

## Fitness to practise dashboard 01 October 2022 to 31 December (Q3)

### Case progression – at a glance

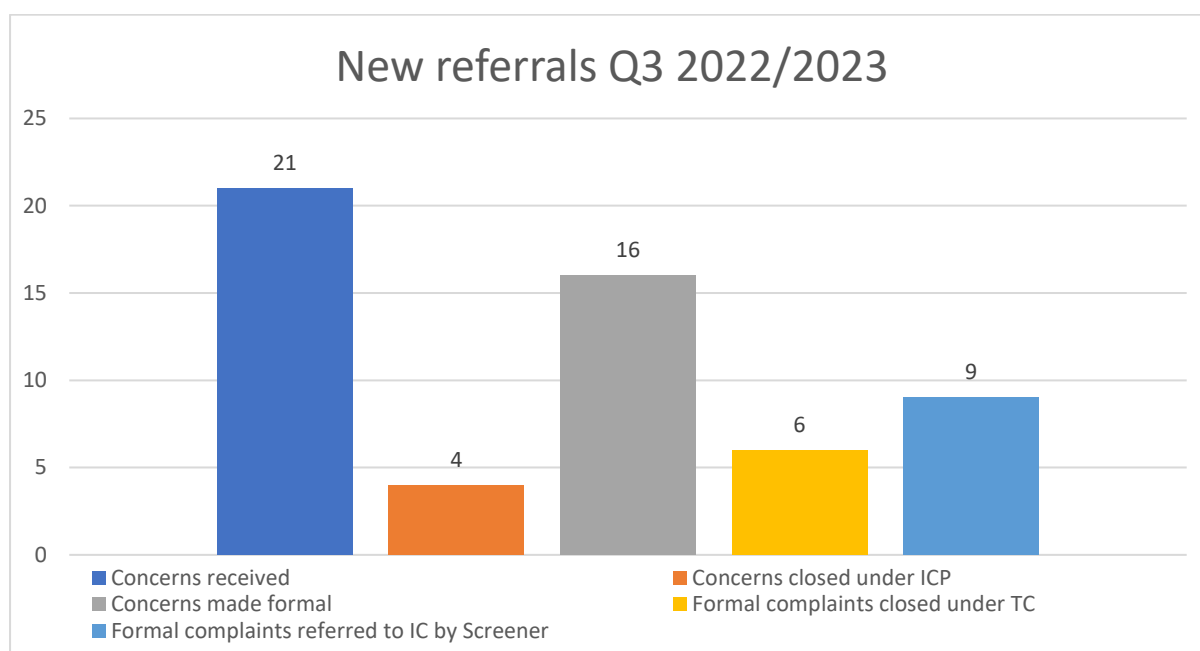
- We have received 21 new concerns during the reporting period, an increase of 31% from the last quarter (16).
- The IC met remotely on three occasions and considered eight cases.
- During this reporting period the PCC met on seven occasions, reaching a final determination in five of the cases, with two cases going part heard.
- The output against the end to end KPI has increased, impacted by two part heard cases.
- There are currently 18 cases referred to the PCC by the IC. The breakdown of these cases are as follows: two have been scheduled for a hearing; in four cases we are actively seeking to list the hearing; three have been referred by the IC within this quarter and awaiting to be served in accordance with the standard case directions, three are progressing via the rule 8 procedure and therefore will not require a hearing and the remaining six cases await the outcome of a police investigation and/or trial before we can progress further.

Referrals Received	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	26	25	19	20
Referred to PCC/HC by IC but not yet heard (as at end of quarter)	21	22	19	18
Referred to PCC/HC by IC and listed for hearing (as at end of quarter)	4	10	7	2
PCC/HC Cases part heard (as at end of quarter)	3	2	4	1
Formal complaints open (as at end of quarter)	47	50	38	39
Cases that need review hearings (as at end of quarter)	3	3	6	8

Age of Caseload from Date Received	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
52 weeks – 103 weeks	6	14	10	12
104 weeks – 155 weeks	6	5	7	6
156 weeks and above	0	2	3	1

### New Referrals

- We have received 21 new concerns during the reporting period, which has increased from 16 in the previous quarter.
- Four cases were closed under the Initial Closure Procedure (ICP) during this reporting period.
- Out of the nineteen cases considered by screeners, nine of these were referred to the IC.



