

Annex A to 9

Fitness to practise dashboard 01 October 2023 to 31 December 2023 (Q3)

Case progression – at a glance

- We have received 17 new concerns during the reporting period, an increase of two cases since the previous quarter.
- The Investigating Committee (IC) met remotely on two occasions and once in person and considered 11 cases in total.
- During this reporting period the Professional Conduct Committee (PCC) met on one occasion.

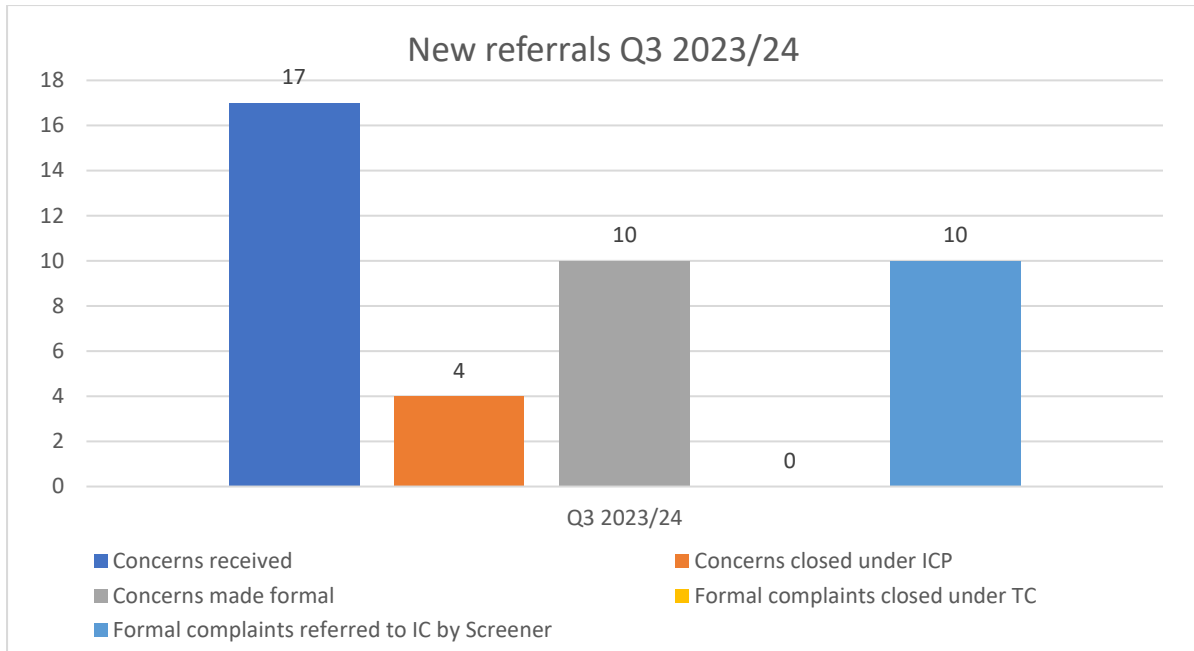
Referrals Received	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Formal complaints referred to IC by Screener	5	11	11	10
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	20	26	33	34
Referred to PCC/HC by IC but not yet heard (as at end of quarter)	18	12	13	18
Referred to PCC/HC by IC and listed for hearing (as at end of quarter)	5	2	0	3
PCC/HC Cases part heard (as at end of quarter)	0	0	0	1
Formal complaints open (as at end of quarter)	39	39	47	53
Cases that need review hearings (as at end of quarter)	3	3	3	4

Age of Caseload from Date Received	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
52 weeks – 103 weeks	16	9	16	14
104 weeks – 155 weeks	3	5	3	4
156 weeks and above	4	4	5	6

New Referrals

- We have received 17 new concerns during the reporting period.
- Four cases were closed under the Initial Closure Procedure (ICP) during this reporting period.
- No cases were closed under the threshold criteria.
- Out of the 14 cases considered by screeners, 10 of these were referred to the IC. This follows from the consistently high referral rate to the IC during Q1 and Q2 (2023/24).

