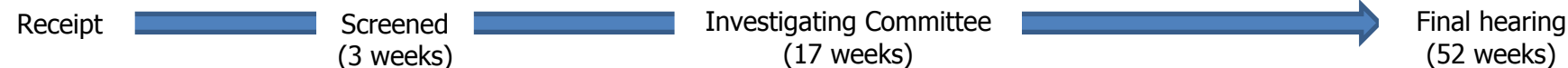


**Regulation Department Report to Council
1 October 2014 to 30 December 2014**

Annex A to 6

Please note all figures in red relate to 2013-14



Efficiency

	Q1	Q2	Q3	Q4
Numbers of complaints received				
Informal	28 28	22 15	17 19	24 24
Formal	15 12	9 6	11 10	6 6

Performance against KPIs (median – receipt to decision in weeks)				
Screened (3 weeks)	1 1	2 1	1 1	0.5 0.5
IC decisions (17 weeks)	10.5 15	14 15	15 23.5	16 16
PCC decisions (52 weeks)	55 47	47 47	37 60	40 40
HC decisions (52 weeks)	46.5 -	0 -	0 -	- -

Investigating Committee				
Number of meetings	3 2	2 3	2 2	2 2
Total number of decisions	8 5	18 13	6 8	9 9
Mean number of decisions per meeting	2.6 2.5	9 4.5	3 4	4.5 4.5

Professional Conduct Committee				
Number of substantive hearings	6 4	8 3	3 3	3 3
Mean length of hearing (days)	3 2.75	2.6 2.5	3 6.33	4 4
Mean time PCC in camera to decide facts and allegation (hours)	6.2 5.3	7 6.5	8.3 15.9	6 6
Mean time PCC in camera to decide sanction (hours)	2.5 1.5	4.5 1	4 2	3.5 3.5

Effectiveness

	Q1	Q2	Q3	Q4
Investigating Committee				
Total cases considered	8 5	18 13	6 8	9 9
No case to answer	1 2	12 4	2 1	1 1
Referred to PCC	3 3	2 7	3 7	8 8
Referred to HC	2 0	See below 2	0 0	0 0
Referred to PCC and HC	-	1	0 -	- -
Adjournment	2 -	2 -	1 -	- -
IC view on Rule 19	-	1	0	-

Professional Conduct Committee (not including Rule 8 decisions)				
Total cases considered	6 4	8 3	3 4	4 4
Allegation not 'well founded'	3 2	3 2	0 2	1 1
Admonished	1 1	0 0	1 0	0 0
Conditions of Practice	0 0	0 0	1 1	3 3
Suspension	0 0	2 0	0 0	0 0
Removal	1 1	0 1	1 1	0 0
Adjourned/Part heard	1 -	2 -	0 -	- -
Rule 19	-	1	0 -	- -

Case 'pipeline' as at 1 January 2015

		Over 4 months	Over 8 months	Over 12 months
Referred to Investigating Committee by Screener	18	5	0	0
Referred to Professional Conduct Committee by Investigating Committee but not yet heard	8 (4 out of 8 cases are listed)	0	3	5
Cases that need review hearings	6			

New initiatives

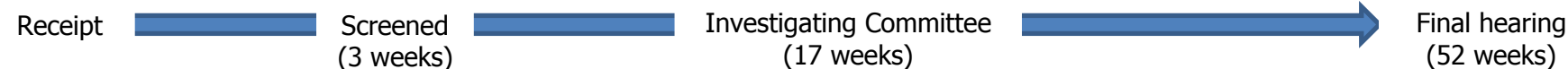
- April 2014: new equality and diversity form introduced to capture information about the protected characteristics of registrants going through the fitness to practise process.
- May 2014: Practice Notes on undertakings, evidence and expert witnesses introduced.
- June 2014: Draft UPC threshold criteria produced and considered by Osteopathic Practice Committee.
- October 2014:
 - Complainant data. Paper on the information to be collected by GOsC about complainants, and draft questionnaire considered by Osteopathic Practice Committee.
 - Threshold criteria – public consultation commenced.
- November 2014- S32 Enforcement Policy approved by Council
- December 2014 Audit of IC decisions
- January 2015-New case review checklist introduce

There have been **14** Committee and Hearing events within this quarter. These events include substantive hearings, review hearings, ISO hearings, IC meetings, directions hearing and Registration appeals hearings.

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	Q1	Q2	Q3	Q4
Health Committee				
Number of substantive hearings	2 0	0 0	0 0	0 0
Mean length of hearing (days)	1.5 0	0 -	0 -	- -
Mean time HC in camera to decide facts and allegation (hours)	2 -	0 -	0 -	- -
Mean time HC in camera to decide sanction (hours)	1.5 -	0 -	0 -	- -

Rule 8				
Number of Rule 8 hearings	1 0	0 -	0 -	- -
Concluded with sanctions (admonishment)	1 0	0 -	0 -	- -

Protection of title				
Concerns received	16 5	9 6	6 5	12 12
Ceases and desist letters sent	10 1	2 4	2 3	1 1
Resolved	2 3	2 2	0 0	3 3
Prosecuting commenced	1 0	0 2	0 0	0 0

Information Governance				
FOI requests received	5 0	1 1	1 2	1 1
Responded to within 20 days	3 ³ 0	1 1	1 2	1 1
DPA request received	1 0	0 0	1 0	0 0
Responded to within 40 days	1 0	0 -	N/A ⁴ -	- -

	Q1	Q2	Q3	Q4
PCC Review Hearing				
Total cases considered	0 -	2 -	2 -	- -
Conditions of Practice Order – Revoked	0 -	1 -	2 -	- -
Conditions of Practice Order – Extended	-	1	0	

Health Committee				
Total cases considered	2 -	0 -	0 -	- -
Conditions of Practice Order	2 -	0 -	0 -	- -

Health Review Hearing				
Total Cases considered	-	0	0	
Conditions	-	0	0	

Interim Suspension Orders IC				
Applied for	3 5	3 1	4 4	3 3
Imposed	3 2	1 1	1 0	1 1
Undertaking	0 0	0 0	1 0	1 1

Interim Suspension Orders PCC/HC				
Applied for	3 0	0 0	2 0	0 0
Imposed	3 -	0 -	1 -	- -
Undertaking	0 -	0 -	0 -	- -

	Q1	Q2	Q3	Q4
Indicators that case properly brought and determined				
Successful application r27(2) ¹	0 0	0 0	0 0	0 0
Successful application r27(6) ²	1 0	0 0	0 0	1 1
Successful registrant appeal	0 0	0 0	0 0	0 0
Successful Judicial reviews	0 0	0 0	0 0	0 0
PSA s29 appeal	0 0	0 0	0 0	0 0
PSA learning points	2 1	0 2	3 0	1 1
Complaints	1 0	0 0	0 0	0 0

Protection of title				
Convictions secured	1 0	1 0	0 0	0 0

¹ GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application that alleged facts are insufficient to support the allegation.

² GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application at the close of Council's case that no sufficient evidence has been adduced upon which the PCC could find the alleged facts proved.

³ 1 request deemed vexatious and not responded to. 1 request responded to in 21 working days, delay due to amount of documents needing redaction.

⁴ Further clarification of data requested was sought from data subject. Under legislation, time does not begin to run until the clarification is provided. Data subject chose not to continue with his request.

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