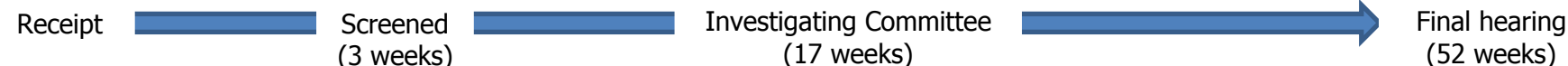


**Regulation Department Report to Council
1 July 2015 to 30 September 2015**

Annex A to 6

Please note all figures in red relate to 2014-15



Efficiency

	Q1	Q2	Q3	Q4
Numbers of complaints received				
Informal	22 28	61 22		
Formal	14 15	36 9		

Performance against KPIs (median – receipt to decision in weeks)				
Screened (3 weeks)	1 1	2 2		
IC decisions (17 weeks)	14.2 10.5	9 14		
PCC decisions (52 weeks)	25* 55	40 47		
HC decisions (52 weeks)	0 46.5	0 0		

*3 cases commenced only 1 completed. 2 part heard

Investigating Committee				
Number of meetings	2 3	2 2		
Total number of decisions	6* 8	12 18		
Mean number of decisions per meeting	2.5 2.6	6 9		

*2 Rule 19 applications included

Professional Conduct Committee				
Number of substantive hearings *includes 2 R19 hearings	6* 6	5 8		
Mean length of hearing (days)	2 3	3.6 2.6		
Mean time PCC in camera to decide facts and allegation (hours)	6.2	8.75 7		
Mean time PCC in camera to decide sanction (hours)	2.5 2.5	2.5 4.5		

Effectiveness

	Q1	Q2	Q3	Q4
Investigating Committee				
Total cases considered	6 8	12 18		
No case to answer	1 1	0 12		
Referred to PCC	2 3	6 2		
Referred to HC	0 2	1 2		
Referred to PCC and HC	-	0 1		
Adjournment	1 2	5 2		
IC view on Rule 19	2 0	0 1		

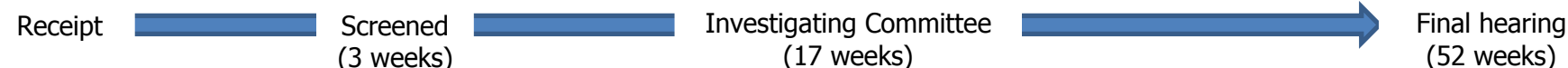
Professional Conduct Committee (not including Rule 8 decisions)				
Total cases considered	4 6	5 3		
Allegation not 'well founded'	0 3	2 3		
Admonished	0 1	1 0		
Conditions of Practice	0 0	0 0		
Suspension	1 0	1 2		
Removal	0 1	0 0		
Adjourned/Part heard	2 1	1 2		
Rule 19	1 0	0 1		

Case "pipe-line" as at 1 July 2015

		Over 4 months	Over 8 months	Over 12 months
Referred to Investigating Committee by Screener	9	0	0	0
Referred to Professional Conduct Committee by Investigating Committee but not yet heard	8 (6 out of 8 cases are listed)	3	3	2* **
Cases that need review hearings	4			

* Delay due to obtaining statement from patient.

** Delay due to obtaining expert report and referring new matter to IC.



	Q1	Q2	Q3	Q4
Health Committee				
Number of substantive hearings	1 2	0 0		
Mean length of hearing (days)	2.5 0	0 0		
Mean time HC in camera to decide facts and allegation (hours)	5 2	0 0		
Mean time HC in camera to decide sanction (hours)	0 1.5	0 0		

Rule 8				
Number of Rule 8 hearings	1 1	1 0		
Concluded with sanctions (admonishment)	1 0	1 0		

Protection of title				
Concerns received	16 16	15 9		
Ceases and desist letters sent	8 10	14 2		
Resolved	5 2	34 2		
Prosecuting commenced	0 0	0 0		

	Q1	Q2	Q3	Q4
PCC Review Hearing				
Total cases considered	1 0	1 2		
Conditions of Practice Order – Revoked/ expire	0 0	1 1		
Conditions of Practice Order – Extended	1 0	0 1		

Health Committee				
Total cases considered	1* 2	1* 0		
Conditions of Practice Order	0 2	0 0		

*The case was adjourned.

Health Review Hearing				
Total Cases considered	0	3		
Conditions	0	1		
Condition revoked	0	1		

Interim Suspension Orders IC				
Applied for	3 3	1 3		
Imposed	3 3	1 1		
Undertaking	0 0	0 0		

Interim Suspension Orders PCC/HC				
Applied for	1 3	4 0		
Imposed	1 3	2 0		
Undertaking	0 0	1 0		

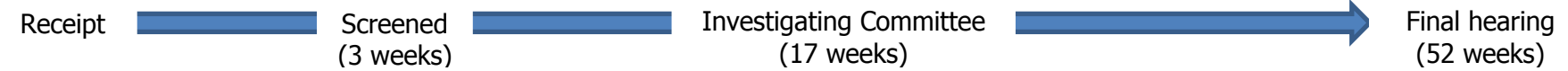
New initiatives

1. Pilot scheme on implementing electronic case papers.
From 13 July 2015, GOsC commenced a three month pilot extending the use of electronic case papers to members of the Investigating Committee. During the pilot, members of the Investigating Committee and the Legal Assessors, will have the access rights to case papers and other documents relevant to the cases they will be considering at the Investigating Committee meetings and interim suspension order hearings. Paper copies of the bundles will continue to be made available to the Committee members on the day of the IC meeting. This pilot has been extended until end of November 2015 and will include all Legal Assessors.

2. Witness Guidance Leaflet

There have been 18 committee events taken place in this quarter. These events include substantive hearings, review hearings, ISO hearings and IC meetings.

Please note all figures in red relate to 2014-15



	Q1	Q2	Q3	Q4
Information Governance				
FOI requests received	4 5	6 1		
Responded to within 20 days	4 3	6 1		
DPA request received	5 1	2 0		
Responded to within 40 days	4 1	2 0		

	Q1	Q2	Q3	Q4
Indicators that case properly brought and determined				
Successful application r27(2) ¹	0 0	0 0		
Successful application r27(6) ²	0 0	0 0		
Successful registrant appeal	0 0	0 0		
Successful Judicial reviews	0 0	0 0		
PSA s29 appeal	0 0	0 0		
PSA learning points	0 2	0 0		
Complaints	1 1	0 0		

Protection of title				
Convictions secured	0 1	0 0		

¹ GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application that alleged facts are insufficient to support the allegation.

² GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application at the close of Council’s case that no sufficient evidence has been adduced upon which the PCC could find the alleged facts proved.