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Referrals Received	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Concerns received	12	21	15	17
Concerns closed under ICP	6	6	4	4
Concerns made formal	7	9	11	10
Formal complaints closed under TC	2	1	1	0
Formal complaints referred to IC by Screener	5	11	11	10
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	20	26	33	34

Note – the number of concerns received during the reporting period will not directly correlate to the number of concerns that are made formal, or decisions by the screeners, during the reporting period.

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Source of formal complaints	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Self-referral by the registrant	2	1	0	1
Registrar's allegation	0	0	1	2
Non-NHS employer	0	0	1	1
Patient or service user	5	8	9	6
NHS	0	0	0	0
Another registrant	0	0	0	0
Anonymous informant	0	0	0	0
Another regulatory body	0	0	0	0
Any other informant	0	0	0	0
Total	7	9	11	10

Allegations in formal complaints	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Conduct	6	9	10	10
Conviction	1	0	1	0
Competency	0	0	0	0
Adjunctive therapies	0	0	0	0
Health	0	0	0	0
Total	7	9	11	10

Key Performance Indicators

- The Screener KPI was exceeded, at seven weeks.
- The Investigating Committee KPI was not met.
- The output against the overall end-to-end target was not met.

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Performance at a glance

Case stage	Key Performance Indicator	Performance Target	Q4 22/23	Q1 22/23	Q2 22/23	Q3 22/23
Screening	Median time from receipt of concern to the screener's decision	9 weeks	8 weeks	6 weeks	8 weeks	7 weeks
Investigating Committee	Median time from receipt of concern to final IC decision	26 weeks	46 weeks	55 weeks	42 weeks	33 weeks
Professional Conduct Committee	Median time from receipt of concern to final PCC decision	52 weeks	70 weeks	63 weeks	N/A ¹	N/A ²

Performance in detail

Time from receipt of complaint to the screener's decision (9 weeks)	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Median	8 weeks	6 weeks	8 weeks	7 weeks
Longest case	67 weeks	33 weeks	20 weeks	21 weeks
Shortest case	1 week	6 weeks	2 weeks	2 weeks
Time from receipt of complaint to final IC decision (26 weeks)	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Median	46 weeks	55 weeks	42 weeks	33 weeks
Longest case	74 weeks	85 weeks	145 weeks	167 weeks
Shortest case	23 weeks	30 weeks	21 weeks	27 weeks
Time from final IC decision to final PCC decision or other final disposal of the case (26 weeks)	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Median	38 weeks	23 weeks	40 weeks	N/A
Longest case	42 weeks	70 weeks	40 weeks	N/A
Shortest case	33 weeks	10 weeks	40 weeks	N/A
Time from receipt of referral to final PCC decision or other final disposal of the case (52 weeks)	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Median	70 weeks	63 weeks	95 weeks	N/A
Longest case	93 weeks	97 weeks	95 weeks	N/A

¹ There were no PCC substantive hearings in this quarter. There was one HC case which concluded in 95 weeks. This is captured in the performance in detail.

