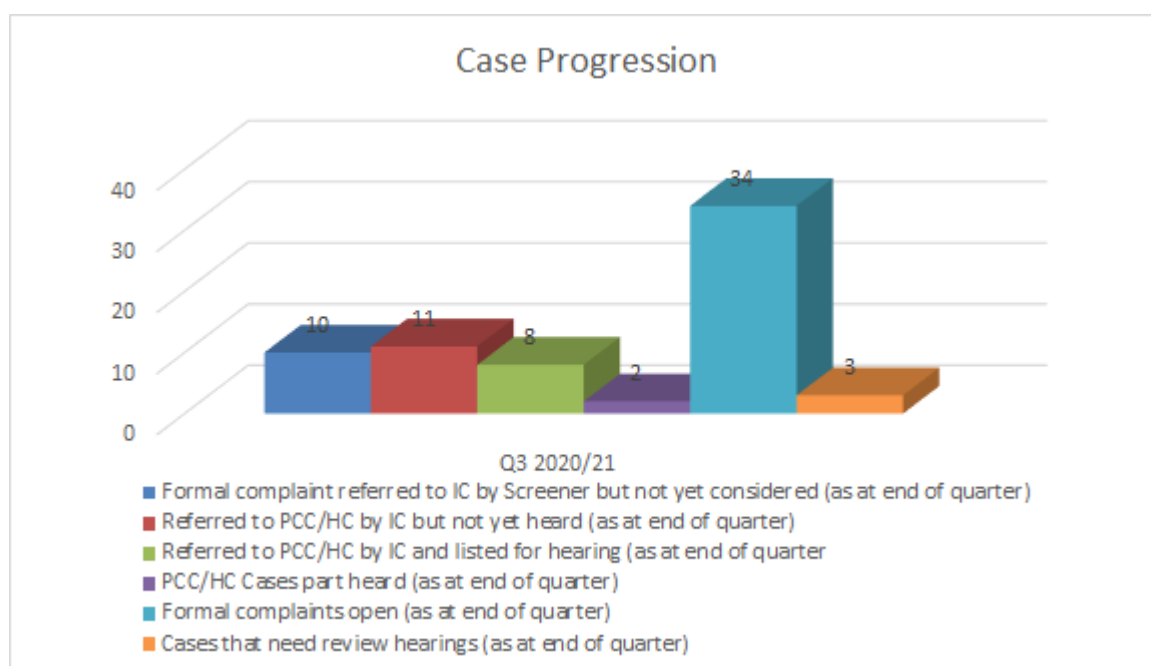


Fitness to practise dashboard 1 October 2020 to 31 December 2020

Case progression – at a glance

- All PCC cases that had not commenced were postponed between 26 March and 3 July 2020 due to the COVID-19 pandemic. Substantive hearings recommenced remotely in July 2020.
- The number of live cases older than 52 weeks remains at 11 cases as a consequence of the postponements.
- In this quarter the PCC sat remotely for 8 substantive hearings, reaching a final determination in six cases.
- In this quarter the HC did not review any cases.
- There are now 19 cases referred to the PCC and 11 are yet to be heard.
- The IC also met remotely on two occasions in this quarter. It considered 8 cases of which three were closed, three referred to the PCC and two were adjourned (linked case).
- There are 10 cases that have been referred to the IC by the screeners but not yet considered. This is in line with the IC caseload for the previous quarter.



Case Progression	Q1	Q2	Q3
Formal complaint referred to IC by Screener but not yet considered (as at end of quarter)	10	11	10
Referred to PCC/HC by IC but not yet heard (as at end of quarter)	25	19	11