Referrals Received	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Concerns received	14	10	16	21
Concerns closed under ICP	6	0	5	4
Concerns made formal	12	9	3	16
Formal complaints closed under TC	0	1	3	6
Formal complaints referred to IC by Screener	9	8	3	9

**Note** – the number of concerns received during the reporting period will not directly correlate to the number of concerns that are made formal, or decisions by the screeners, during the reporting period.

Source of formal complaints	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Self-referral by the registrant	2	2	0	2
Registrar's allegation	2	0	0	0
Non-NHS employer	1	0	0	0
Patient or service user	6	7	2	10
NHS	0	0	0	0
Another registrant	0	0	0	0
Anonymous informant	0	0	0	0
Another regulatory body	0	0	0	0
Any other informant	1	0	1	4
<b>Total</b>	<b>12</b>	<b>9</b>	<b>3</b>	<b>16</b>

## Annex A to 8

Allegations in formal complaints	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Conduct	6	7	3	13
Conviction	0	2	0	3
Competency	5	0	0	0
Adjunctive therapies	0	0	0	0
Health	1	0	0	0
Total	12	9	3	16

### Key Performance Indicators

- The Screener KPI was exceeded, at eight weeks.
- The Investigating Committee KPI was not met. A scheduled IC meeting had to be cancelled due to the last minute unavailability of the legal assessor. This has impacted the number of cases considered during the quarter as well as the output against the KPI at this stage.
- The output against the end-to-end target was not met. This was due to one hearing (since concluded) going part heard on two separate occasions due to a Committee member becoming ill last minute as well as being impacted by the registrant's unavailability. Another part heard case didn't resume for several months due to the unavailability of the same panel for the resuming hearing.

### Performance at a glance

Case stage	Key Performance Indicator	Performance Target	Q4 21/22	Q1 22/23	Q2 22/23	Q3 22/23
Screening	Median time from receipt of concern to the screener's decision	9 weeks	7 weeks	5 weeks	9 weeks	8 weeks
Investigating Committee	Median time from receipt of concern to final IC decision	26 weeks	30 weeks	25 weeks	59 weeks	36 weeks
Professional Conduct Committee	Median time from receipt of concern to final PCC decision	52 weeks	58 weeks	65 weeks	49 weeks	98 weeks