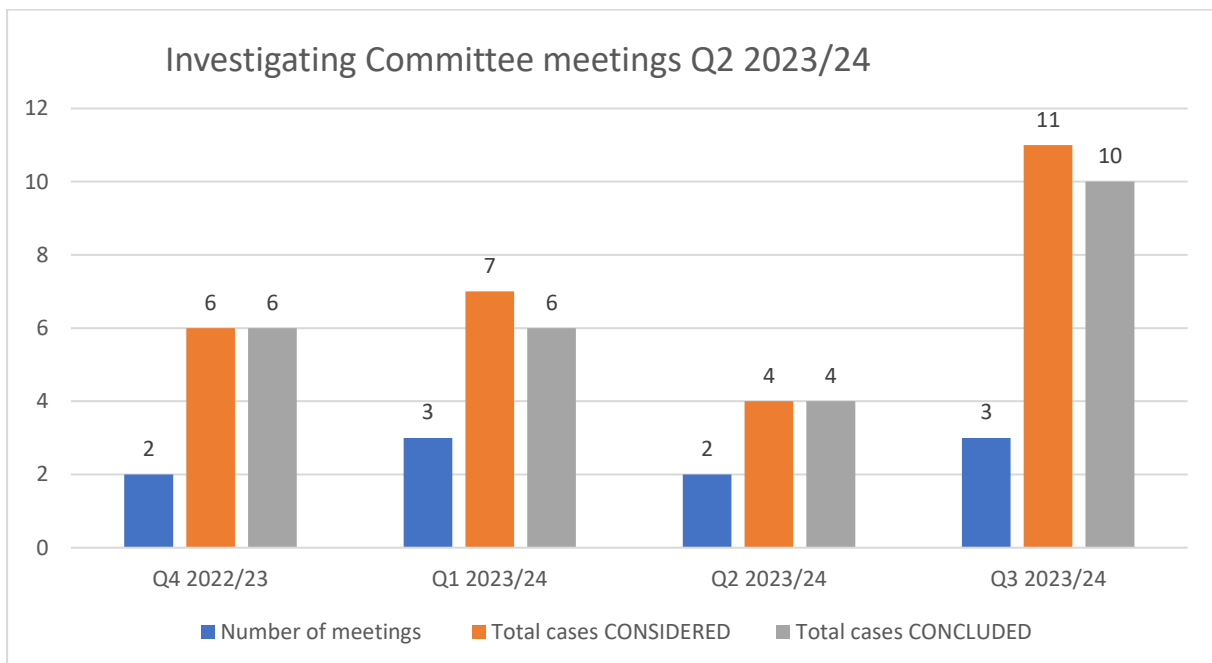
² There was one PCC substantive hearing. The PCC determined to refer the case to a Health Committee.

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Shortest case	47 weeks	49 weeks	95 weeks	N/A
Median time to interim order committee decision:	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
From receipt of referral	N/A	7 weeks	46 weeks	N/A
From decision that there is information indicating the need for an interim order	N/A	2 weeks	3 weeks	N/A

Investigating Committee

- The IC met remotely on three occasions and considered 11 cases.
- The IC also met on one occasion to consider an Interim Suspension Order (ISO) application. However the ISO hearing was adjourned by the Committee on this occasion due to unforeseen circumstances, in that the registrant was taken seriously ill the day before the hearing.