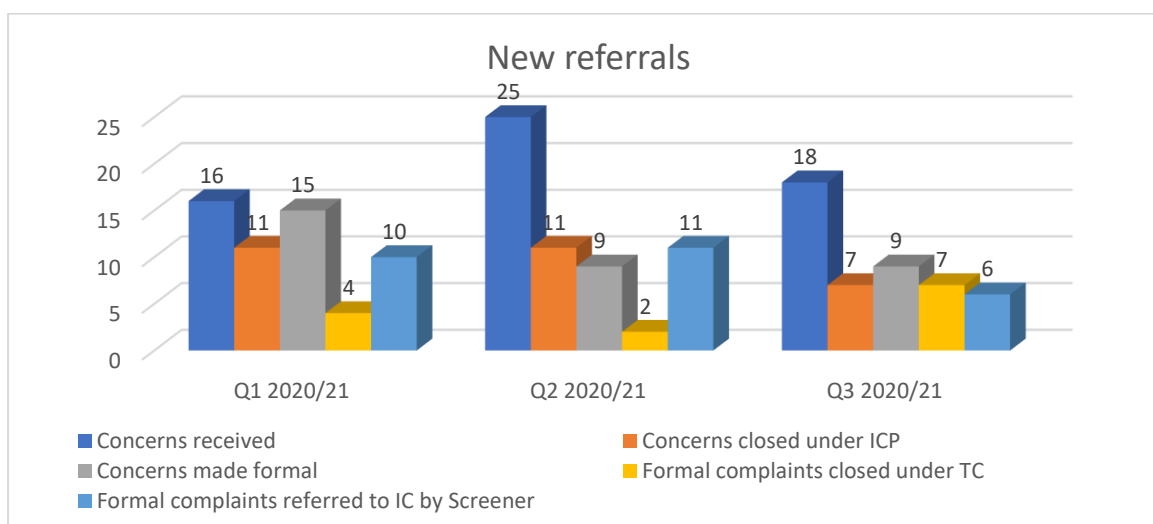
Annex A to 7

Referred to PCC/HC by IC and listed for hearing (as at end of quarter)	8	8	8
PCC/HC Cases part heard (as at end of quarter)	1	3	2
Formal complaints open (as at end of quarter)	40	32	34
Cases that need review hearings (as at end of quarter)	5	5	3

Age of Caseload from Date Received	Q1	Q2	Q3
52 weeks – 103 weeks	8	11	11
104 weeks – 155 weeks	1	0	0
156 weeks and above	1	1	1

New Referrals

- The number of referrals in Q3 has reduced from the previous quarter but is comparable to the referrals received in Q1.
- The number of formal complaints referred to the IC by the screeners has reduced by 45%.



New referrals	Q1 2020/21	Q2 2020/21	Q3 2020/21
Concerns received	16	25	18
Concerns closed under ICP	11	11	7
Concerns made formal	15	9	9

Annex A to 7

Formal complaints closed under TC	4	2	7
Formal complaints referred to IC by Screener	10	11	6

Source of formal complaints	Q1	Q2	Q3
Self-referral by the registrant	1	2	0
Registrar's allegation	3	0	2
Non-NHS employer	1	1	0
Patient or service user	6	4	6
NHS	0	0	0
Another registrant	3	2	0
Anonymous informant	0	0	0
Another regulatory body	0	0	0
Any other informant	1	0	1
Total	15	9	9

Allegations in formal complaints	Q1	Q2	Q3
Conduct	13	9	8
Conviction	1	0	1
Competency	0	1	0
Adjunctive therapies	0	0	0
Health	1	0	0
Total	15	10*	9

** 9 cases were made formal in Q2 2020-2021; however, one case raised both conduct and competency issues, hence 10 allegations in formal complaints in Q2.*

Key Performance Indicators

- The screener KPI was not met for this quarter.
- The IC KPI was missed this quarter by two weeks. The fluctuation in quarterly data between this quarter and Q2 reflects the small size of the GOsC's caseload.
- The PCC KPI was missed by 13 weeks this quarter. This is due to the postponement of all substantive PCC hearings last quarter. This postponement is likely to continue to affect our performance against the PCC KPI in the next two quarters because we are listing the remaining affected cases for hearings in both Q4 of 2020-21 and Q1 of 2021-22.
- It is noted that the shortest case concluded by the PCC this quarter was 50 weeks, two weeks under the expected KPI.