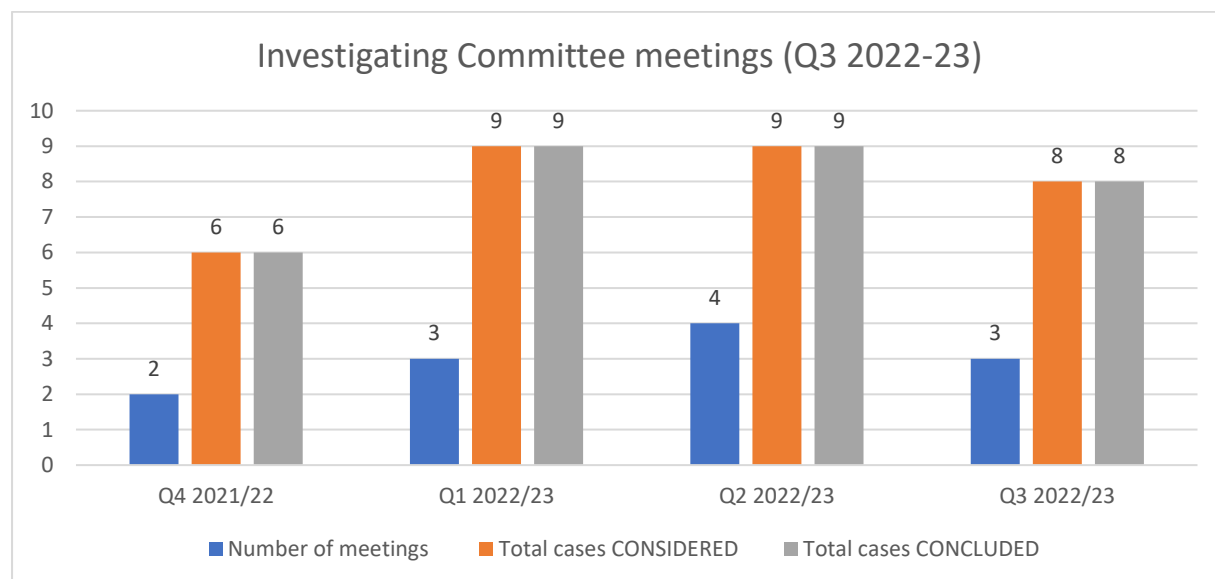
## Annex A to 8

### Performance in detail

Time from receipt of complaint to the screener's decision (9 weeks)	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Median	7 weeks	5 weeks	9 weeks	8 weeks
Longest case	29 weeks	8 weeks	51 weeks	16 weeks
Shortest case	1 week	0 weeks	1 week	1 week
Time from receipt of complaint to final IC decision (26 weeks)	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Median	30 weeks	25 weeks	59 weeks	36 weeks
Longest case	51 weeks	51 weeks	63 weeks	62 weeks
Shortest case	20 weeks	14 weeks	14 weeks	11 weeks
Time from final IC decision to final PCC decision or other final disposal of the case (26 weeks)	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Median	26 weeks	41 weeks	33 weeks	55 weeks
Longest case	48 weeks	41 weeks	44 weeks	167 weeks
Shortest case	22 weeks	41 weeks	24 weeks	26 weeks
Time from receipt of referral to final PCC decision or other final disposal of the case (52 weeks)	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Median	58 weeks	65 weeks	49 weeks	98 weeks
Longest case	80 weeks	65 weeks	75 weeks	184 weeks
Shortest case	42 weeks	65 weeks	41 weeks	45 weeks
Median time to interim order committee decision:	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
From receipt of referral	8 weeks	6 weeks	17 weeks	14 weeks
From decision that there is information indicating the need for an interim order	4 weeks	3 weeks	2 weeks	3 weeks

## Investigating Committee

- The IC met remotely on three occasions and considered eight cases, of which two were no case to answer, five were referred to the PCC and another case referred to the Health Committee.
- There are currently 20 cases that have been referred to the IC by screeners but not yet considered. We have scheduled an IC meeting each month over the next calendar year but we have the flexibility to schedule additional IC meetings, as we have done previously, subject to IC panellist availability.



Investigating Committee Decisions	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
No Case to Answer	0	5	2	2
No Case to Answer with advice	0	2	1	0
Referred to PCC	6	2	6	5
Referred to HC	0	0	0	1
Referred to PCC and HC	0	0	0	0
Adjourned	0	0	0	0
Stayed	0	0	0	0
Rule 19 agreed	0	0	0	0