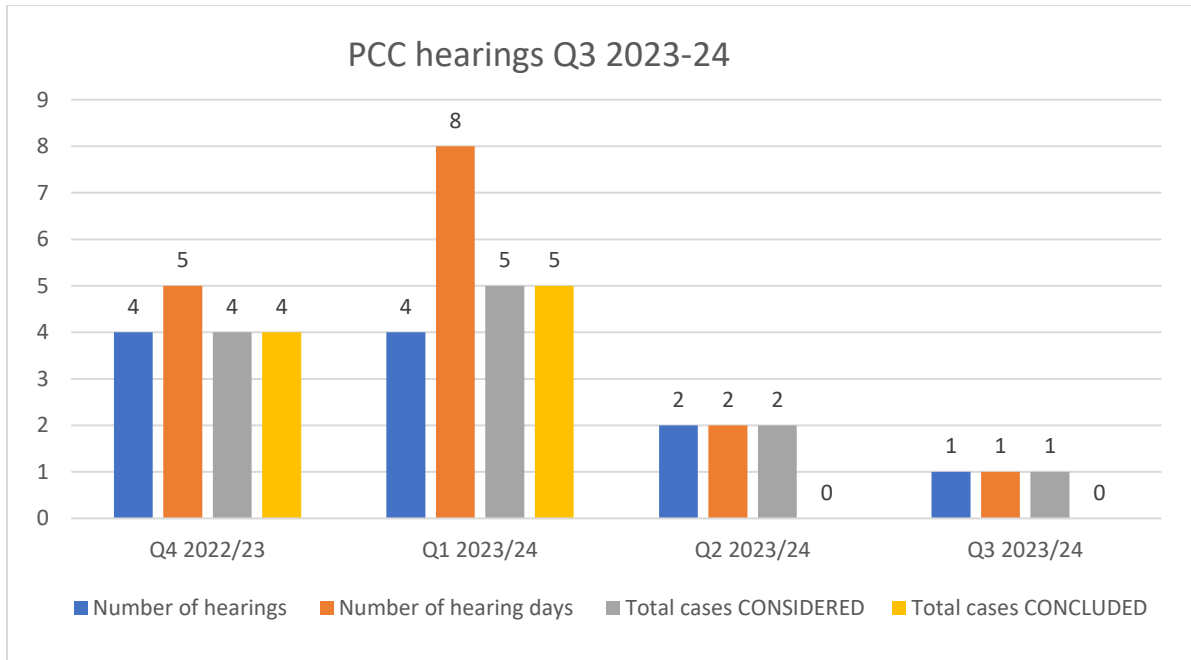
Investigating Committee Decisions	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
No Case to Answer	4	4	2	3
No Case to Answer with advice	0	0	1	1
Referred to PCC	2	0	1	6
Referred to HC	0	0	0	0
Referred to PCC and HC	0	0	0	0
Adjourned	0	1	0	1
Stayed	0	0	0	0
Rule 19 agreed	0	2	1	0

Professional Conduct Committee

- During the reporting period the PCC held one PCC substantive hearing and decided to refer the matter to the Health Committee.
- There are currently 18 cases at the PCC stage, the breakdown of which as follows:
 - Three are scheduled for a hearing.
 - Four cases were referred to the PCC in Q3 (the reporting period) and so we are working towards preparing them for service in accordance with our standard case directions, before scheduling for a hearing.
 - In three complex cases we are looking to serve the case on the registrant before we can schedule for a hearing.
 - One case is currently under investigation by the police and we cannot progress this until the outcome of the investigation.
 - We are working to schedule the remaining seven cases (of which 4 cases relate to a single registrant). Another of which is particularly complex due to numerous witnesses being involved.
 - We are also looking to schedule one case for hearing before the Health Committee.

Professional Conduct Committee Hearings	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Number of hearings	4	4	2	1
Number of hearing days	5	8	2	1
Total cases CONSIDERED	4	5	2	1
Total cases CONCLUDED	4	5	0	0

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PCC Decisions	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Allegation not 'well founded'	0	1	0	0
Admonished	1	2	0	0
Conditions of Practice	0	0	1	0
Suspension	1	0	0	0
Removal	1	0	0	0
Rule 19	0	2	0	0
Adjourned	0	0	0	0
Conditions/Suspension to expire at end of order	1	0	0	0
Rule 8 Admonishment	0	0	0	0
Stayed	0	0	0	0
Referred to the HC	0	0	0	1

Health Committee

- The Health Committee considered no cases during the reporting period.