Annex A to 7

Performance at a glance

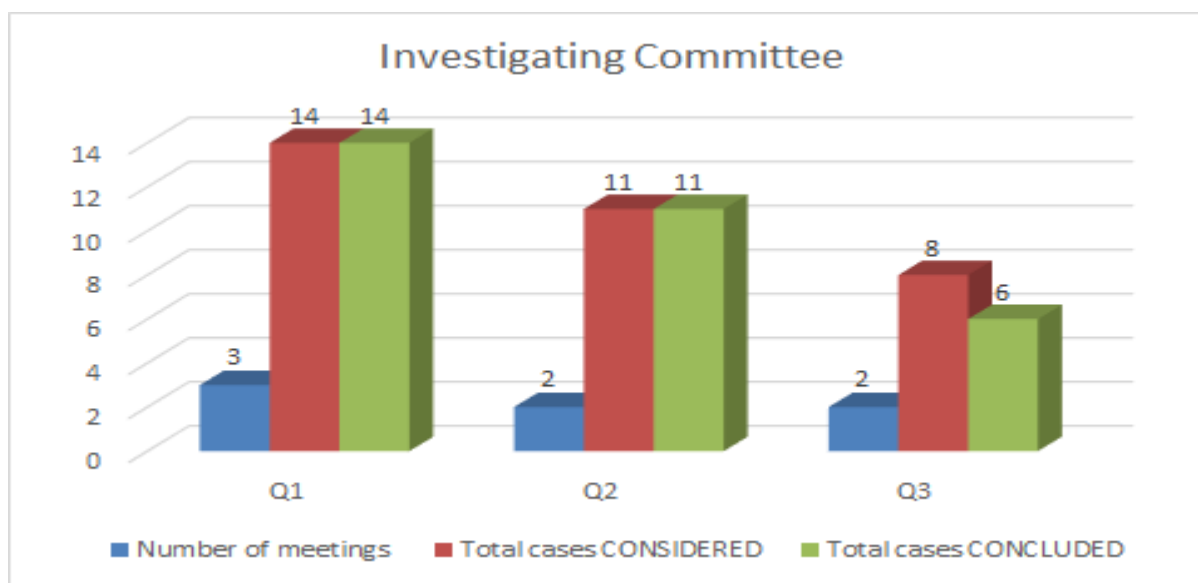
Case stage	Key Performance Indicator	Performance Target	Q4	Q1	Q2	Q3
Screening	Median time from receipt of concern to the screener's decision	9 weeks	6 weeks	10 weeks	9 weeks	11 weeks
Investigating Committee	Median time from receipt of concern to final IC decision	26 weeks	26 weeks	21 weeks	28 weeks	35 weeks
Professional Conduct Committee	Median time from receipt of concern to final PCC decision	52 weeks	193 weeks	92 weeks	60 weeks	63 weeks

Performance in detail

Time from receipt of complaint to final IC decision (26 weeks)	Q1	Q2	Q3
Median	21 weeks	28 weeks	35 weeks
Longest case	63 weeks	69 weeks	54 weeks
Shortest case	8 weeks	9 weeks	17 weeks
Time from final IC decision to final PCC decision or other final disposal of the case (26 weeks)	Q1	Q2	Q3
Median	76 weeks	30 weeks	41 weeks
Longest case	91 weeks	54 weeks	97 weeks
Shortest case	64 weeks	24 weeks	31 weeks
Time from receipt of referral to final PCC decision or other final disposal of the case (52 weeks)	Q1	Q2	Q3
Median	92 weeks	60 weeks	63 weeks
Longest case	113 weeks	110 weeks	107 weeks
Shortest case	75 weeks	49 weeks	50 weeks
Median time to interim order committee decision:	Q1	Q2	Q3
From receipt of referral	N/A	N/A	6 weeks
From decision that there is information indicating the need for an interim order	N/A	N/A	3 weeks

Investigating Committee

- The IC considered and concluded 8 cases this quarter. Three were referred to the PCC, three were closed and the remaining two were adjourned.



