# Regulation Department Report to Council 1 April 2015 to 30 June 2015

# Please note all figures in red relate to 2014-15

# Efficiency

	Q1	Q2	Q3	Q4
Numbers of complaints received				
Informal	22		[	
Informal	22			
	28			
Formal	14			
	15			

Performance against KPIs (median – receipt to decision in weeks)				
Screened (3 weeks)	1			
	1			
IC decisions (17 weeks)	14.2			
	10.5			
PCC decisions (52 weeks)	25*			
	55			
HC decisions (52 weeks)	0			
	46.5			

\*3 cases commenced only 1 completed. 2 part heard

Investigating Committee			
Number of meetings	2		
	3		
Total number of decisions	6*		
	8		
Mean number of decisions per meeting	2.5		
	2.6		

\*2 Rule 19 applications included

Professional Conduct Committee		-	_	
Number of substantive hearings	6*			
*includes 2 R19 hearings	6			
Mean length of hearing (days)	2			
	3			
Mean time PCC in camera to decide facts and				
allegation (hours)	6.2			
Mean time PCC in camera to decide sanction				
(hours)	2.5			

Effec	tivenes	S		
	Q1	Q2	Q3	Q4
Investigating Committee				
Total cases considered	6			
	8			
No case to answer	1			
	1			
Referred to PCC	2			
	3			
Referred to HC	0			
	2			
Referred to PCC and HC	-			
Adjournment	1			
	2			
IC view on Rule 19	2			
	0			

Case "pipe-line" as at 1 April 2015						
		Over 4 months	Over 8 months	Over 12 months		
Referred to Investigating Committee by Screener	9	0	0	0		
Referred to Professional Conduct Committee by Investigating Committee but not yet heard	8 (6 out 8 cases are listed)	3	3	2* **		
Cases that need review hearings	4					

\* Delay due to obtaining statement from patient.

\*\* Delay due to obtaining ex

Professional Conduct Committee (not including Rule 8 decisions)						
Total cases considered	4					
	6					
Allegation not 'well founded'	0					
	3					
Admonished	0					
	1					
Conditions of Practice	0					
	0					
Suspension	1					
	0					
Removal	0					
	1					
Adjourned/Part heard	2					
	1					
Rule 19	1					
	0					

# Receipt Screened (3 weeks)

Investigating Committee (17 weeks)



Final hearing (52 weeks)

# Case "pipe-line" as at 1 April 2015

\*\* Delay due to obtaining expert report and referring new matter to IC.

## **Regulation Department Report to Council** 1 April 2015 to 30 June 2015

Please note all figures in red relate to 2014-15

	Q1	Q2	Q3	Q4
Health Committee				
	I		ſ	
Number of substantive hearings	1			
	2			
Mean length of hearing (days)	2.5			
	0			
Mean time HC in camera to decide facts and	5			
allegation (hours)	2			
Mean time HC in camera to decide sanction	0			
(hours)	1.5			

Rule 8			
Number of Rule 8 hearings	0		
	1		
Concluded with sanctions (admonishment)	0		
	0		

	Q1	Q2	Q3	Q4
PCC Review Hearing				
Total cases considered	1			
Conditions of Practice Order – Revoked	0			
Conditions of Practice Order – Extended	1 0			

Health Committee			
Total cases considered	1*		
	2		
Conditions of Practice Order	0		
	2		

\*The case was adjourned.

Health Review Hearing			
Total Cases considered	0		
Conditions	0		

#### **New initiatives**

1. Pilot scheme on implementing electronic case papers. From 13 July 2015, GOsC will be commencing a three month pilot extending the use of electronic case papers to members of the Investigating Committee. During the pilot, members of the Investigating Committee and the Legal Assessors, will have the access rights to case papers and other documents relevant to the cases they will be considering at the Investigating Committee meetings and interim suspension order hearings. During the pilot, paper copies of the bundles will continue to be made available to the Committee members on the day of the IC meeting.

Protection of title			
Concerns received	16		
	16		
Ceases and desist letters sent	8		
	10		
Resolved	5		
	2		
Prosecuting commenced	0		
	0		

Interim Suspension Orders IC			
Applied for	3		
	3		
Imposed	3		
	3		
Undertaking	0		
	0		

There have been 14 committee events taken place in this quarter. These events include substantive hearings, review hearings, ISO hearings and IC meetings.

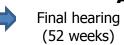
Interim Suspension Orders PCC/HC				
Applied for	1			
	3			
Imposed	1			
	3			
Undertaking	0			
	0			

Protection of title			
Concerns received	16		
	16		
Ceases and desist letters sent	8		
	10		
Resolved	5		
	2		
Prosecuting commenced	0		
	0		

Screened Receipt (3 weeks)

Investigating Committee (17 weeks)

Annex A to 6



### 2. Draft Guidance on imposing Interim Suspension Orders

# **Regulation Department Report to Council** 1 April 2015 to 30 June 2015

Please note all figures in red relate to 2014-15

Receipt	Screened	Investigating Committee
	(3 weeks)	(17 weeks)

	Q1	Q2	Q3	Q4
Information Governance				
FOI requests received	4			
	5			
Responded to within 20 days	4			
	3			
DPA request received	5			
	1			
Responded to within 40 days	4			
	1			

	Q1	Q2	Q3	Q4
Indicators that case properly	brough	t and d	letermi	ined
Successful application r27(2) <sup>1</sup>	0			
	0			
Successful application r27(6) <sup>2</sup>	0			
	0			
Successful registrant appeal	0			
	0			
Successful Judicial reviews	0			
	0			
PSA s29 appeal	0			
	0			
PSA learning points	0			
	2			
Complaints	1			
	1			

Protection of title			
Convictions secured	0		
	1		

# Annex A to 6



Final hearing (52 weeks)

<sup>&</sup>lt;sup>1</sup> GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application that alleged facts are insufficient to support the allegation. <sup>2</sup> GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application at the close of Council's case that no sufficient evidence has been adduced upon which the PCC could find the alleged facts proved.