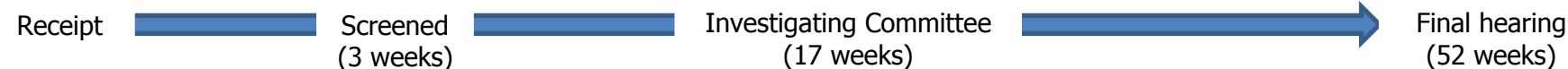


**Regulation Department Report to Council  
1 April 2016 to 30 June 2016**

**Please note all figures in red relate to 2015-16**

**Annex A to 6**



**Efficiency**

	Q1	Q2	Q3	Q4
<b>Numbers of complaints received</b>				
Informal	93*			
	22	61	94	110
Formal	13			
	14	36	16	11

\* 74 of the informal complaints related to advertising

<b>Performance against KPIs (median – receipt to decision in weeks)</b>				
Screened (3 weeks)	2			
	1	2	1	1
IC decisions (17 weeks)	10			
	14.2	9	15	16
PCC decisions (52 weeks)	44			
	25	40	46	60
HC decisions (52 weeks)	0			
	0	0	0	24

<b>Investigating Committee</b>				
Number of meetings	2			
	2	2	1	2
Total number of decisions	9			
	6	12	13	13
Mean number of decisions per meeting	4.5			
	2.5	6	13	6.5

<b>Professional Conduct Committee</b>				
Number of substantive hearings	9			
	6	5	7	1
Mean length of hearing (days)	1			
	2	3.6	4.3	5
Mean time PCC in camera to decide facts and allegation (hours)	3			
	6.2	8.75	5.2	6
Mean time PCC in camera to decide sanction (hours)	2			
	2.5	2.5	3.2	5

**Effectiveness**

	Q1	Q2	Q3	Q4
<b>Investigating Committee</b>				
Total cases considered	12			
	6	12	13	18
No case to answer	2			
	1	0	4	3
Referred to PCC	7			
	2	6	6	10
Referred to HC	0			
	0	1	0	0
Referred to PCC and HC	0			
	0	0	0	0
Adjournment	3			
	1	5	3	5
IC view on Rule 19	0			
	2	0	0	0

<b>Professional Conduct Committee (not including Rule 8 decisions)</b>				
Total cases considered	9*			
	4	5	9	1
Allegation not 'well founded'	0			
	0	2	1	0
Admonished	2			
	0	1	1	0
Conditions of Practice	0			
	0	0	1	0
Suspension	1			
	1	1	1	0
Removal	1			
	0	0	2	1
Adjourned/Part heard	4			
	2	1	1	0
Rule 19	0			
	1	0	0	0

\* 1 case commenced  
29/6/16 -5/7/16

**Case "pipe-line" as at 1 April 2016**

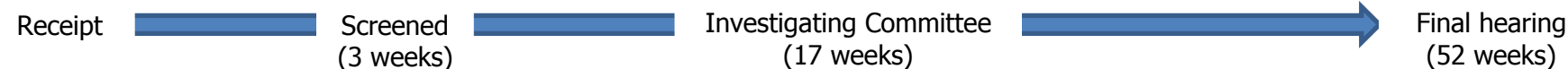
		Over 4 months	Over 8 months	Over 12 months
Referred to Investigating Committee by Screener	18	1	0	0
Referred to Professional Conduct Committee by Investigating Committee but not yet heard	20 (10 cases are listed)	4	5	3
Cases that need review hearings	2			

**New initiatives**

**1. Introduction of the new Listings Protocol**

During the quarter there were 17 Committee and hearing events, including substantive, review and ISO hearings before the PCC and IC. This also included an induction training day for new IC/PCC members in May and an IC all members' training day in June.

Please note all figures in red relate to 2015-16



	Q1	Q2	Q3	Q4
<b>Health Committee</b>				
Number of substantive hearings	0 1	0	0	1
Mean length of hearing (days)	0 2.5	0	0	2
Mean time HC in camera to decide facts and allegation (hours)	0 5	0	0	
Mean time HC in camera to decide sanction (hours)	0 1.5	0	0	

<b>Rule 8</b>				
Number of Rule 8 hearings	0 1	1	0	0
Concluded with sanctions (admonishment)	0 0	1	0	0

<b>Protection of title</b>				
Concerns received	16 16	15	14	17
Ceases and desist letters sent	8 10	14	19	12
Resolved	5 2	34	33	8
Prosecuting commenced	0 0	0	1	0

	Q1	Q2	Q3	Q4
<b>PCC Review Hearing</b>				
Total cases considered	1 1	1	2	0
Conditions of Practice Order – Revoked	0 0	1	2	0
Conditions of Practice Order – Extended	1 1	0	0	0

<b>Health Committee</b>				
Total cases considered	0 1*	1	0	0
Conditions of Practice Order	0 0	0	0	0

\*The case was adjourned.

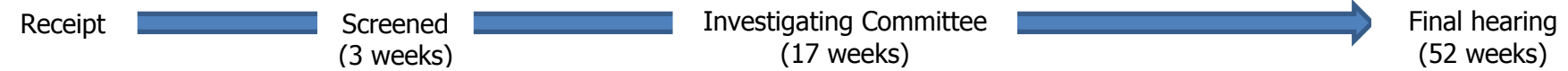
<b>Health Review Hearing</b>				
Total Cases considered	1			
Conditions	0			

<b>Interim Suspension Orders IC</b>				
Applied for	1 3	1	2	2
Imposed	0 3	1	1	2
Undertaking	0 0	0	0	0

<b>Interim Suspension Orders PCC/HC</b>				
Applied for	1 1	4	1	1
Imposed	1 1	2	0	1
Undertaking	0 0	1	0	0

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**Annex A to 6**

	Q1	Q2	Q3	Q4
<b>Information Governance</b>				
FOI requests received	1 4	6	4	6
Responded to within 20 days	1 4	6	4	5
DPA request received	2 5	2	1	1
Responded to within 40 days	2 4	2	1	1

	Q1	Q2	Q3	Q4
<b>Indicators that case properly brought and determined</b>				
Successful application r27(2) <sup>1</sup>	0 0	0	0	0
Successful application r27(6) <sup>2</sup>	0 0	0	0	0
Successful registrant appeal	0 0	0	0	0
Successful Judicial reviews	0 0	0	0	0
PSA s29 appeal	0 0	0	0	0
PSA learning points	1 2	0	0	0
Complaints	1 1	0	0	0

<b>Protection of title</b>				
Convictions secured	0 0	0	0	1

<sup>1</sup> GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application that alleged facts are insufficient to support the allegation.

<sup>2</sup> GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application at the close of Council’s case that no sufficient evidence has been adduced upon which the PCC could find the alleged facts proved.