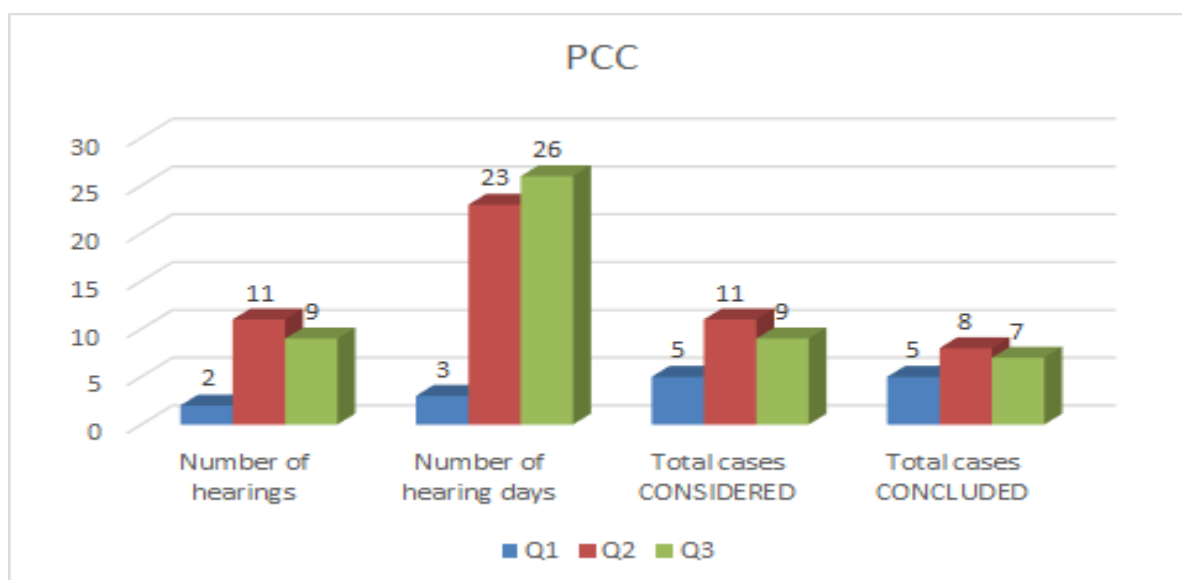
Investigating Committee Decisions	Q1	Q2	Q3
No Case to Answer	7	8	3
No Case to Answer with advice	0	1	0
Referred to PCC	7	2	3
Referred to HC	0	0	0
Referred to PCC and HC	0	0	0
Adjourned	0	0	2
Stayed	0	0	0

Professional Conduct Committee

- In Q3 the PCC considered 8 cases and concluded six substantive cases.
- Two cases were adjourned. We have found that hearings conducted remotely take additional time to complete due to factors such as the impact of technical or internet connection issues and the need for more frequent breaks for participants. Initially, without prior experience of running remote hearings, it was challenging to accurately estimate how much additional time would be necessary. This is something we are now better able to estimate.

Annex A to 7

Professional Conduct Committee Hearings	Q1	Q2	Q3
Number of hearings	2	11	8
Number of hearing days	3	23	26
Total cases CONSIDERED	5	11	9
Total cases CONCLUDED	5	8	7



PCC Decisions	Q1	Q2	Q3
Allegation not 'well founded'	4	3	2
Admonished	0	1	3
Conditions of Practice	0	0	0
Suspension	0	1	0
Removal	0	0	1
Rule 19	0	0	0
Adjourned	0	3	0
Conditions/Suspension to expire at end of order	1	1	0
Rule 8 Admonishment	0	2	0
Stayed	0	0	0

Health Committee

- The Health Committee considered no cases this quarter.

Interim Suspension Orders

- The IC considered three cases this quarter, of which no ISO's were granted.

