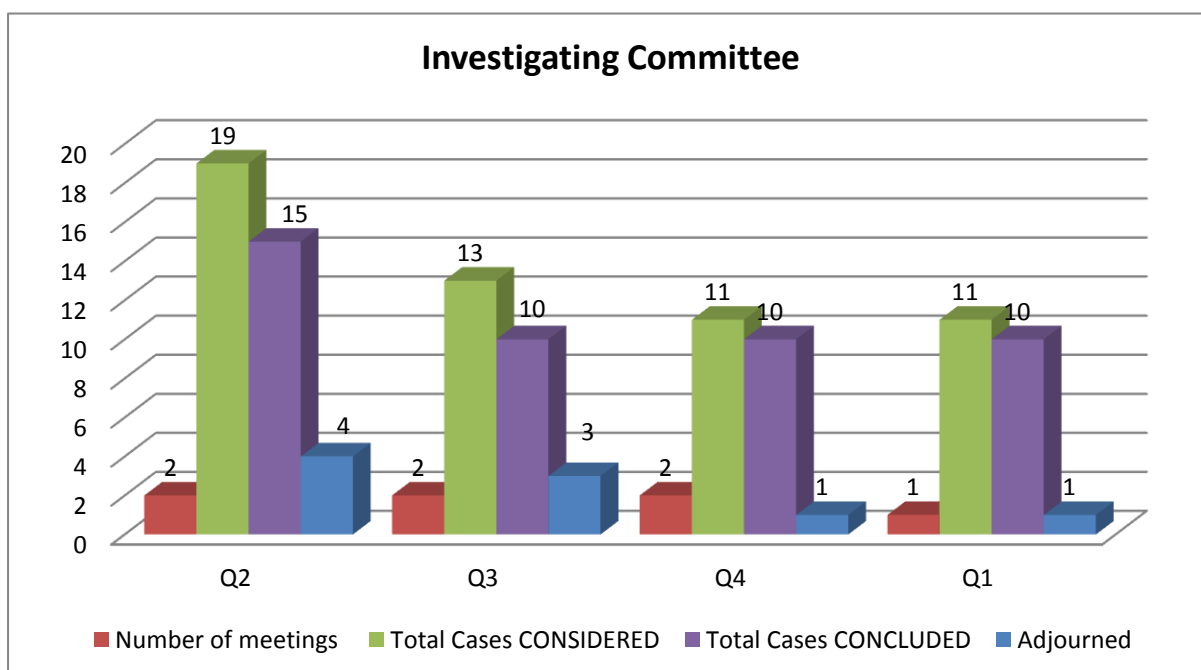
Cases open end of quarter older than	Q2	Q3	Q4	Q1
52 weeks	17	12	7	7
104 weeks	3	2	1	1
156 weeks	0	0	0	1

Key points: Q1 stays constant with Q4 in the number of cases older than 52 weeks. Of the seven cases, two have now concluded, two have been listed for hearing later in 2018 and two relate to external investigations by the police, meaning the cases cannot be progressed until the conclusion of both police investigations. The one case that is older than 104 weeks remains part heard and has been listed for hearing. The case older than 156 weeks has a substantive order in place.

Investigating Committee

Key points: the IC held one meeting in Q1 and considered 11 cases. The majority of cases considered by the IC were referred to the PCC. The IC adjourned one case in Q1. The IC considered and granted one interim suspension order in Q1.

Investigating Committee	Q2	Q3	Q4	Q1
IC MEETINGS				
Number of meetings	2	2	2	1
Total Cases CONSIDERED	19	13	11	11
Total Cases CONCLUDED	15	10	10	10
IC DECISIONS				
No Case to Answer	10	4	3	4
Referred to PCC	5	5	7	6
Referred to HC	0	0	0	0
Referred to PCC and HC	0	0	0	0
Adjourned	4	3	1	1
IC Interim Suspension Order DECISIONS				
Applications made	3	0	2	1
Interim Suspension Order Imposed	0	0	1	0
Undertaking	2	0	1	0
Receipt of complaint to ISO Decision (MEDIAN in weeks)	7	0	3	4

