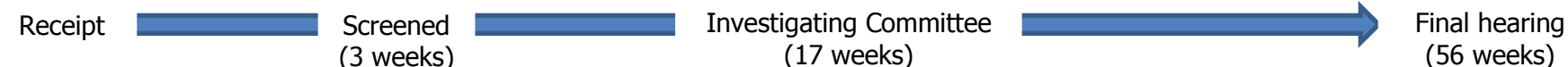


Regulation Department Report to Council

1 April 2013 to 31 December 2013

Annex A to 6



Efficiency

	Q1	Q2	Q3	Q4
Received complaints				
Informal	28	15	19	
Formal	12	6	10	

Effectiveness

	Q1	Q2	Q3	Q4
Investigating Committee				
Total cases considered	5	13	8	
No case to answer	2	4	1	
Referred to PCC	3	7	7	
Referred to HC	0	2	0	

Economy

	Q1	Q2	Q3	Q4
Committee costs	£	£	£	£
IC meeting costs	15,197	21,973	13,819	
PCC hearing costs	42,652	46,627	46,048	
HC hearing costs	0	2,574	1,081	

Performance against KPIs (median – receipt to decision in weeks)				
Screened (3 weeks)	1	1	1	
IC decisions (17 weeks)	15	15	23.5	
PCC decisions (56 weeks)	47	47	60	
HC decisions (56 weeks)	n/a	n/a	n/a	

Professional Conduct Committee				
Total cases considered	4	3	4	
Allegation not 'well founded' ¹	2	2	2	
Admonished	1	0	0	
Conditions of Practice	0	0	1	
Suspension	0	0	0	
Removal	1	1	1	

PCC case preparation costs				
Total external fees	56,497	59,064	13,974	
Expert witness costs	15,439	8,062	10,409	
Witness expenses	333	739	427	

Investigating Committee				
Number of meetings	2	3	2	
Total number of decisions	5	13	8	
Mean number of decisions per meetings	2.5	4.5	4	

Interim Suspension Orders – IC				
Applied for	5	1	4	
Imposed	2	1	0	
Undertaking	0	0	0	

HC case preparation costs				
Total external fees	0	5,867	571	
Expert witness costs	0	0	0	
Witness expenses	0	0	0	

Professional Conduct Committee				
Number of substantive hearings	4	3	3	
Mean length of hearing (days)	2.75	2.5	6.33	
Mean time PCC in camera to decide facts and allegation (hrs)	5.3	6.5	15.9	
Mean time PCC in camera to decide sanction (hrs)	1.5	1	2	

Protection of Title				
Convictions secured	0	0	0	

Protection of title prosecution costs				
Total	0	0	1,340	
Average per case	0	0	0	

Protection of Title				
Investigated	5	6	5	
Ceases and desist letters sent	1	4	2	
Resolved	2	2	0	
Cases prosecuted	0	0	0	

Indicators that case properly brought and determined				
Successful application r27(2) ²	0	0	0	
Successful application r27(6) ³	0	0	0	
Successful registrant appeal	0	0	0	
PSA s29 appeal	0	0	0	
PSA learning points	1	2	0	
Complaints	0	0	0	

New initiatives

- July 2013: introduced new template case history, case management and risk assessment forms; chronologies and evidence grids as part of effective case management.
- August 2013: work began on the development of a quality assurance framework, quality assurance manual and template library.
- September 2013: begin drafting Particulars of Concern for all new fitness to practise cases.
- October 2013: Council approved new Rule 8 procedures, PCC practice notes, fitness to practise publication policy and, Indicative Sanctions, Conditions of Practice Order and IC decision making guidance.

¹ Question of judgment for the PCC

² GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application that alleged facts are insufficient to support the allegation.

³ GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application at the close of Council's case that there no sufficient evidence has been adduced upon which the PCC could find the alleged facts proved.