Annex A to 7

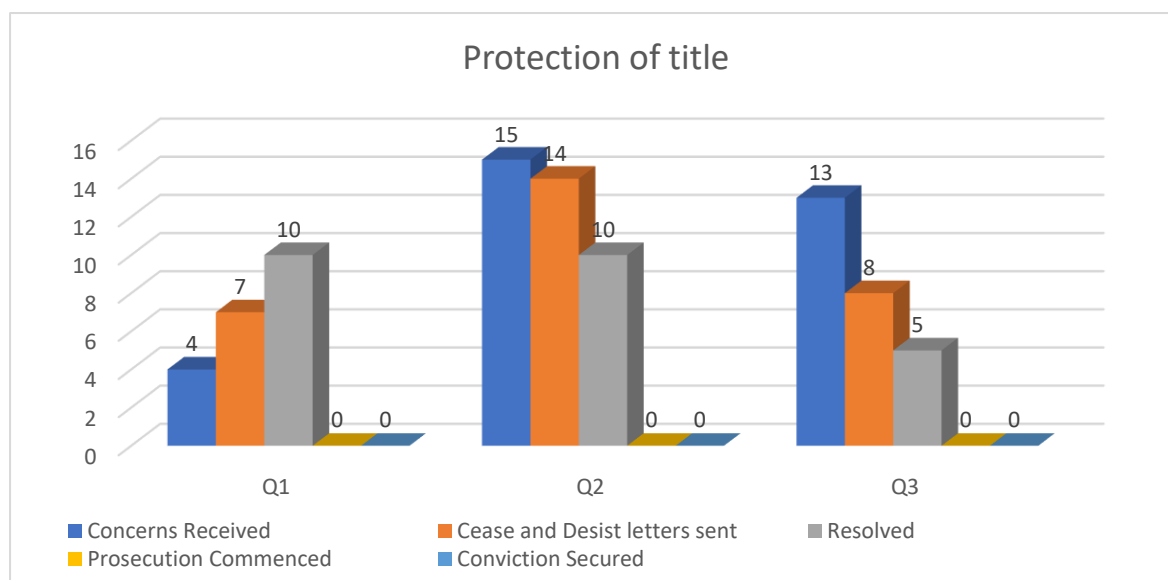
- The PCC did not consider any interim suspension order applications this quarter.

IC Interim Suspension Order Decisions	Q4	Q1	Q2	Q3
Applications made	3	0	0	3
Interim Suspension Order imposed	2	0	0	0
Undertaking	1	0	0	0
Median time to IC decision from receipt of referral	8 weeks	N/A	N/A	6 weeks
Median time to IC decision from decision that there is information indicating the need for interim order	5 weeks	N/A	N/A	3 weeks

PCC/HC Interim Suspension Order Decisions	Q4	Q1	Q2	Q3
Applications made	0	1	0	0
Interim Suspension Order imposed	0	1	0	0
Undertaking	0	0	0	0

Protection of Title

- There were 27 active Section 32 investigations as at 31 December 2020.
- One prosecution case is still ongoing. This case was postponed due to the COVID-19 pandemic. The outcome will be reported to Council in due course. We have commenced two further prosecutions.



Protection of Title	Q1	Q2	Q3
Concerns Received	4	15	13
Cease and Desist letters sent	7	14	8

Annex A to 7

Resolved	10	10	5
Prosecution Commenced	0	0	0
Conviction Secured	0	0	0

Appeals

- Two appeals were commenced against decisions of the PCC in this quarter.

Total number of registrant appeals in the quarter which are:	Q1	Q2	Q3
Ongoing	0	0	2
Opened	0	2	2
Concluded	0	0	0
Outcomes of registrant appeals against final fitness to practise decisions:	Q1	Q2	Q3
Upheld and outcome substituted	0	0	1
Upheld and case remitted to regulator for re-hearing	0	0	0
Settled by consent	0	0	0

Voluntary Removal

- There were no applications for voluntary removal received in this quarter.

Number of voluntary erasure/removal applications: Subsequent to the FTP case being considered by an IC.	Q1	Q2	Q3
Received	0	0	0
Granted	0	0	0