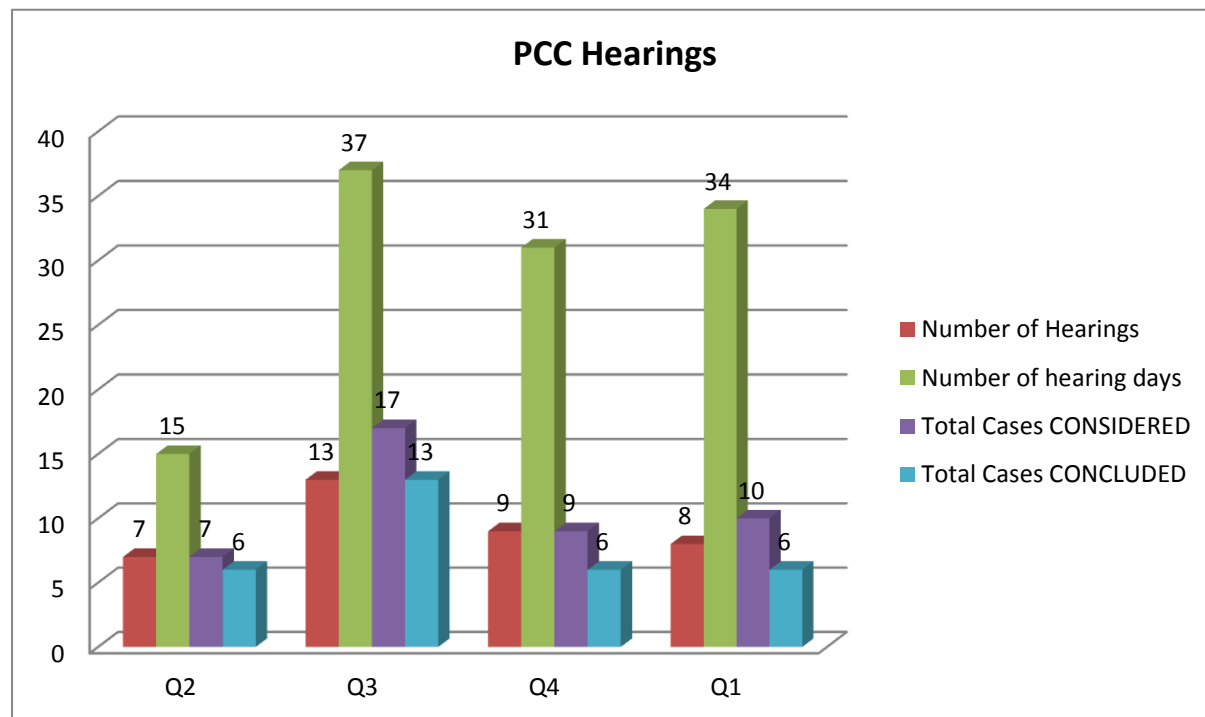


Professional Conduct Committee

Key points: in Q1 the PCC considered eight cases over 34 days, concluding six of those cases. The number of hearing days allocated to each case is indicative of the continuing trend we have identified previously to Council of the increasingly adversarial approach adopted by defence counsel at hearings in terms of legal argument and other applications made before the PCC.

Professional Conduct Committee	Q2	Q3	Q4	Q1
PCC Hearings				
Number of Hearings	7	13	9	8
Number of hearing days	15	37	31	34
Total Cases CONSIDERED	7	17	9	10
Total Cases CONCLUDED	6	13	6	6
PCC DECISIONS				
Allegation not 'well founded'	2	6	2	3
Admonished	4	3	1	2
Conditions of Practice	0	4	0	0
Suspension	0	0	1	1
Removal	0	0	1	0
Rule 19	0	0	1	0
Adjourned	1	3	2	2
Conditions/Suspension to expire at end of order	0	1	0	0
Rule 8 Admonishment	0	0	0	0
PCC Interim Suspension Order DECISIONS				

Applications made	0	1	0	2
Interim Suspension Order Imposed	0	1	0	2
Undertaking	0	0	0	0



Protection of Title

Key points: there are 31 active protection of title cases as at 31 March 2018.

The figures for protection of title concerns received and resolved are calculated as those received and resolved during the quarter. It is possible to resolve more concerns in a quarter than were received taking into account for example the time delay between sending out a Cease and Desist letter in one quarter and receiving a response in another.

Protection of Title	Q2	Q3	Q4	Q1
Concerns Received	10	8	9	8
Cease and Desist letters sent	7	5	4	5
Resolved	1	7	4	9
Prosecution Commenced	0	0	0	0
Conviction Secured	0	0	0	0