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Interim Suspension Orders

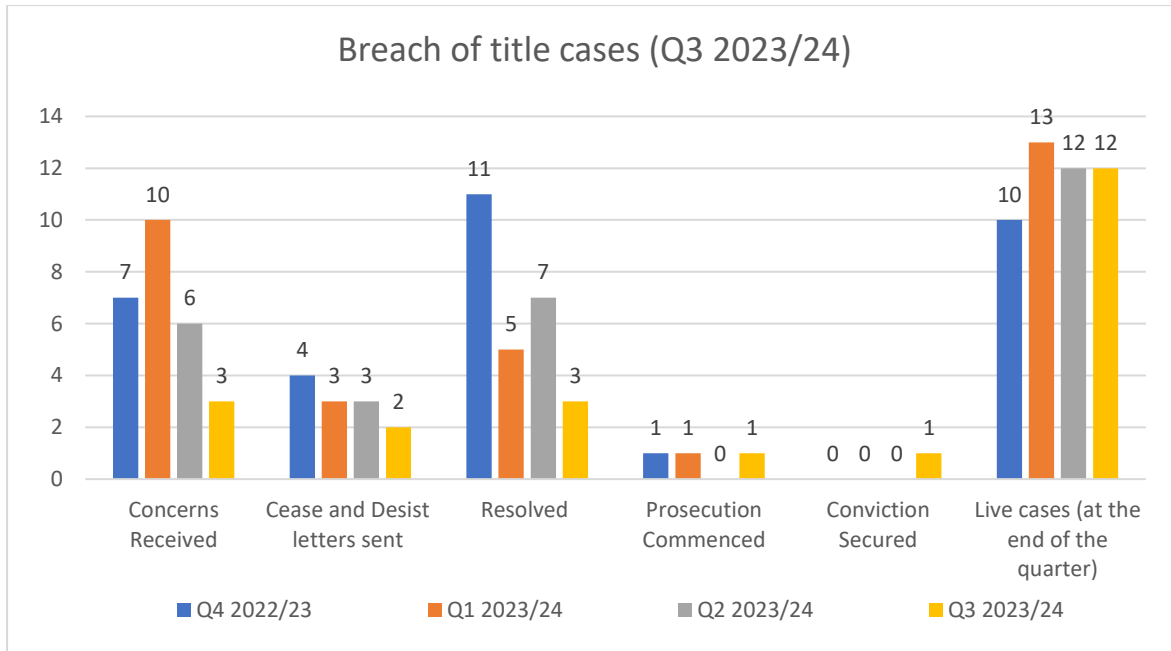
IC Interim Suspension Order Decisions	Q4 22/23	Q1 23/24	Q2 23/24	Q3 23/24
Applications made	0	1	1	1
Interim Suspension Order imposed	0	0	0	0
Undertaking	0	1	0	0
Adjourned	0	0	0	1
Median time to IC decision from receipt of referral	N/A	7 weeks	46 weeks	N/A ³
Median time to IC decision from decision that there is information indicating the need for interim order	N/A	2 weeks	2 weeks	N/A

PCC/HC Interim Suspension Order Decisions	Q4 22/23	Q1 23/24	Q2 23/24	Q3 23/24
Applications made	1	2	0	0
Interim Suspension Order imposed	1	0	0	0
Undertaking	0	1	0	0

Protection of Title

- There are currently 12 active Section 32 investigations as at 31 December 2023, the same number as at the end of the previous quarter.

³ Whilst GOsC made an application for an ISO over this quarter, the IC did not reach a decision and instead adjourned the hearing due to the registrant's serious health issues the day before the hearing. As the IC did not make a decision on the imposition of an ISO, we have recorded this 'N/A'.



Protection of Title	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Concerns Received	7	10	6	3
Cease and Desist letters sent	4	3	3	2
Resolved	11	5	7	3
Prosecution Commenced	1	1	0	1
Conviction Secured	0	0	0	1
Live cases (at the end of the quarter)	10	13	12	12

Appeals

- No Registration appeals were received, or considered, during the reporting period by the Registration Appeal Committee.

Total number of registrant appeals in the quarter which are:	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Ongoing	1	0	0	0
Opened	0	0	0	0
Concluded	0	2	0	0
Outcomes of registrant appeals against final fitness to practise decisions:	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Upheld and outcome substituted	0	0	0	0
Upheld and case remitted to regulator for re-hearing	0	0	0	0
Settled by consent	0	0	0	0

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Voluntary Removal

- There was one voluntary removal application received in the reporting period, of which this was granted by the Registrar.

Number of voluntary erasure/removal applications: Subsequent to the FTP case being considered by an IC.	Q4 2022/23	Q1 2023/24	Q2 2023/24	Q3 2023/24
Received	0	1	0	0
Granted	0	1	0	0