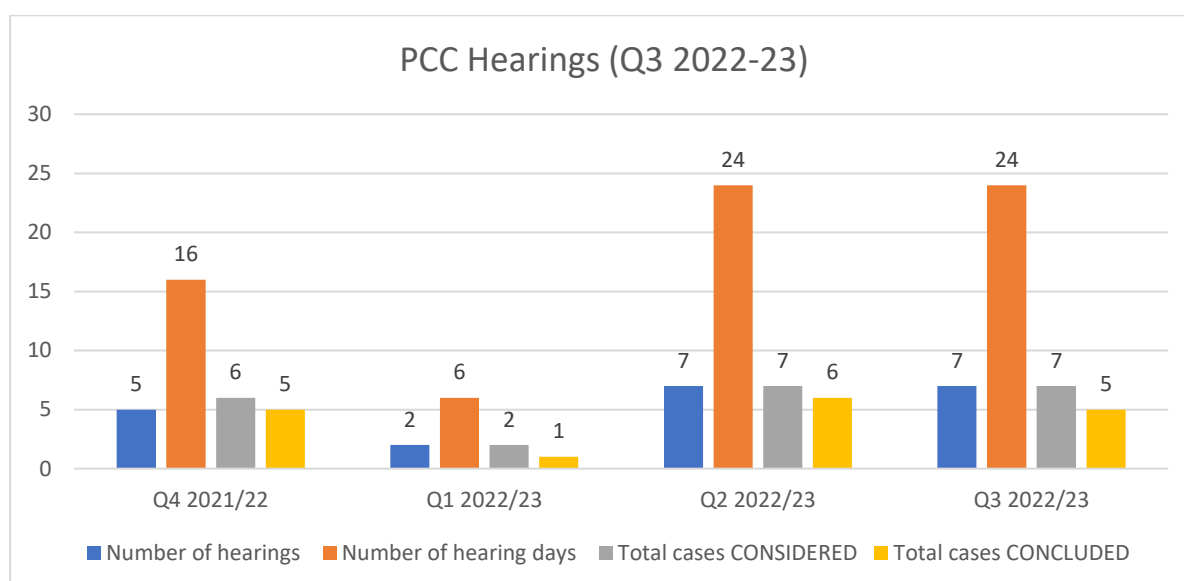
## Professional Conduct Committee

- In the reporting period the PCC sat remotely for six substantive hearings and another was held in-person (seven in total), of which five of these hearings concluded and two went part heard.
- The PCC also sat on one PCC Interim Suspension Order hearing during the reporting period.

## Annex A to 8

- As above, one PCC substantive hearing was held in-person and progressed efficiently, enabling the hearing to conclude on time. Our experience is that in-person hearings have benefits in terms of efficiency and timeliness. We continue to individually review cases awaiting PCC consideration to determine whether or not an 'in person', remote or 'hybrid' hearing would be appropriate, and the length of time required for adequate disposal of the case. We also take into consideration the stated preference of the complainant and the registrant (on the listings questionnaire). The relevant factors (non-exhaustive) may include: the likely hearing length and complexity; self-representation of the registrant; the type of case (health, breach of sexual boundaries; clinical; PII etc); the number of witnesses; the severity of the case and therefore potential seriousness of outcome; the stated preference of complainant and registrant; the health and /or mobility considerations of participants and appropriate / sufficient IT facilities.

Professional Conduct Committee Hearings	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Number of hearings	5	2	7	7
Number of hearing days	16	6	24	24
Total cases CONSIDERED	6	2	7	7
Total cases CONCLUDED	5	1	6	5



PCC Decisions	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Allegation not 'well founded'	0	0	1	1
Admonished	1	1	2	1
Conditions of Practice	0	0	0	1
Suspension	3	0	1	1
Removal	0	0	0	1
Rule 19	0	0	0	0

## Annex A to 8

Adjourned	1	0	1	2
Conditions/Suspension to expire at end of order	0	0	1	0
Rule 8 Admonishment	1	0	1	0
Stayed	0	0	0	0

### Health Committee

- The Health Committee considered one case in the reporting period, which was a Health Committee substantive review. The outcome of which was to continue with the suspension.

