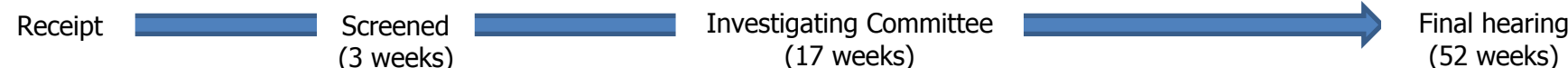


**Regulation Department Report to Council
1 October 2015 to 31 December 2015**

Annex to 6

Please note all figures in red relate to 2014-15



Efficiency

	Q1	Q2	Q3	Q4
Numbers of complaints received				
Informal	22 28	61 22	94 11	
Formal	14 15	36 9	16 17	

Performance against KPIs (median – receipt to decision in weeks)				
Screened (3 weeks)	1 1	2 2	1 1	
IC decisions (17 weeks)	14.2 10.5	9 14	15 15	
PCC decisions (52 weeks)	25* 55	40 47	46 37	
HC decisions (52 weeks)	0 46.5	0 0	0 0	

*3 cases commenced only 1 completed. 2 part heard

Investigating Committee				
Number of meetings	2 3	2 2	1 2	
Total number of decisions	6* 8	12 18	13 6	
Mean number of decisions per meeting	2.5 2.6	6 9	13 3	

*2 Rule 19 applications included

Professional Conduct Committee				
Number of substantive hearings *includes 2 R19 hearings	6* 6	5 8	7 3	
Mean length of hearing (days)	2 3	3.6 2.6	4.3 3	
Mean time PCC in camera to decide facts and allegation (hours)	6.2	8.75 7	5.2 8.3	
Mean time PCC in camera to decide sanction (hours)	2.5	2.5 4.5	3.2 4	

Effectiveness

	Q1	Q2	Q3	Q4
Investigating Committee				
Total cases considered	6 8	12 18	13 6	
No case to answer	1 1	0 12	4 2	
Referred to PCC	2 3	6 2	6 3	
Referred to HC	0 2	1 2	0 0	
Referred to PCC and HC	-	0 1	0 0	
Adjournment	1 2	5 2	3 1	
IC view on Rule 19	2 0	0 1	0 0	

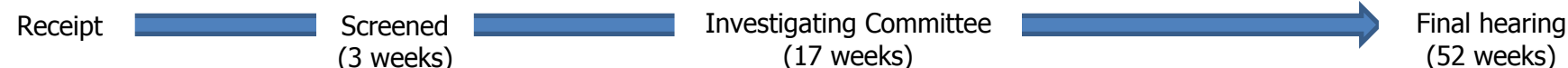
Professional Conduct Committee (not including Rule 8 decisions)				
Total cases considered	4 6	5 3	9* 3	
Allegation not 'well founded'	0 3	2 3	1 0	
Admonished	0 1	1 0	1 1	
Conditions of Practice	0 0	0 0	1 1	
Suspension	1 0	1 2	1 0	
Removal	0 1	0 0	2 1	
Adjourned/Part heard	2 1	1 2	1 0	
Rule 19	1 0	0 1	0 0	

One Registrant had 3 separate cases which were heard together resulting in erasure.

Case "pipe-line" as at 31 December 2015

		Over 4 months	Over 8 months	Over 12 months
Referred to Investigating Committee by Screener	23	7	1	0
Referred to Professional Conduct Committee by Investigating Committee but not yet heard	8 (6 out of 8 cases are listed)	0	1	1*
Cases that need review hearings	5			

* Delay due to obtaining statement from patient.



	Q1	Q2	Q3	Q4
Health Committee				
Number of substantive hearings	1 2	0 0	0 0	
Mean length of hearing (days)	2.5 0	0 0	0 0	
Mean time HC in camera to decide facts and allegation (hours)	5 2	0 0	0 0	
Mean time HC in camera to decide sanction (hours)	0 1.5	0 0	0 0	

Rule 8				
Number of Rule 8 hearings	1 1	1 0	0 0	
Concluded with sanctions (admonishment)	1 0	1 0	0 0	

Protection of title				
Concerns received	16 16	15 9	14 6	
Ceases and desist letters sent	8 10	14 2	2 2	
Resolved	5 2	34 2	0 0	
Prosecuting commenced	0 0	0 0	0 0	

	Q1	Q2	Q3	Q4
PCC Review Hearing				
Total cases considered	1 0	1 2	2 2	
Conditions of Practice Order – Revoked/ expire	0 0	1 1	2 2	
Conditions of Practice Order – Extended	1 0	0 1	0 0	

Health Committee				
Total cases considered	1* 2	1* 0	0 0	
Conditions of Practice Order	0 2	0 0	0 0	

*The case was adjourned.

Health Review Hearing				
Total Cases considered	0	3	0	
Conditions	0	1	0	
Condition revoked	0	1	0	

Interim Suspension Orders IC				
Applied for	3 3	1 3	2 4	
Imposed	3 3	1 1	1 1	
Undertaking	0 0	0 0	0 1	

Interim Suspension Orders PCC/HC				
Applied for	1 3	4 0	1 2	
Imposed	1 3	2 0	0 1	

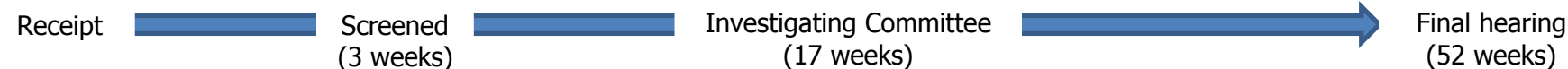
- New initiatives**
- Pilot scheme on implementing electronic case papers.**
 - Witness Guidance Leaflet**
 - Guidance on drafting determinations for the Professional Conduct Committee**

There have been 14 Committee events taken place in this quarter. These events include substantive hearings, review hearings, ISO hearings and IC meetings.

**Regulation Department Report to Council
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Annex to 6



Undertaking	0 0	1 0	0	
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	Q1	Q2	Q3	Q4
Information Governance				
FOI requests received	4 5	6 1	4 1	
Responded to within 20 days	4 3	6 1	4 1	
DPA request received	5 1	2 0	1 1	
Responded to within 40 days	4 1	2 0		

	Q1	Q2	Q3	Q4
Indicators that case properly brought and determined				
Successful application r27(2) ¹	0 0	0 0	0 0	
Successful application r27(6) ²	0 0	0 0	0 0	
Successful registrant appeal	0 0	0 0	0 0	
Successful Judicial reviews	0 0	0 0	0 0	
PSA s29 appeal	0 0	0 0	0 0	
PSA learning points	0 2	0 0	0 3	
Complaints	1 1	0 0	0 0	

Protection of title				
Convictions secured	0 1	0 0	0 0	

¹ GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application that alleged facts are insufficient to support the allegation.

² GOsC (Professional Conduct Committee) (Procedure) Rules 2000 – application at the close of Council’s case that no sufficient evidence has been adduced upon which the PCC could find the alleged facts proved.