### Interim Suspension Orders

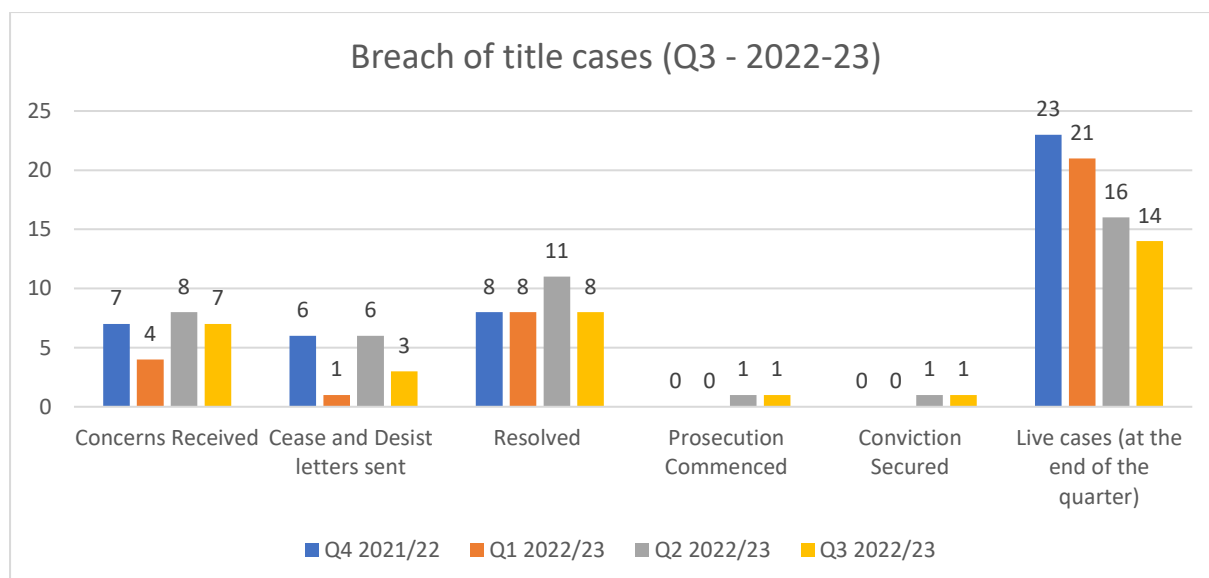
- There has been an increase in the number of Interim Suspension Order (ISO) applications over the reporting period, where there have been five applications. Three of these cases related to a transgression of sexual boundaries (two of which remain under police investigation), and two relate to the health of the registrant.
- IC Interim Suspension orders were imposed in two cases; no ISO was imposed in another two and in one case the registrant submitted an undertaking which was accepted by the Committee.
- There has also been one PCC Interim Order hearing, in which the suspension order was granted. This case related to a transgression of sexual boundaries.

IC Interim Suspension Order Decisions	Q4 21/22	Q1 22/23	Q2 22/23	Q3 22/23
Applications made	3	4	1	5
Interim Suspension Order imposed	0	1	0	2
Undertaking	0	2	0	1
Median time to IC decision from receipt of referral	8 weeks	6 weeks	17 weeks	14 weeks
Median time to IC decision from decision that there is information indicating the need for interim order	4 weeks	3 weeks	2 weeks	3 weeks

PCC/HC Interim Suspension Order Decisions	Q4 21/22	Q1 22/23	Q2 22/23	Q3 22/23
Applications made	0	0	1	1
Interim Suspension Order imposed	0	0	1	1
Undertaking	0	0	0	0

## Protection of Title

- There were 14 active Section 32 investigations as at 31 December 2022, two less than the last quarter.



Protection of Title	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Concerns Received	7	4	8	7
Cease and Desist letters sent	6	1	6	3
Resolved	8	8	11	8
Prosecution Commenced	0	0	1	1
Conviction Secured	0	0	1	1
Live cases (at the end of the quarter)	23	21	16	14

## Appeals

- Two registration appeals were received in this quarter and are currently being progressed.

Total number of registrant appeals in the quarter which are:	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Ongoing	0	0	0	2
Opened	0	0	0	2
Concluded	0	0	0	0
Outcomes of registrant appeals against final fitness to practise decisions:	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23



## Annex A to 8

Upheld and outcome substituted	0	0	0	0
Upheld and case remitted to regulator for re-hearing	0	0	0	0
Settled by consent	0	0	0	0

### Voluntary Removal

- There were no voluntary removal received in the reporting period.

Number of voluntary erasure/removal applications: Subsequent to the FTP case being considered by an IC.	Q4 2021/22	Q1 2022/23	Q2 2022/23	Q3 2022/23
Received	2	0	0	0
Granted	2	0	0	0